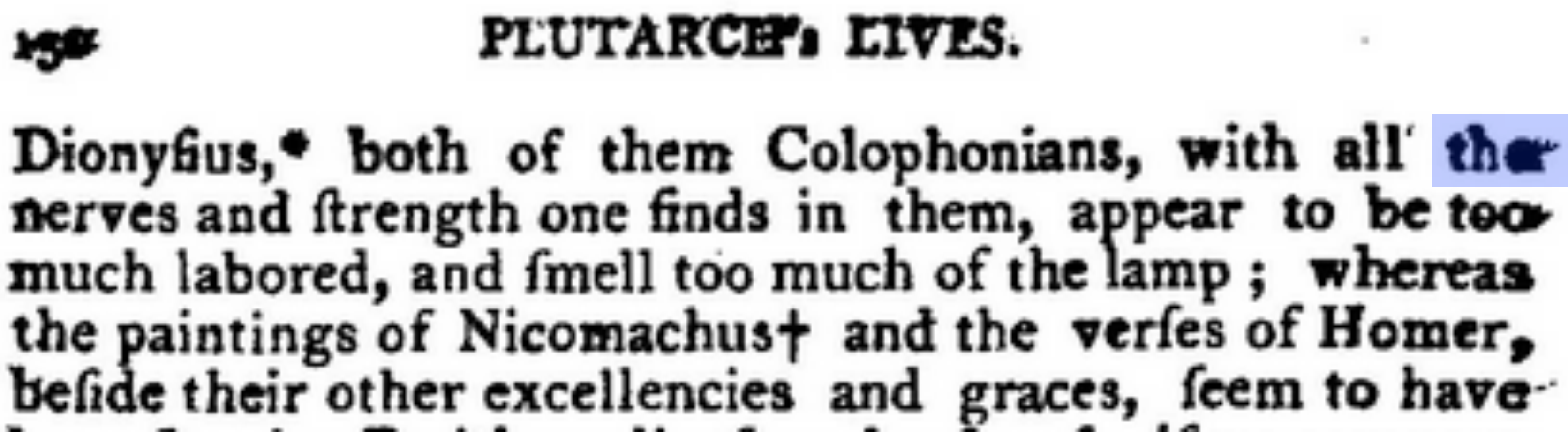
Language Models

Natural Language Processing
CS 4120/6120—Spring 2017
Northeastern University

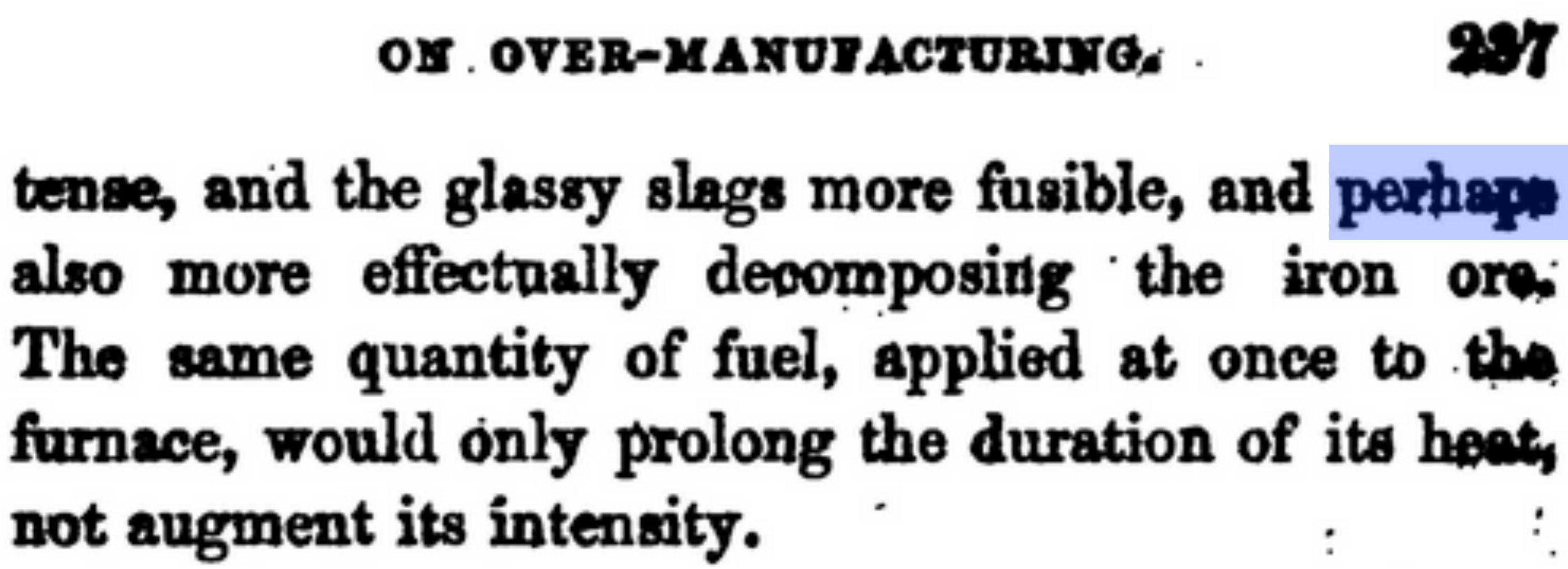
David Smith

Predicting Language



158 PLUTARCH's LIVES.

Dionyfius,* both of them Colophonians, with all the
nerves and ftrength one finds in them, appear to be too
much labored, and fmell too much of the lamp; whereas
the paintings of Nicomachus† and the verfes of Homer,
befide their other excellencies and graces, feem to have



ON OVER-MANUFACTURING. 237

tense, and the glassy slags more fusible, and perhaps
also more effectually decomposing the iron ore.
The same quantity of fuel, applied at once to the
furnace, would only prolong the duration of its heat,
not augment its intensity.

Predicting Language

Predicting Language

```
A SMALL OBLONG READING LAMP ON THE DESK
```

Predicting Language

```
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--SM----OBL----REA----------O------D---
```

Predicting Language

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```

What informs this prediction?

Predicting Language

- Optical character recognition
- Automatic speech recognition
- Machine translation
- Spelling/grammar correction
- Restoring redacted texts

Scoring Language

- Language identification
- Text categorization
- Grading essays (!)
- Information retrieval

Larger Contexts

```
text1.concordance("match")
Displaying 9 of 9 matches:
t in the seventh heavens . Elsewhere match that bloom of theirs , ye cannot , s
ey all stand before me ; and I their match . Oh , hard ! that to fire others ,
h , hard ! that to fire others , the match itself must needs be wasting ! What
 so sweet on earth -- heaven may not match it !-- as those swift glances of war
 end ; but hardly had he ignited his match across the rough sandpaper of his ha
utting the lashing of the waterproof match keg , after many failures Starbuck c
asks heaped up in him and the slow - match silently burning along towards them
 followed by Stubb ' s producing his match and igniting his pipe , for now a re
 aspect , Pip and Dough - Boy made a match , like a black pony and a white one

text2.concordance("match")
Displaying 15 of 15 matches:
isregarded her disapprobation of the match . Mr . John Dashwood told his mother
ced of it . It would be an excellent match , for HE was rich , and SHE was hand
you have any reason to expect such a match ." " Don ' t pretend to deny it , be
ry much . But mama did not think the match good enough for me , otherwise Sir J
on ' t we all know that it must be a match , that they were over head and ears
ght . It will be all to one a better match for your sister . Two thousand a yea
the other an account of the intended match , in a voice so little attempting co
end of a week that it would not be a match at all . The good understanding betw
d with you and your family . It is a match that must give universal satisfactio
le on him a thousand a year , if the match takes place . The lady is the Hon .
 before , that she thought to make a match between Edward and some Lord ' s dau
e , with all my heart , it will be a match in spite of her . Lord ! what a taki
 certain penury that must attend the match . His own two thousand pounds she pr
man nature . When Edward ' s unhappy match takes place , depend upon it his mot
m myself , and dissuade him from the match ; but it was too late THEN , I found
```

Language Models

- Probability distribution over strings of text
- There may be hidden variables
  - Grammatical structure, topics, NN state
- Hidden variables may perform classification

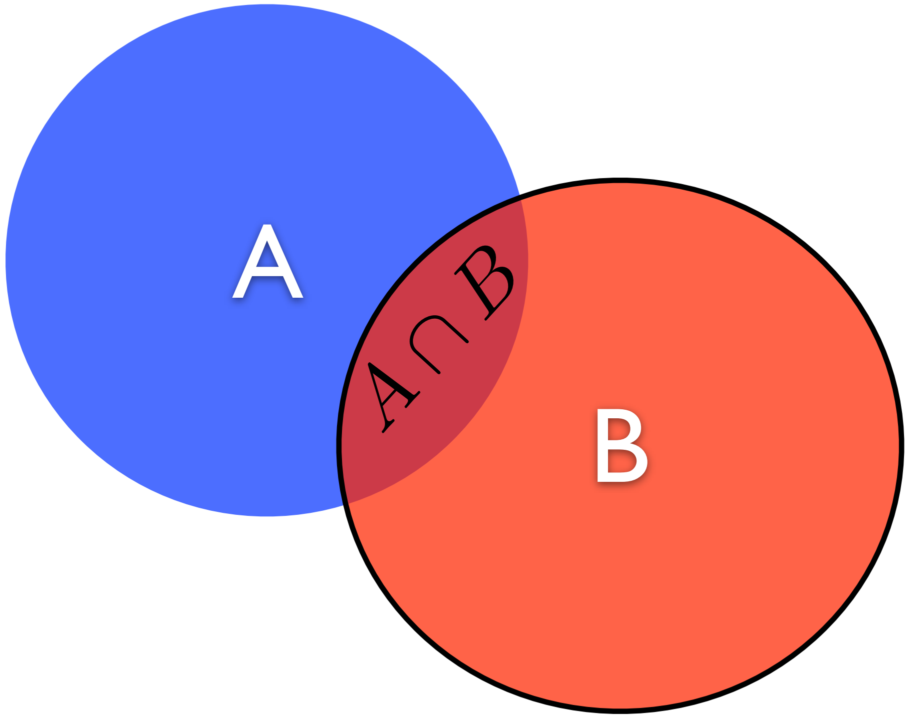
Probability

Axioms of Probability

- Define event space $\bigcup_i \mathcal{F}_i = \Omega$
- Probability function, s.t. $P : \mathcal{F} \rightarrow [0, 1]$
    - Disjoint events sum $A \cap B = \emptyset \Leftrightarrow P(A \cup B) = P(A) + P(B)$
    - All events sum to one $P(\Omega) = 1$
- Show that: $P(\bar{A}) = 1 - P(A)$

Conditional Probability

$$P(A \mid B) = \frac{P(A,B)}{P(B)}$$



$$P(A,B) = P(B)P(A \mid B) = P(A)P(B \mid A)$$

$$P(A_1, A_2, \ldots, A_n) = P(A_1)P(A_2 \mid A_1)P(A_3 \mid A_1, A_2) \cdots P(A_n \mid A_1, \ldots, A_{n-1})$$

Chain rule

Independence

$$
\begin{array}{rcl}
P(A, B) & = & P(A)P(B) \\
& \Leftrightarrow & \\
P(A \mid B) = P(A) & \wedge & P(B \mid A) = P(B)
\end{array}
$$

In coding terms, knowing *B* doesn't help in decoding *A*, and vice versa.

Markov Models

$$
\begin{aligned}
p(w_1, w_2, \ldots, w_n) &= p(w_1)p(w_2 \mid w_1)p(w_3 \mid w_1, w_2) \\
& \quad p(w_4 \mid w_1, w_2, w_3) \cdots p(w_n \mid p_1, \ldots, p_{n-1})
\end{aligned}
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Markov independence assumption

$$p(w_i \mid w_1, \ldots w_{i-1}) \approx p(w_i \mid w_{i-1})$$

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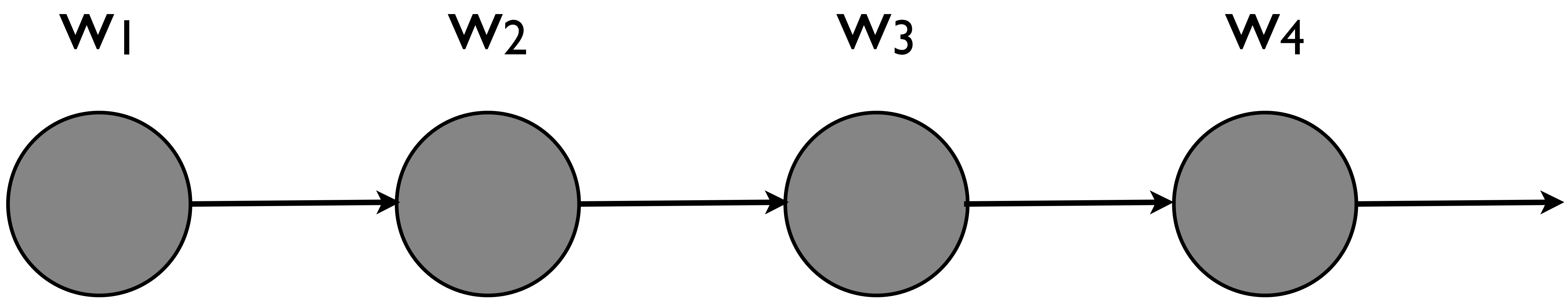
$$
\begin{aligned}
p(w_1, w_2, \ldots, w_n) &\approx p(w_1)p(w_2 \mid w_1)p(w_3 \mid w_2) \\
&\quad p(w_4 \mid w_3) \cdots p(w_n \mid p_{n-1})
\end{aligned}
$$

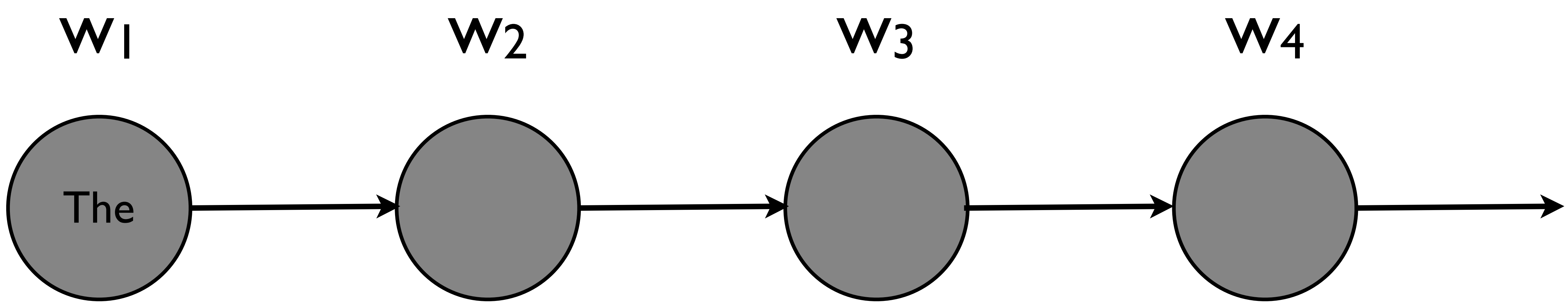
Another View



Bigram model as (dynamic) Bayes net

Trigram model as (dynamic) Bayes net

Another View



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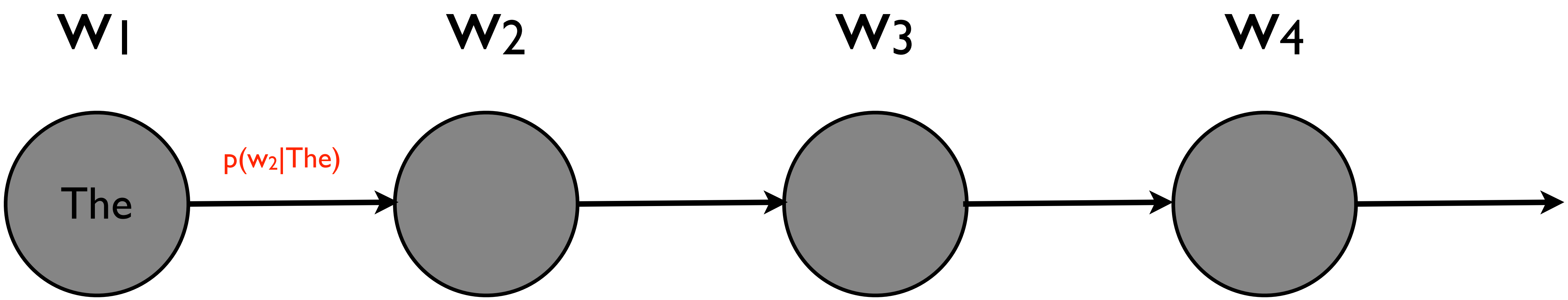

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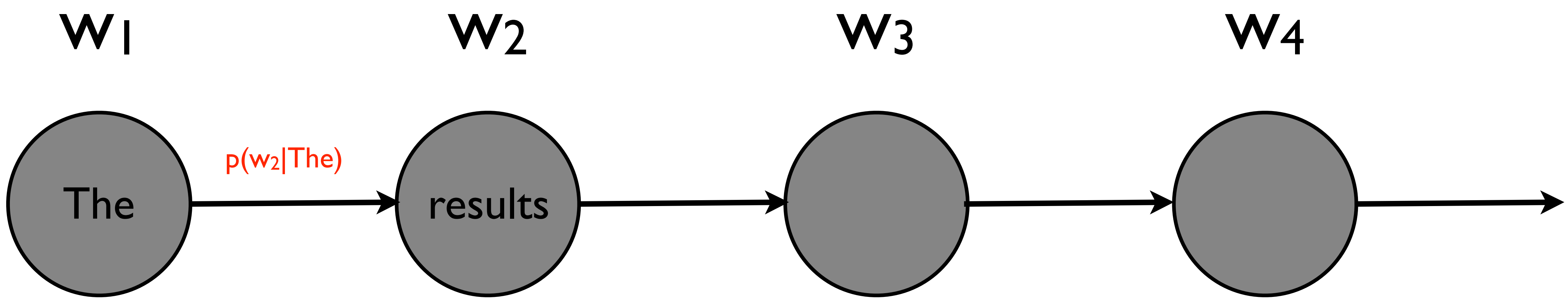

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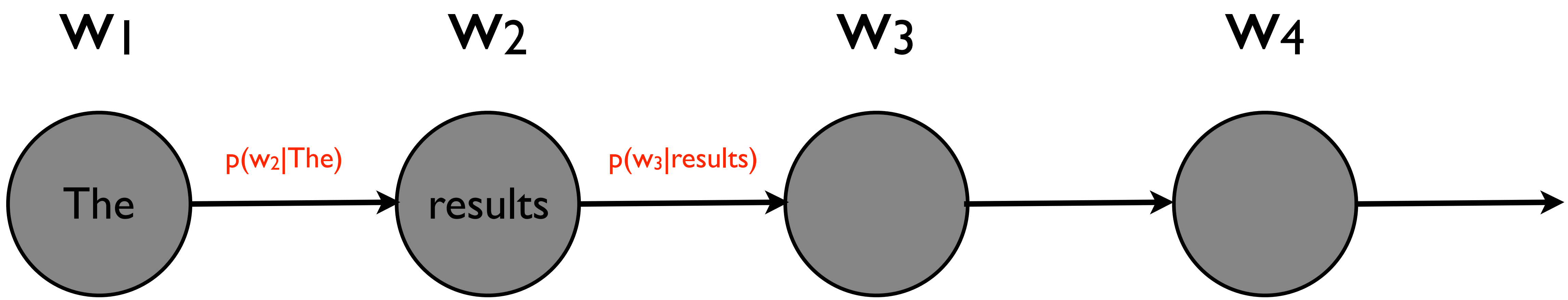

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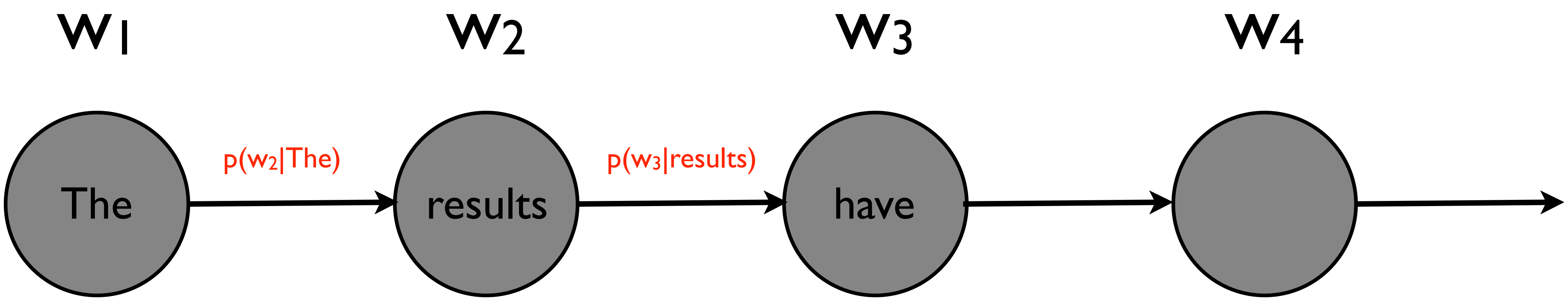

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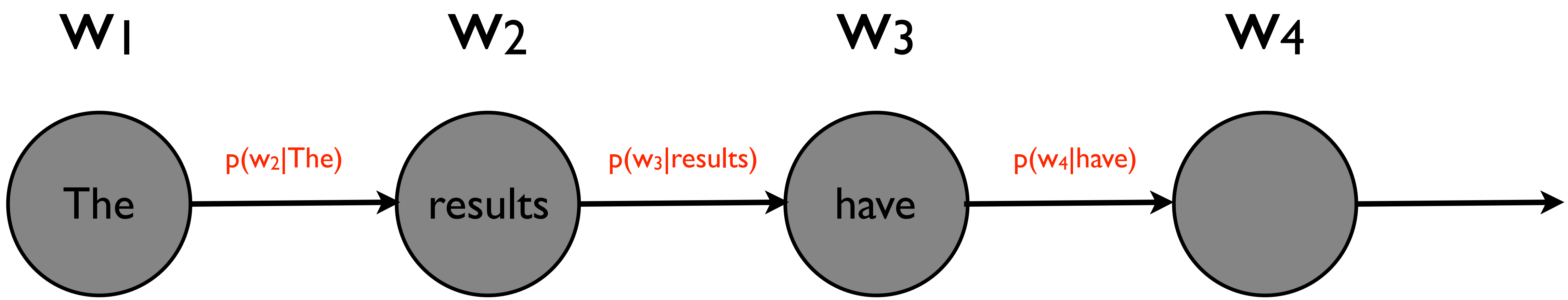

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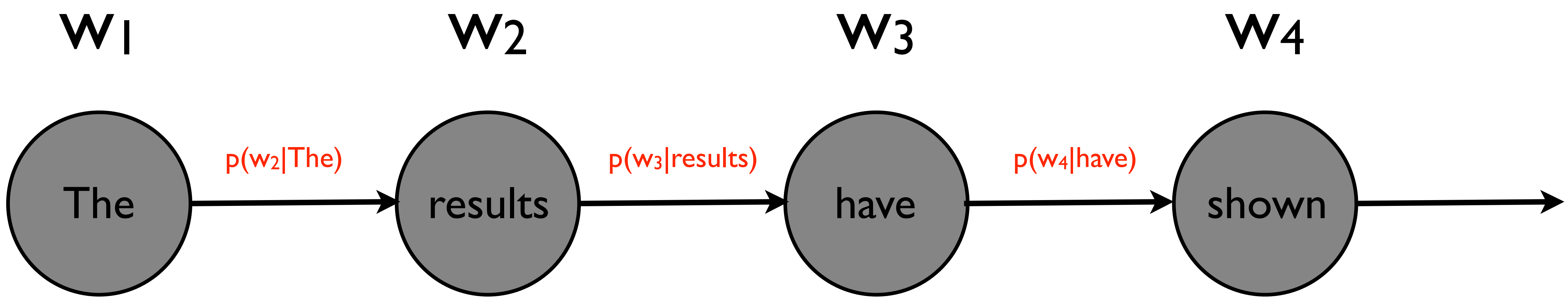

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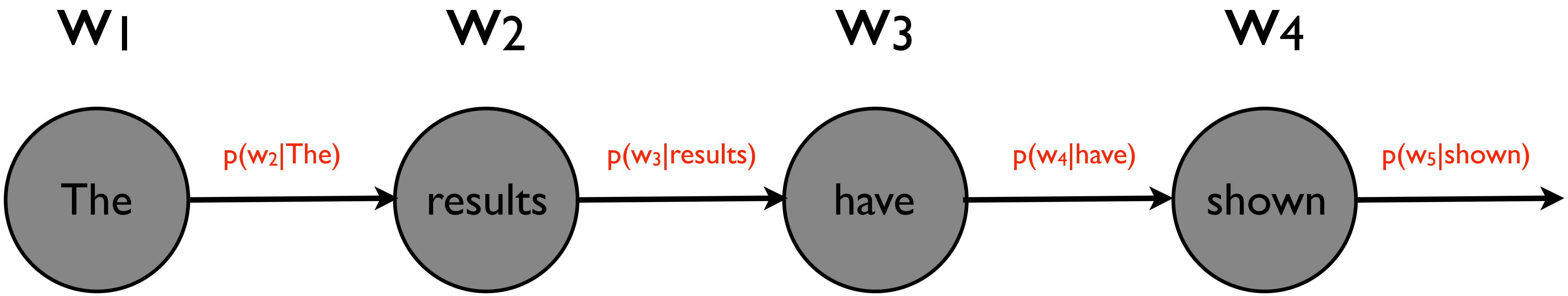

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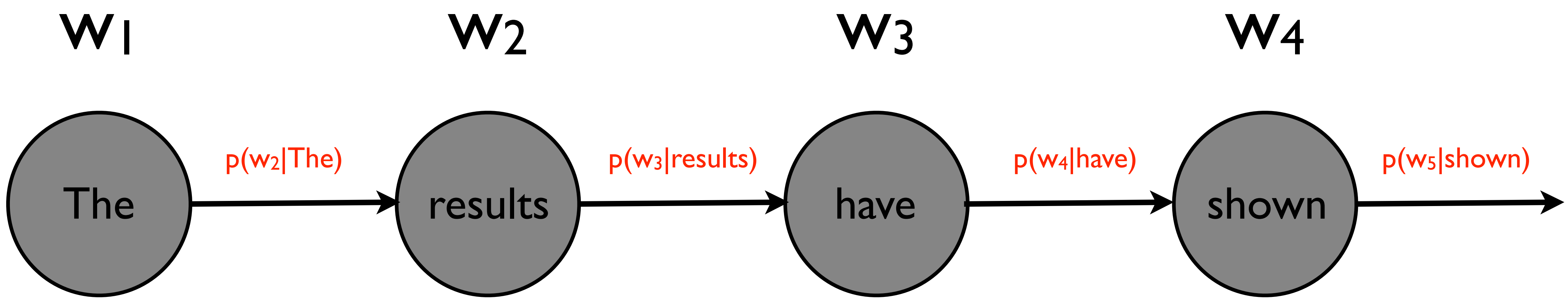
Another View

Directed graphical models: *lack* of edge means conditional independence



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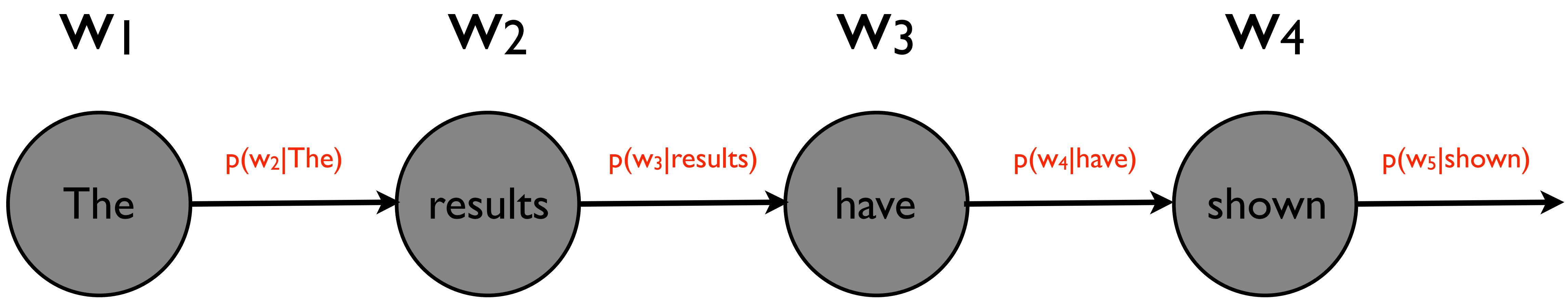

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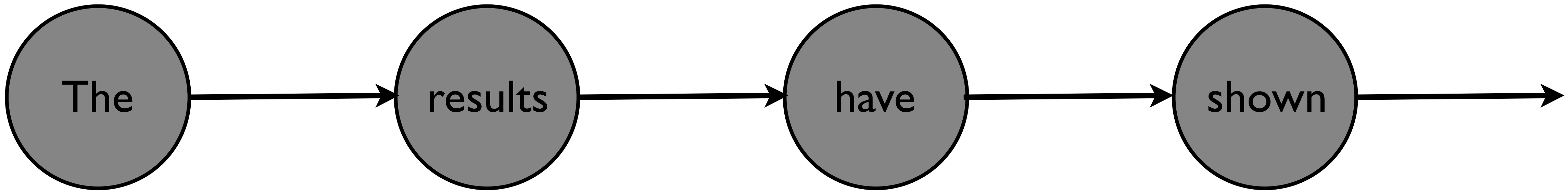

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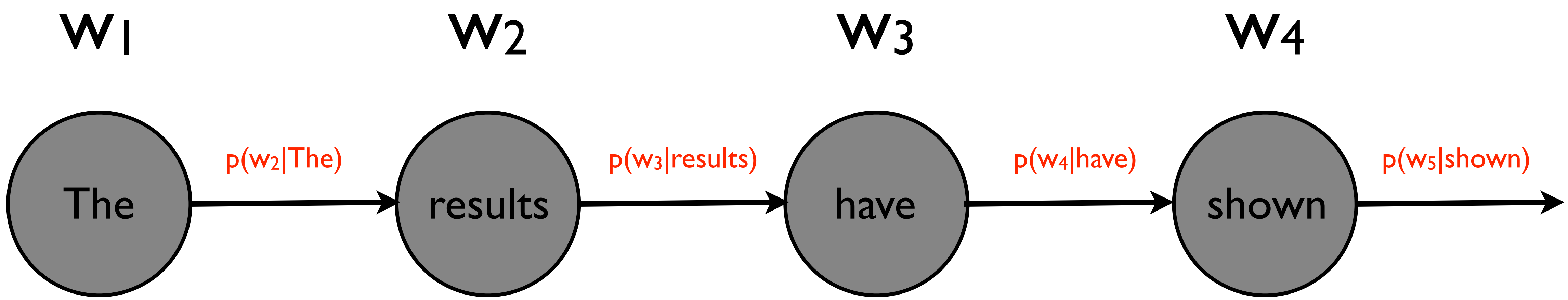

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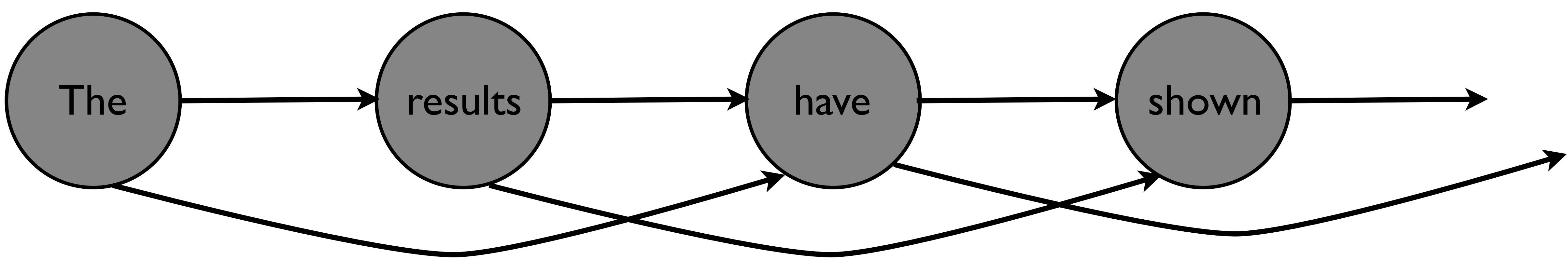

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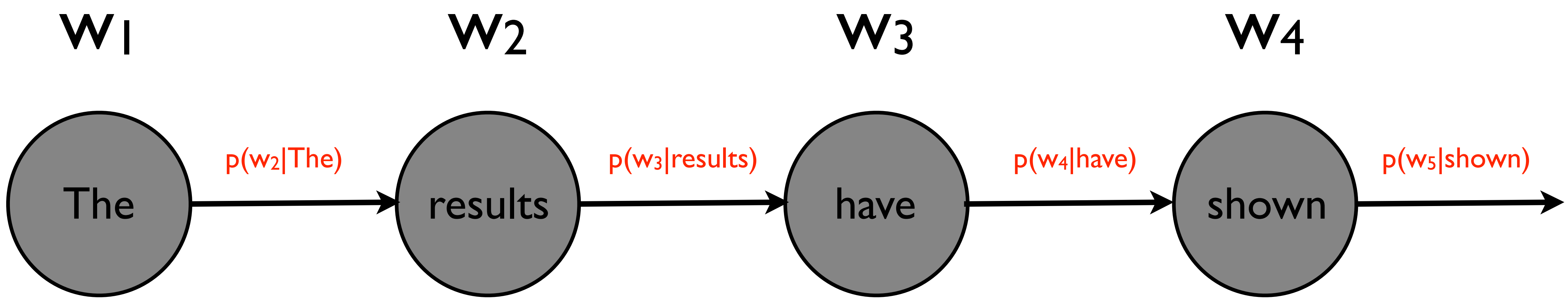

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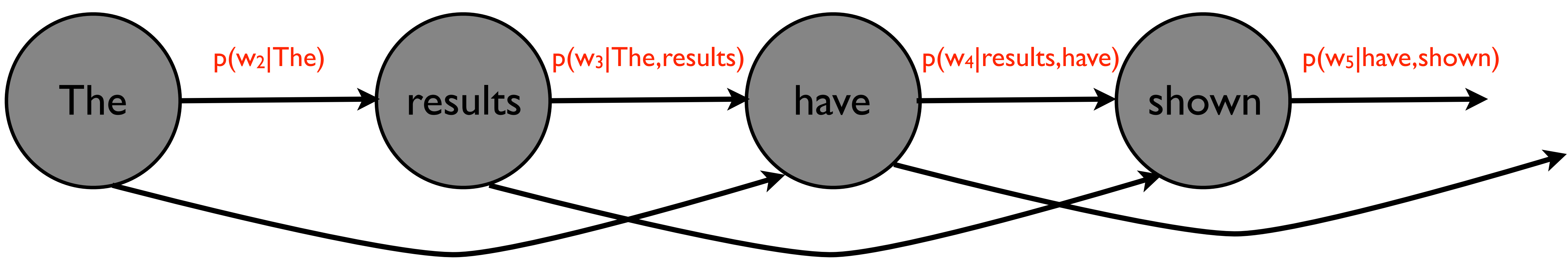
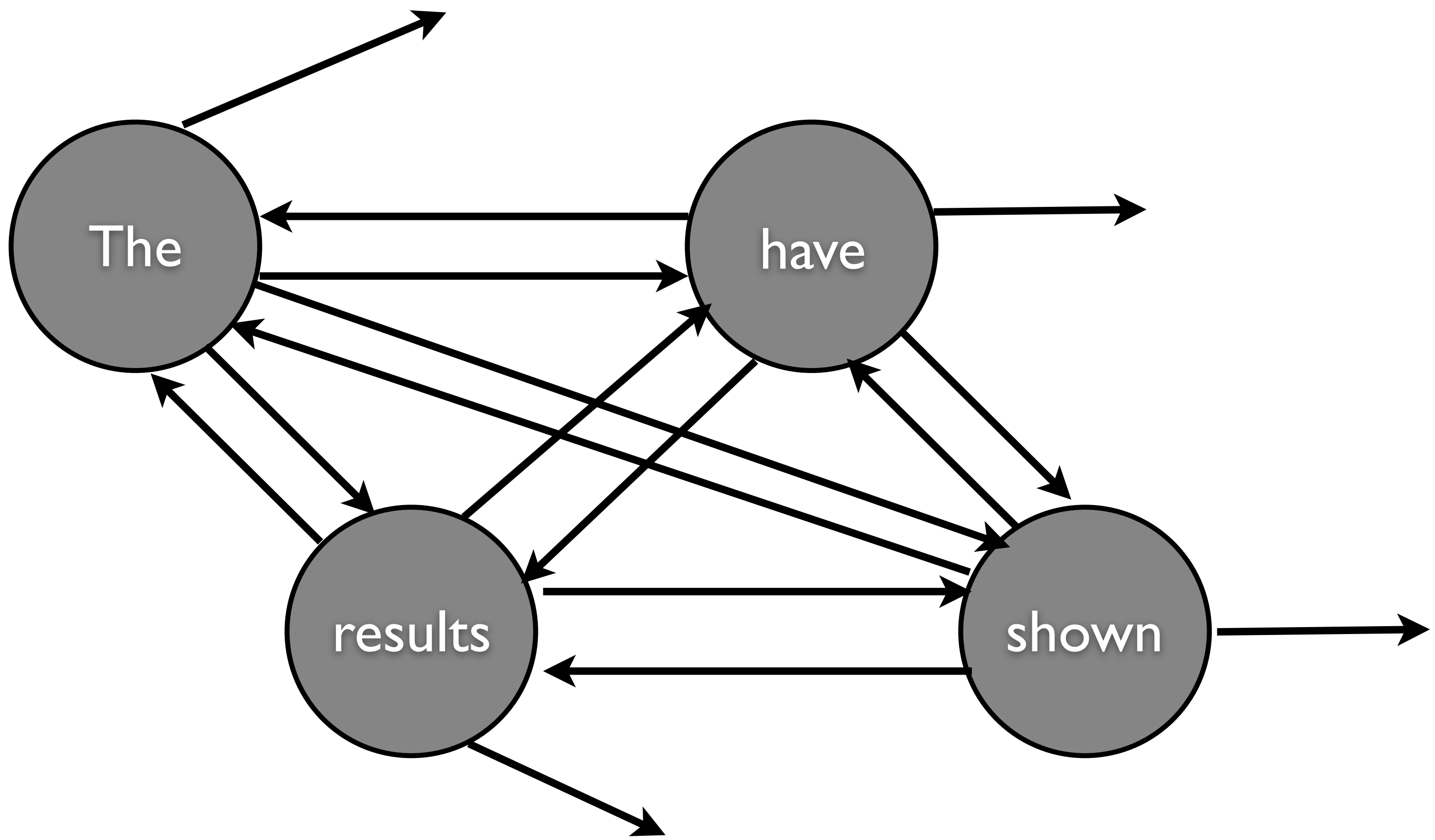


Trigram model as (dynamic) Bayes net

Yet Another View



Bigram model as finite state machine

What about a trigram model?

Classifiers: Language under Different Conditions

Movie Reviews

Movie Reviews

there ' s some movies i enjoy even though i know i probably shouldn ' t and have a difficult time trying to explain why i did . " lucky numbers " is a perfect example of this because it ' s such a blatant rip - off of " fargo " and every movie based on an elmore leonard novel and yet it somehow still works for me . i know i ' m in the minority here but let me explain . the film takes place in harrisburg , pa in 1988 during an unseasonably warm winter . ...

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Setting up a Classifier

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- What we want:

  p(☺️ | $w_1, w_2, \ldots, w_n$) > p(☹️ | $w_1, w_2, \ldots, w_n$) ?

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Setting up a Classifier

- What we want:

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  - A language model for each class

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    - p($w_1, w_2, \ldots, w_n$ | ☺️)

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    - p($w_1, w_2, \ldots, w_n$ | ☹️)

Bayes' Theorem

By the definition of conditional probability:

$$P(A, B) = P(B)P(A \mid B) = P(A)P(B \mid A)$$

we can show:

$$P(A \mid B) = \frac{P(B \mid A)P(A)}{P(B)}$$

Seemingly trivial result from 1763;
interesting consequences...

REV. T. BAYES

A "Bayesian" Classifier

$$p(R \mid w_1, w_2, \ldots, w_n) = \frac{p(R)p(w_1, w_2, \ldots, w_n \mid R)}{p(w_1, w_2, \ldots, w_n)}$$

$$\max_{R \in \{\ddot{\smile}, \ddot{\frown}\}} p(R \mid w_1, w_2, \ldots, w_n) = \max_{R \in \{\ddot{\smile}, \ddot{\frown}\}} p(R)p(w_1, w_2, \ldots, w_n \mid R)$$

Posterior

Prior

Likelihood

A “Bayesian” Classifier

Nowadays also means modeling uncertainty about *p*

$$p(R \mid w_1, w_2, \ldots, w_n) = \frac{p(R)p(w_1, w_2, \ldots, w_n \mid R)}{p(w_1, w_2, \ldots, w_n)}$$

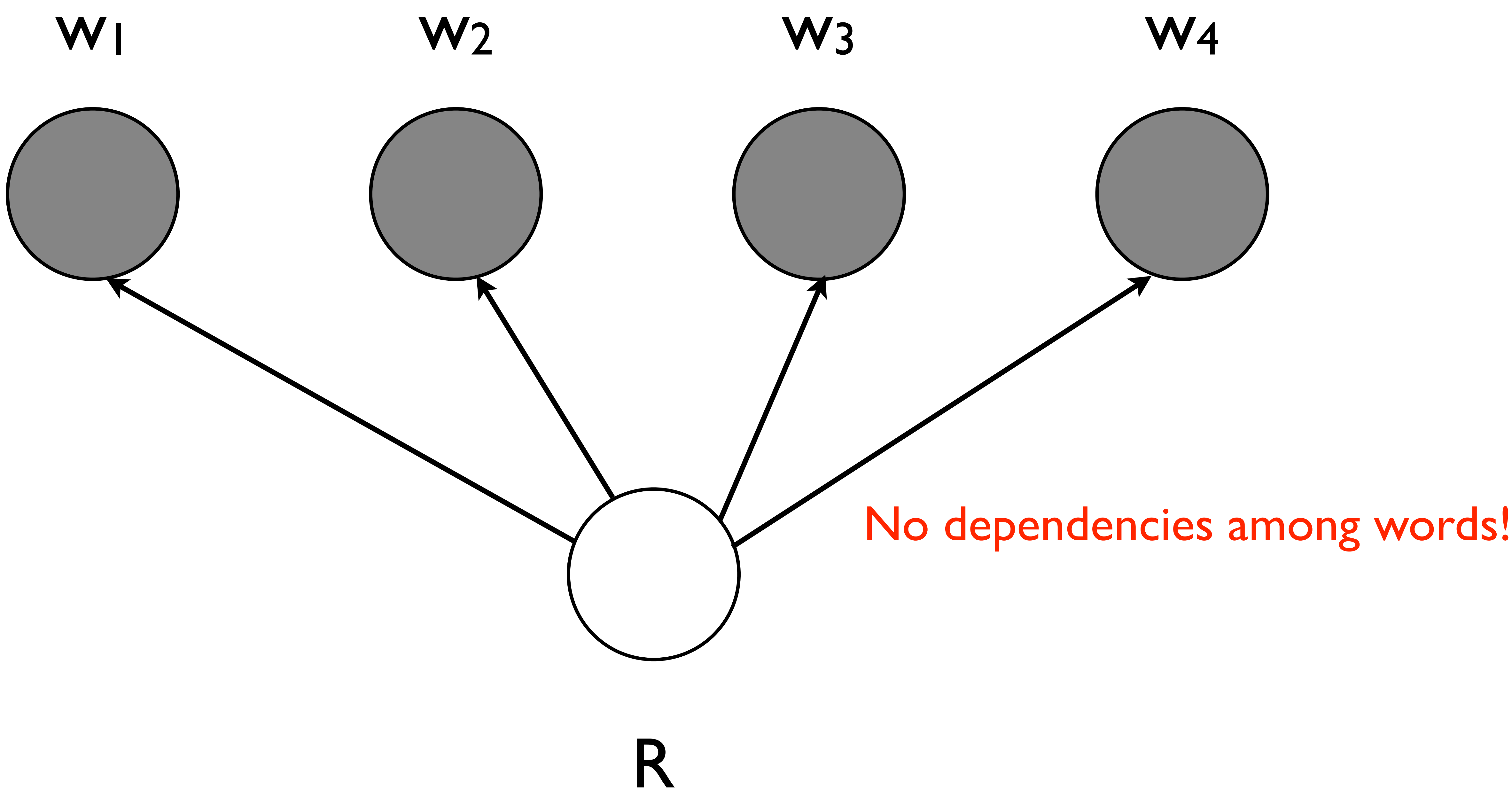
$$\max_{R \in \{\ddot\smile, \ddot\frown\}} p(R \mid w_1, w_2, \ldots, w_n) = \max_{R \in \{\ddot\smile, \ddot\frown\}} p(R)p(w_1, w_2, \ldots, w_n \mid R)$$

Posterior

Prior

Likelihood

Naive Bayes Classifier



NB on Movie Reviews

- Train models for positive, negative
- For each review, find higher posterior
- Which word probability ratios are highest?

```
>>> classifier.show_most_informative_features(5)

classifier.show_most_informative_features(5)
Most Informative Features
   contains(outstanding) = True              pos : neg    =     14.1 : 1.0
         contains(mulan) = True              pos : neg    =      8.3 : 1.0
        contains(seagal) = True              neg : pos    =      7.8 : 1.0
   contains(wonderfully) = True              pos : neg    =      6.6 : 1.0
         contains(damon) = True              pos : neg    =      6.1 : 1.0
```

What's Wrong With NB?

- What happens when word dependencies are strong?
- What happens when some words occur only once?
- What happens when the classifier sees a new word?

LMs in IR

- Three possibilities:
  - probability of generating the query text from a document language model
  - probability of generating the document text from a query language model
  - comparing the language models representing the query and document topics

Query Likelihood in IR

- Rank documents by the probability that the query could be generated by language model estimated from that document

- Given user query, start with *p(D | Q)*
- Using Bayes' Rule

$$p(D \mid Q) \overset{rank}{=} p(Q \mid D)P(D)$$

- Assuming prior is uniform, use unigram LM

$$p(Q \mid D) = \prod_{i=1}^{n} p(q_i \mid D)$$

Codes and Entropy

Codes Again

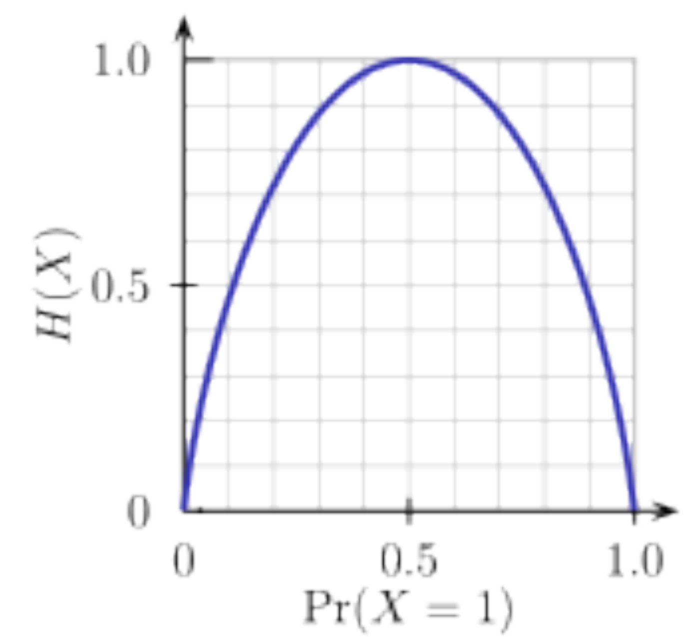
- How much *information* is conveyed in language?
- How uncertain is a classifier?
- How short of a message do we need to send to communicate given information?
- Basic idea of compression: common data elements use short codes while uncommon data elements use longer codes

Compression and Entropy

- **Entropy** measures "randomness"
  - Inverse of compressability

$$H(X) = -\sum_{i=1}^{n} p(X = x_i) \lg p(X = x_i)$$

  - Lg (base 2): measured in *bits*
  - Upper bound: lg *n*
  - Example curve for binomial



Compression and Entropy

- Entropy bounds compression rate
  - Theorem: $H(X) \leq E[\ |\text{encoded}(X)|\ ]$
  - Recall: $H(X) \leq \lg n$
  - n is the size of the domain of X
- Standard binary encoding of integers optimizes for the worst case
- With knowledge of $p(X)$, we can do better:
- $H(X) \leq E[\ |\text{encoded}(X)|\ ] < H(X) + 1$
- Bound achieved by *Huffman codes*

Predicting Language

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What informs this prediction?

Predicting Language

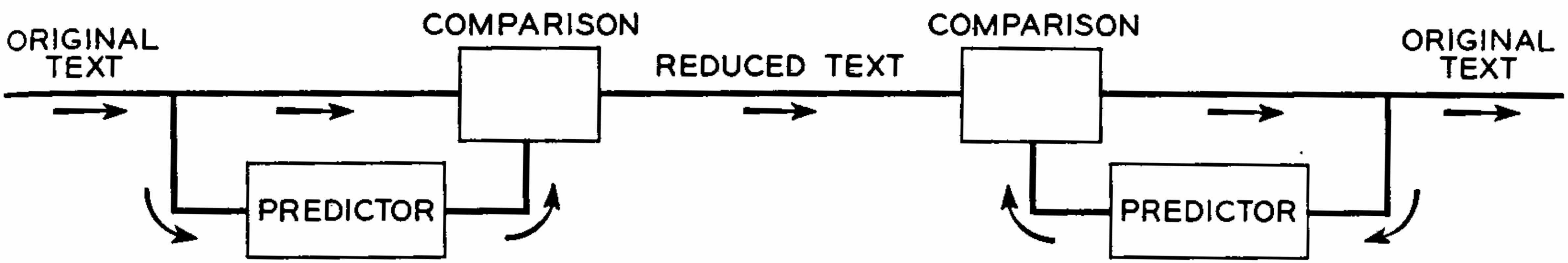


Fig. 2—Communication system using reduced text.

Claude Shannon. *Prediction and Entropy of Printed English*. 1950

Predicting Language

```
T  H  E  R  E     I  S     N  O     R  E  V  E  R  S  E     O  N     A     M  O  T  O  R  C  Y  C  L  E
-  -  -  R  -  -  I  -  -  N  -  -  R  -  V  -  -  -  E  -  O  N  -  A     M  -  -  -  -  C  -  -  -  -
1  1  1  5  1  1  2  1  1  2  1  1  15 1  17 1  1  1  2  1  3  2  1  2  2  7  1  1  1  1  4  1  1  1  1
```

The Shannon Game

http://www.ccs.neu.edu/course/cs6120sp17/shannon/

Results to

dasmith@ccs.neu.edu

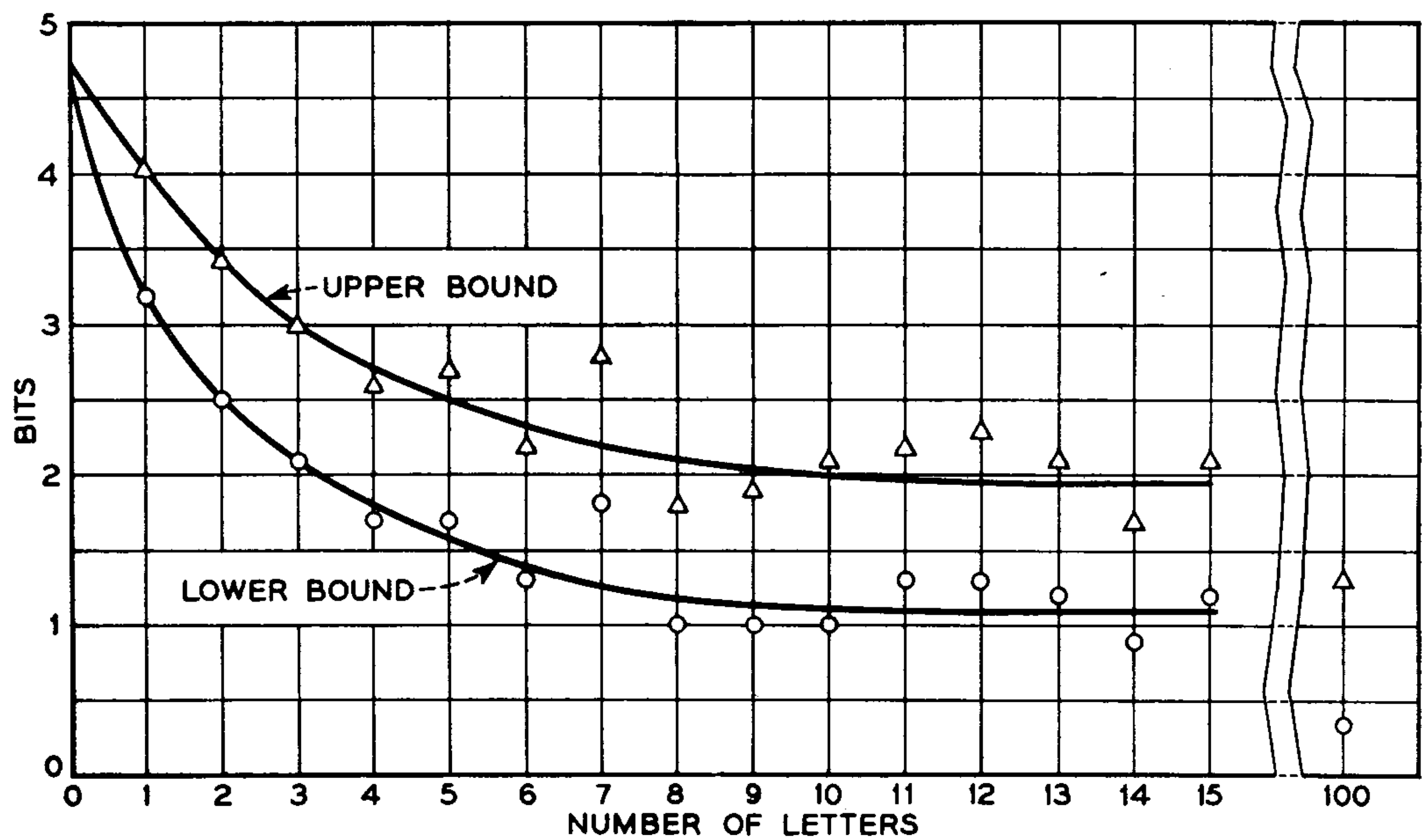


Fig. 4—Upper and lower experimental bounds for the entropy of 27-letter English.

Estimation

Simple Estimation

- Probability courses usually start with equiprobable events
  - Coin flips, dice, cards
- How likely to get a 6 rolling 1 die?
- How likely the sum of two dice is 6?
- How likely to see 3 heads in 10 flips?

Binomial Distribution

For *n* trials, *k* successes, and success probability *p*:

$$P(k) = \binom{n}{k} p^k (1-p)^{n-k}$$

Prob. mass function

$$\binom{n}{k} = \frac{n!}{k!(n-k)!}$$

Estimation problem: If we observe *n* and *k*, **what is *p*?**

Maximum Likelihood

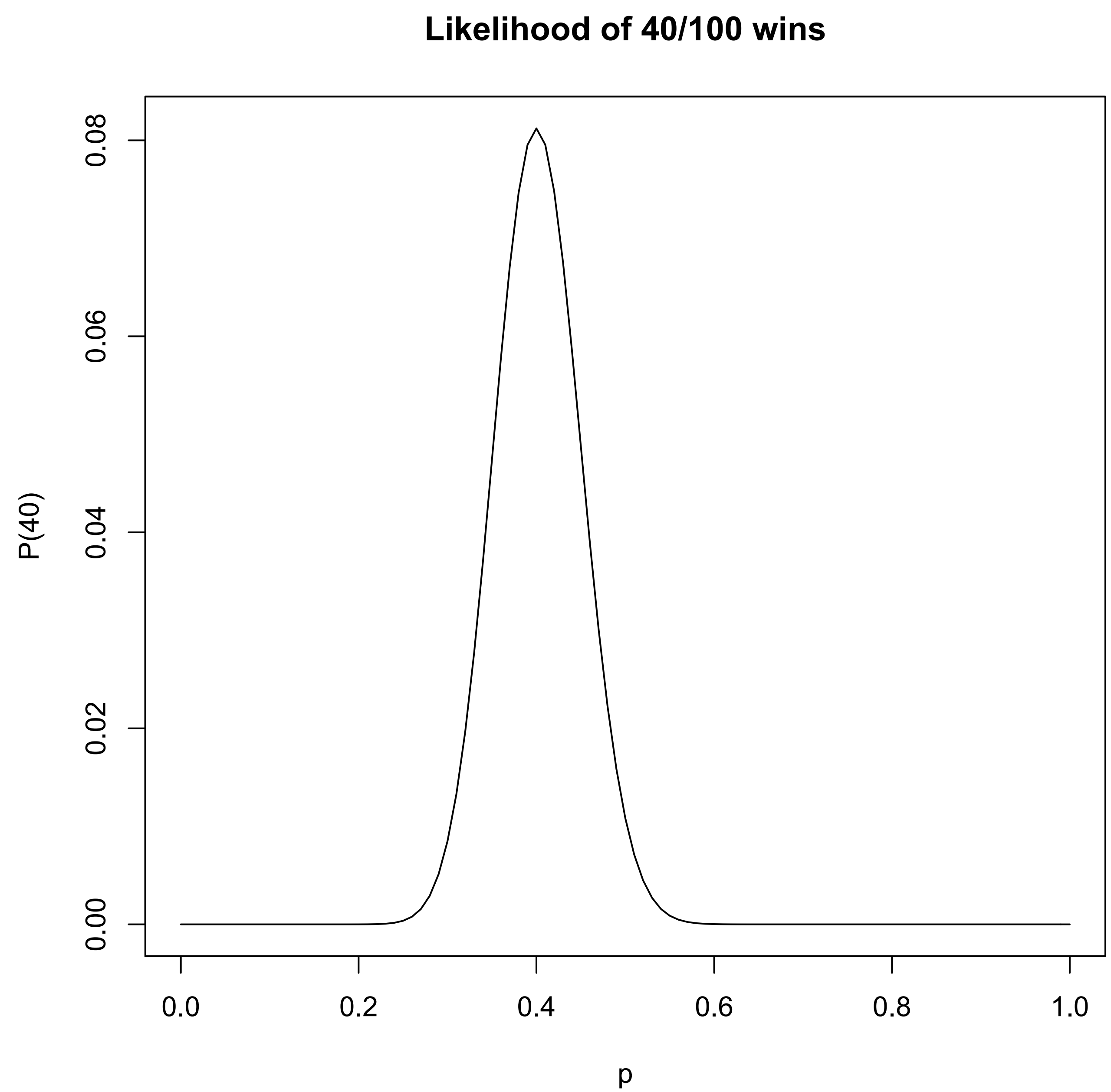
Say we win 40 games out of 100.

$$P(40) = \binom{100}{40} p^{40}(1-p)^{60}$$

The maximum likelihood estimator for *p* solves:

$$\max_p P(\text{observed data}) = \max_p \binom{100}{40} p^{40}(1-p)^{60}$$

Maximum Likelihood



Maximum Likelihood

How to solve $$\max_p \binom{100}{40} p^{40}(1-p)^{60}$$

Maximum Likelihood

How to solve $\max_p \binom{100}{40} p^{40}(1-p)^{60}$

$$\begin{aligned} 0 &= \frac{\partial}{\partial p}\binom{100}{40} p^{40}(1-p)^{60} \\ &= 40p^{39}(1-p)^{60} - 60p^{40}(1-p)^{59} \\ &= p^{39}(1-p)^{59}[40(1-p) - 60p] \\ &= p^{39}(1-p)^{59} 40 - 100p \end{aligned}$$

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Solutions: 0, 1, .4

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In general, *k*/*n*

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\end{aligned}
$$

The maximizer!

In general, *k*/*n*

Solutions: 0, 1, .4

This is trivial here, but a widely useful approach.

ML for Language Models

- Say the corpus has "in the" 100 times
- If we see "in the beginning" 5 times,

  p_{ML}(beginning | in the) = ?
- If we see "in the end" 8 times,

  p_{ML}(end | in the) = ?
- If we see "in the kitchen" 0 times,

  p_{ML}(kitchen | in the) = ?

ML for Naive Bayes

- Recall: p(+ | Damon movie)

  = p(Damon | +) p(movie | +) p(+)

- If corpus of positive reviews has 1000 words, and "Damon" occurs 50 times,

  p_{ML}(Damon | +) = ?

- If pos. corpus has "Affleck" 0 times,

  p(+ | Affleck Damon movie) = ?

Will the Sun Rise Tomorrow?

Will the Sun Rise Tomorrow?

Laplace's Rule of Succession:
On day n+1, we've observed that the sun has risen s times before.

$$p_{Lap}(S_{n+1} = 1 \mid S_1 + \cdots + S_n = s) = \frac{s+1}{n+2}$$

What's the probability on day 0?
On day 1?
On day 10^6?
Start with prior assumption of equal rise/not-rise probabilities; *update* after every observation.

Laplace (Add One) Smoothing

- From our earlier example:

  p_{ML}(beginning | in the) = 5/100? reduce!

  p_{ML}(end | in the) = 8/100? reduce!

  p_{ML}(kitchen | in the) = 0/100? increase!

Laplace (Add One) Smoothing

- Let V be the vocabulary size:

  i.e., the number of unique words that could follow "in the"

- From our earlier example:

  p_{ML}(beginning | in the) = (5 + 1)/(100 + V)

  p_{ML}(end | in the) = (8 + 1)/(100 + V)

  p_{ML}(kitchen | in the) = (0 + 1) / (100 + V)

Generalized Additive Smoothing

- Laplace add-one smoothing generally assigns *too much* probability to unseen words

- More common to use λ instead of 1:

$$
\begin{aligned}
p(w_3 \mid w_1, w_2) &= \frac{C(w_1, w_2, w_3) + \lambda}{C(w_1, w_2) + \lambda V} \\
&= \mu \frac{C(w_1, w_2, w_3)}{C(w_1, w_2)} + (1 - \mu) \frac{1}{V} \\
\mu &= \frac{C(w_1, w_2)}{C(w_1, w_2) + \lambda V}
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$$

interpolation

Generalized Additive Smoothing

- Laplace add-one smoothing generally assigns *too much* probability to unseen words
- More common to use λ instead of 1:

What's the right λ?

$$
\begin{aligned}
p(w_3 \mid w_1, w_2) &= \frac{C(w_1, w_2, w_3) + \lambda}{C(w_1, w_2) + \lambda V} \\
&= \mu \frac{C(w_1, w_2, w_3)}{C(w_1, w_2)} + (1 - \mu) \frac{1}{V} \\
\mu &= \frac{C(w_1, w_2)}{C(w_1, w_2) + \lambda V}
\end{aligned}
$$

interpolation

Picking Parameters

- What happens if we optimize parameters on training data, i.e. the same corpus we use to get counts?
- Maximum likelihood estimate!
- Use *held-out data* aka *development data*

Good-Turing Smoothing

- Intuition: Can judge rate of novel events by rate of singletons
  - Developed to estimate # of unseen species in field biology
- Let N_r = # of word types with r training tokens
  - e.g., N_0 = number of unobserved words
  - e.g., N_1 = number of singletons (hapax legomena)
- Let $N = \sum r N_r$ = total # of training tokens

Good-Turing Smoothing

- Max. likelihood estimate if w has r tokens? r/N
- Total max. likelihood probability of all words with r tokens? N_r r / N
- Good-Turing estimate of this total probability:
  - Defined as: N_{r+1} (r+1) / N
  - So proportion of novel words in test data is estimated by proportion of singletons in training data.
  - Proportion in test data of the N_1 singletons is estimated by proportion of the N_2 doubletons in training data. etc.
  - p(any given word w/freq. r) = N_{r+1} (r+1) / (N N_r)
- NB: No parameters to tune on held-out data

Backoff

- Say we have the counts:

  C(in the kitchen) = 0

  C(the kitchen) = 3

  C(kitchen) = 4

  C(arboretum) = 0

- ML estimates seem counterintuitive:

  p(kitchen | in the) = p(arboretum | in the) = 0

Backoff

- Clearly we shouldn't treat "kitchen" the same as "arboretum"
- Basic add-λ (and similar) smoothing methods assign the same prob. to *all* unseen events
- **Backoff** divides up prob. of unseen unevenly in proportion to, e.g., lower-order n-grams
- If $p(z \mid x,y) = 0$, use $p(z \mid y)$, etc.

Deleted Interpolation

- Simplest form of backoff (Jelinek-Mercer)
- Form a *mixture* of different order n-gram models; learn weights on held-out data

$$
\begin{aligned}
p_{del}(z \mid x, y) &= \alpha_3 p(z \mid x, y) + \alpha_2 p(z \mid y) + \alpha_1 p(z) \\
\sum \alpha_i &= 1
\end{aligned}
$$

- How else could we back off?

Reading

- Bo Pang, Lillian Lee, Shivakumar Vaithyanathan. *Thumbs up? Sentiment Classification using Machine Learning Techniques*. EMNLP 2002.
- Victor Chahuneau, Kevin Gimpel, Bryan R. Routledge, Lily Scherlis, and Noah A. Smith. *Word Salad: Relating Food Prices and Descriptions*. EMNLP 2012.
- LM background: Jurafsky & Martin, c.4