

# Lecture 12: Introduction to Graphs and Trees

## CS 5002: Discrete Math

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# Section 1

## Review: Proof Techniques

How to prove that an algorithm is correct?

Proof by:

- Counterexample (*indirect proof*)
- Induction (*direct proof*)
- Loop Invariant

Other approaches: proof by cases/enumeration, proof by chain of ifs, proof by contradiction, proof by contrapositive



# Proof by Counterexample

Searching for counterexamples is the best way to disprove the correctness of some things.

- Identify a case for which something is NOT true
- If the proof seems hard or tricky, sometimes a counterexample works
- Sometimes a counterexample is just easy to see, and can shortcut a proof
- If a counterexample is hard to find, a proof might be easier

# Proof by Induction

Failure to find a counterexample to a given algorithm does not mean “it is obvious” that the algorithm is correct.

Mathematical induction is a very useful method for proving the correctness of recursive algorithms.

- 1 Prove base case
- 2 Assume true for arbitrary value  $n$
- 3 Prove true for case  $n + 1$

# Proof by Loop Invariant

- Built off proof by induction.
- Useful for algorithms that loop.

Formally: find loop invariant, then prove:

- 1 Define a Loop Invariant
- 2 Initialization
- 3 Maintenance
- 4 Termination

Informally:

- 1 Find  $p$ , a loop invariant
- 2 Show the base case for  $p$
- 3 Use induction to show the rest.

# Proof by Loop Invariant Is...

***Invariant***: something that is always true

After finding a candidate loop invariant, we prove:

- ❶ ***Initialization***: How does the invariant get initialized?
- ❷ ***Loop Maintenance***: How does the invariant change at each pass through the loop?
- ❸ ***Termination***: Does the loop stop? When?

# Steps to Loop Invariant Proof

After finding your loop invariant:

- Initialization

- Prior to the loop initiating, does the property hold?

- Maintenance

- After each loop iteration, does the property still hold, given the initialization properties?

- Termination

- After the loop terminates, does the property still hold? And for what data?

# Things to remember

- **Algorithm termination** is necessary for proving correctness of the entire algorithm.
- **Loop invariant termination** is necessary for proving the behavior of the given loop.

# Summary

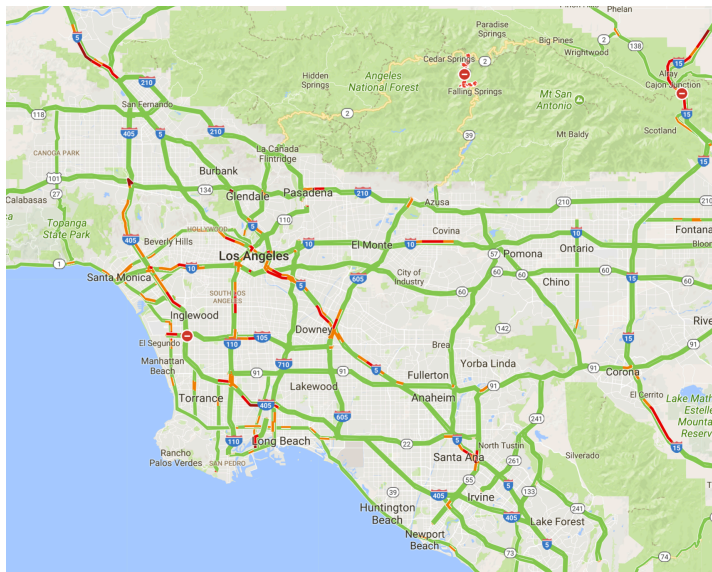
- Approaches to proving algorithms correct
- Counterexamples
  - Helpful for greedy algorithms, heuristics
- Induction
  - Based on mathematical induction
  - Once we prove a theorem, can use it to build an algorithm
- Loop Invariant
  - Based on induction
  - Key is finding an invariant
- Lots of examples

## Section 2

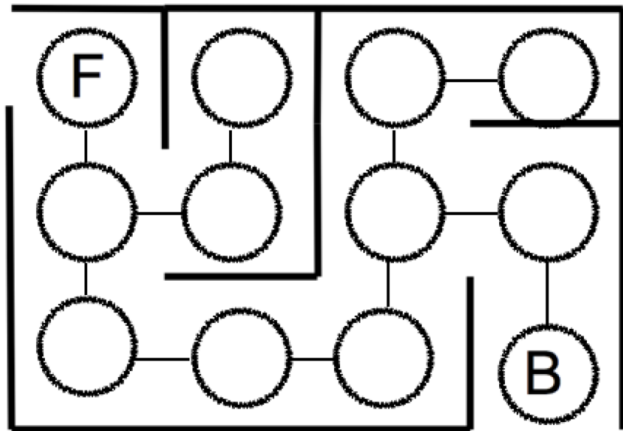
### Some Graph and Tree Problems



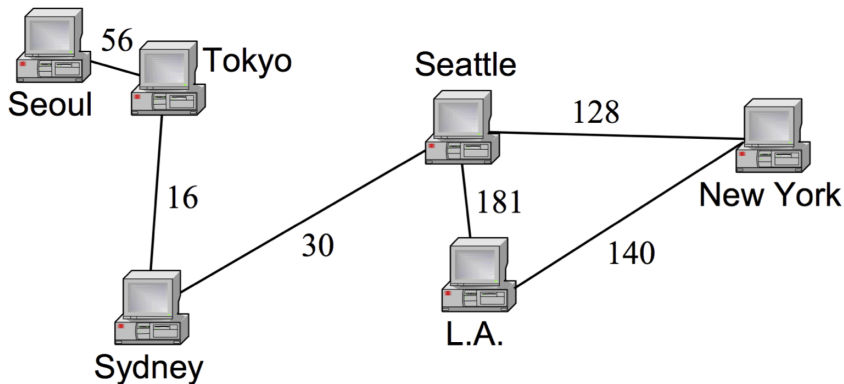
# Outdoors Navigation



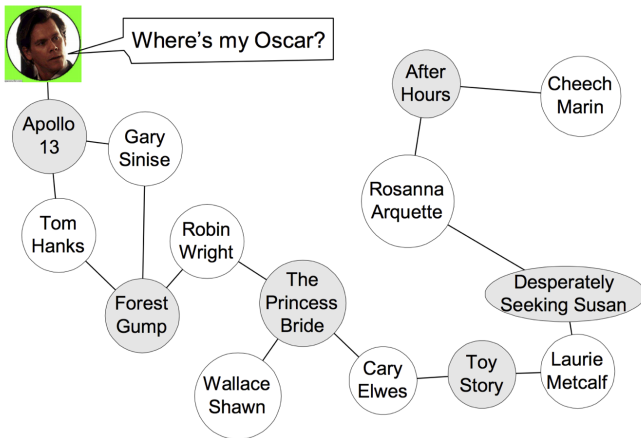
# Indoors Navigation



# Telecommunication Networks



# Social Networks



## Section 3

# Introduction to Trees

# What is a Tree?

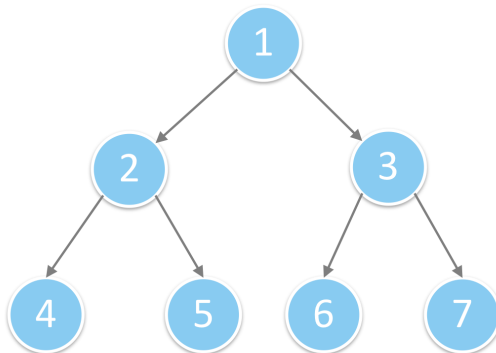


**Tree** - a directed, acyclic structure of linked nodes

- **Directed** - one-way links between nodes (no cycles)
- **Acyclic** - no path wraps back around to the same node twice (typically represents hierarchical data)

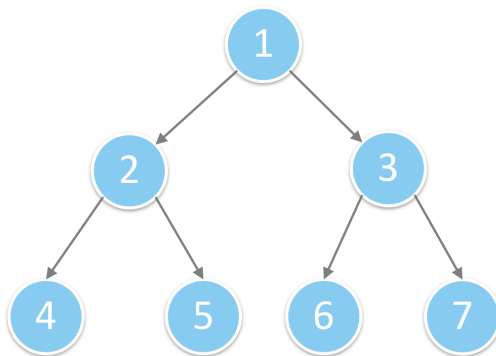
# Tree Terminology: Nodes

- **Tree** - a directed, acyclic structure of linked nodes
- **Node** - an object containing a data value and links to other nodes
  - All the blue circles



# Tree Terminology: Edges

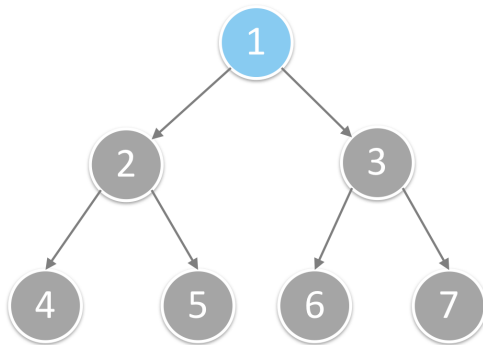
- **Tree** - a directed, acyclic structure of linked nodes
- **Edge** - directed link, representing relationships between nodes
  - All the grey lines





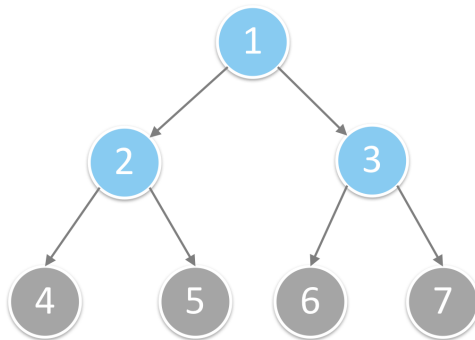
# Root Node

- **Tree** - a directed, acyclic structure of linked nodes
- **Root** - the start of the tree tree)
  - The top-most node in the tree
  - Node without parents



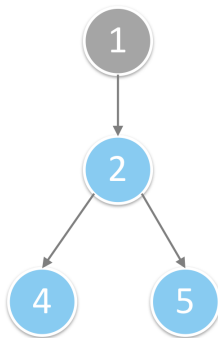
# Parent Nodes

- **Tree** - a directed, acyclic structure of linked nodes
- **Parent (ancestor)** - any node with at least one child
  - The blue nodes



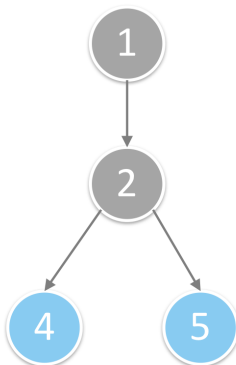
# Children Nodes

- **Tree** - a directed, acyclic structure of linked nodes
- **Child (descendant)** - any node with a parent
  - The blue nodes



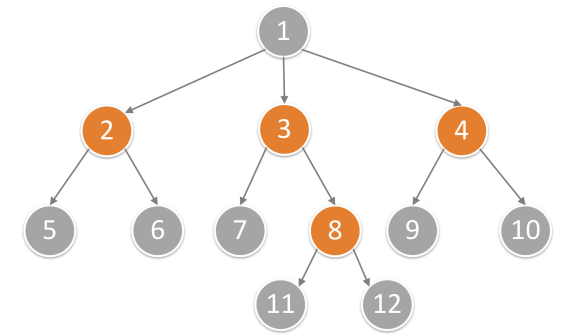
# Sibling Nodes

- **Tree** - a directed, acyclic structure of linked nodes
- **Siblings** - all nodes on the same level
  - The blue nodes



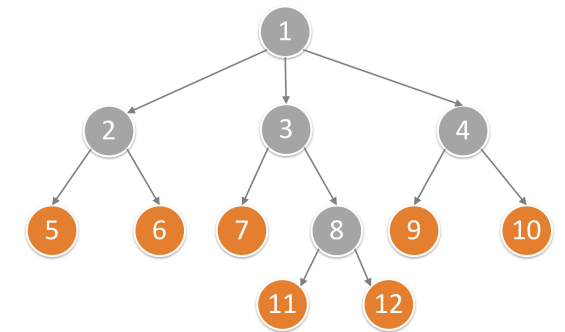
# Internal Nodes

- **Tree** - a directed, acyclic structure of linked nodes
- **Internal node** - a node with at least one children (except root)
  - All the orange nodes



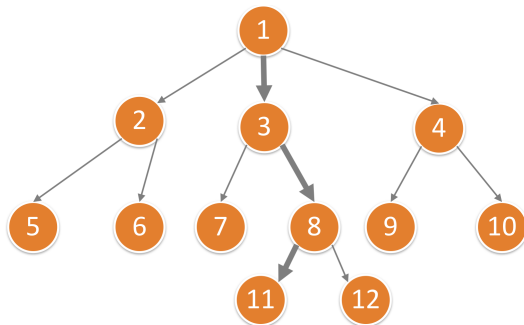
# Leaf (External) Nodes

- **Tree** - a directed, acyclic structure of linked nodes
- **External node** - a node without children
  - All the orange nodes



# Tree Path

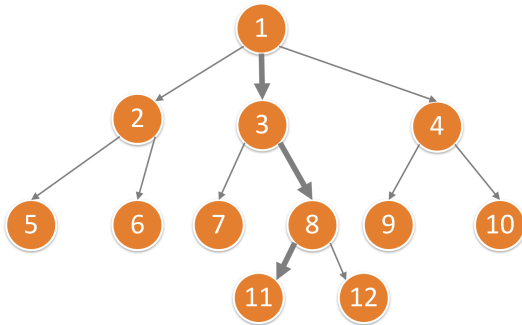
- **Tree** - a directed, acyclic structure of linked nodes
- **Path** - a sequence of edges that connects two nodes
  - All the orange nodes



# Node Level

■ **Node level** -  $1 + [\text{the number of connections between the node and the root}]$

- The level of node 1 is 1
- The level of node 11 is 4

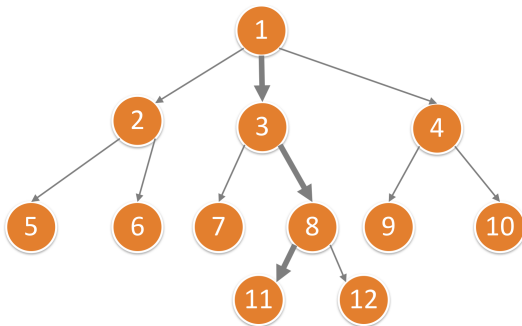




# Node Height

■ **Node height** - the length of the longest path from the node to some leaf

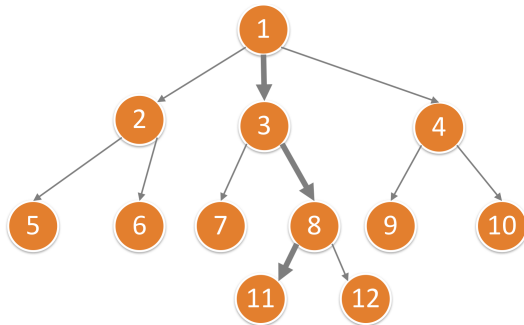
- **The height of any leaf node is 0**
- The height of node 8 is 1
- The height of node 1 is 3
- The height of node 11 is 0



# Tree Height

## Tree height

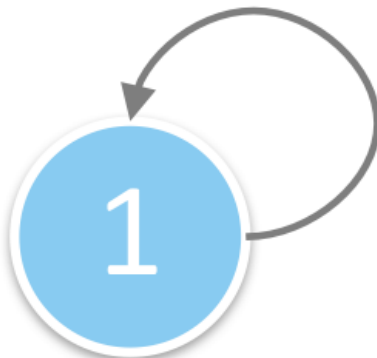
- The height of the root of the tree, or
- The number of levels of a tree - 1.
  - The height of the given tree is 3.



# What is Not a Tree?

Problems:

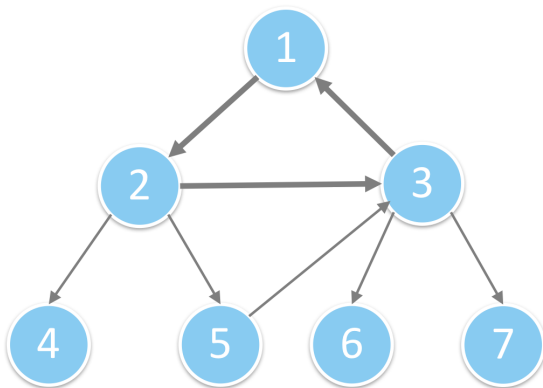
- **Cycles:** the only node has a cycle
- **No root:** the only node has a parent (itself, because of the cycle), so there is no root



# What is Not a Tree?

Problems:

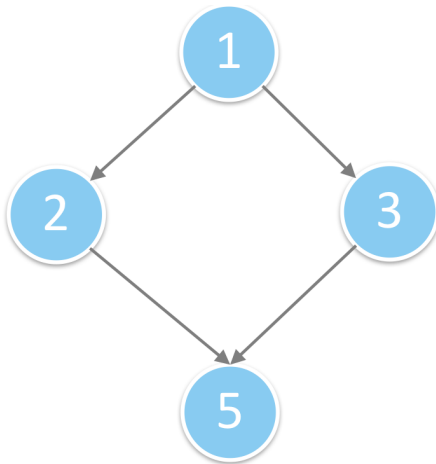
- **Cycles:** there is a cycle in the tree
- **Multiple parents:** node 3 has multiple parents on different levels



# What is Not a Tree?

Problems:

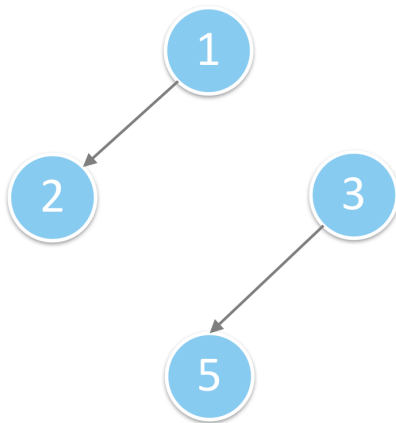
- **Cycles:** there is an undirected cycle in the tree
- **Multiple parents:** node 5 has multiple parents on different levels



# What is Not a Tree?

Problems:

- **Disconnected components:** there are two unconnected groups of nodes



# Summary: What is a Tree?

- A tree is a set of **nodes**, and that set can be empty
- If the tree is not empty, there exists a special node called a **root**
- The root can have multiple children, each of which can be the root of a **subtree**

## Section 4

# Special Trees

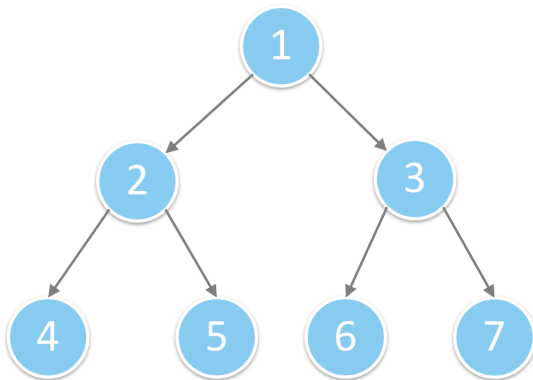


# Special Trees

- Binary Tree
- Binary Search Tree
- Balanced Tree
- Binary Heap/Priority Queue
- Red-Black Tree

# Binary Trees

**Binary tree** - a tree where every node has at most two children

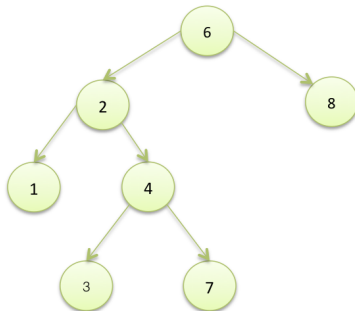
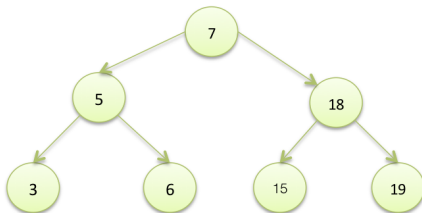


# Binary Search Trees

- **Binary search tree (BST)** - a tree where nodes are organized in a **sorted** order to make it easier to search
- At every node, you are guaranteed:
- All nodes rooted at the left child are smaller than the current node value
- All nodes rooted at the right child are smaller than the current node value

## Example: Binary Search Trees?

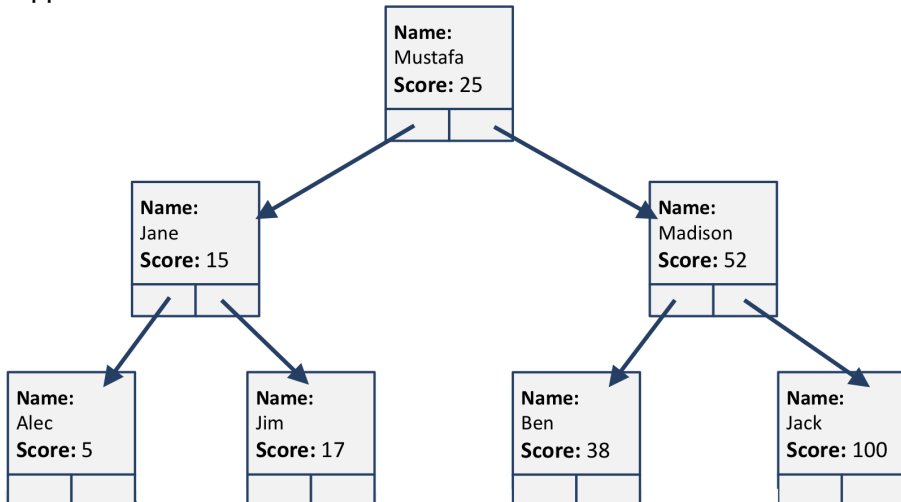
**Binary search tree (BST)** - a tree where nodes are organized in a **sorted** order to make it easier to search



- Left tree is a BST
- Right tree is not a BST - node 7 is on the left hand-side of the root node, and yet it is greater than it

## Example: Using BSTs

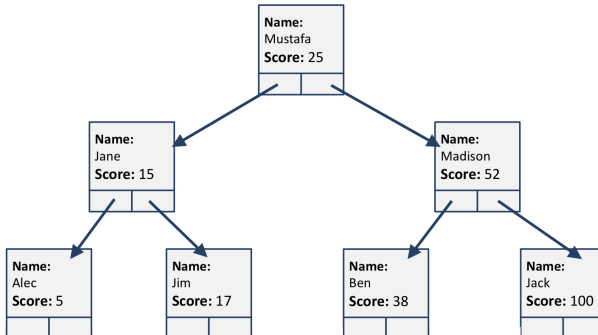
Suppose we want to find who has the score of 15...



## Example: Using BSTs

Suppose we want to find who has the score of 15:

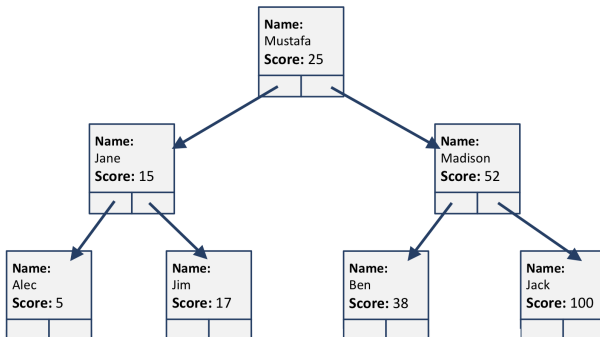
- Start at the root



## Example: Using BSTs

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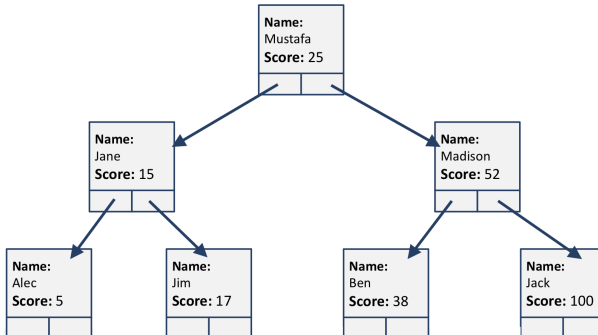
- Start at the root
- If the score is  $> 15$ , go to the left



## Example: Using BSTs

Suppose we want to find who has the score of 15:

- Start at the root
- If the score is  $> 15$ , go to the left
- If the score is  $< 15$ , go to the right

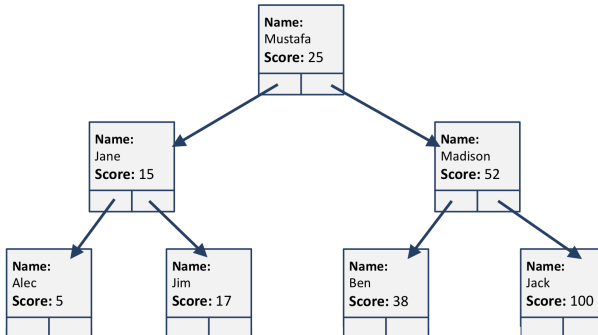




## Example: Using BSTs

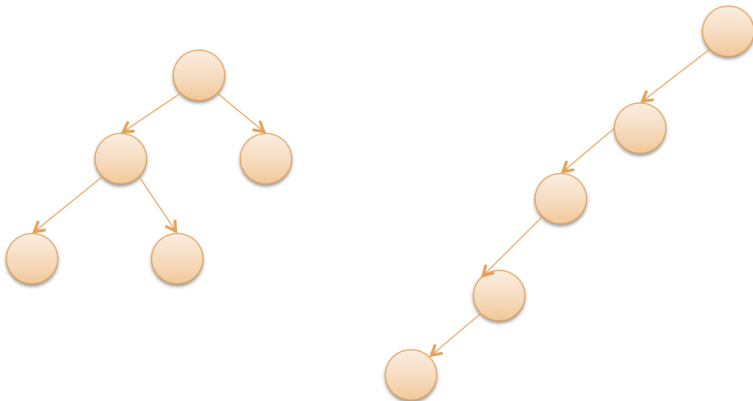
Suppose we want to find who has the score of 15:

- Start at the root
- If the score is  $> 15$ , go to the left
- If the score is  $< 15$ , go to the right
- If the score  $= 15$ , stop



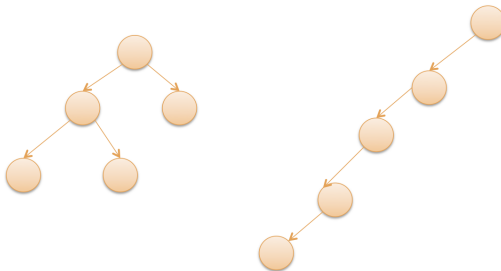
# Balanced Trees

Consider the following two trees. Which tree would it make it easier for us to search for an element?



# Balanced Trees

Consider the following two trees. Which tree would it make it easier for us to search for an element?



**Observation:** height is often key for how fast functions on our trees are.  
So, if we can, we want to choose a **balanced tree**.

# Tree Balance and Height

## How do we define balance?

- If the heights of the left and right trees are balanced, the tree is balanced, so:

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- Anything wrong with this approach?

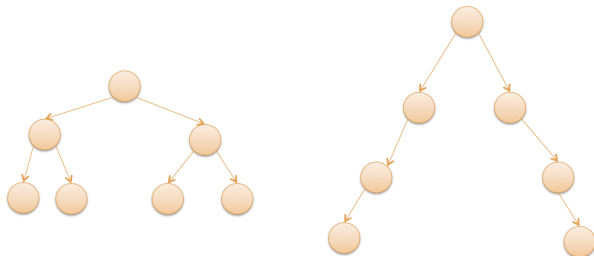
# Tree Balance and Height

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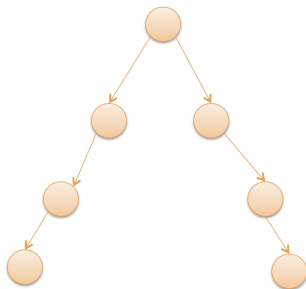
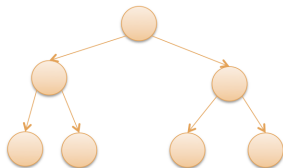
- If the heights of the left and right trees are balanced, the tree is balanced, so:

$$|(\text{height}(\text{left}) - \text{height}(\text{right}))|$$

- Anything wrong with this approach?
- Are these trees balanced?



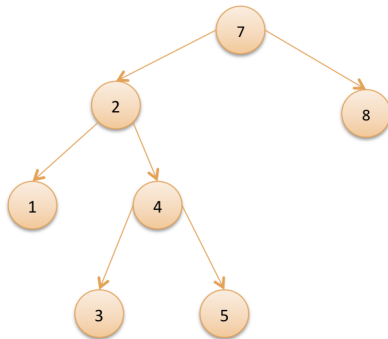
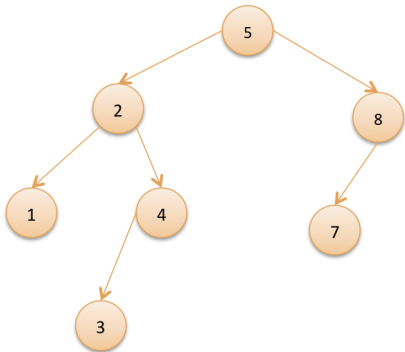
# Tree Balance and Height



- **Observation:** it is not enough to balance only root, all nodes should be balanced.
- **The balancing condition:** the heights of all left and right subtrees differ by at most 1



## Example: Balanced Trees?



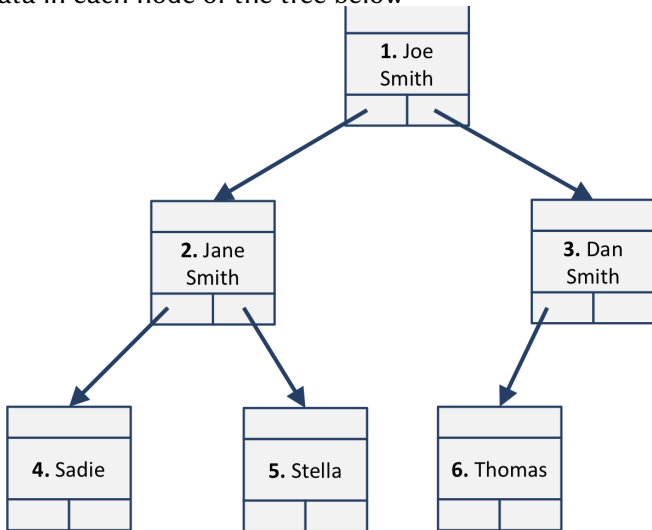
- The left tree is balanced.
- The right tree is not balanced. The height difference between nodes 2 and 8 is two.

## Section 5

# Tree Traversals

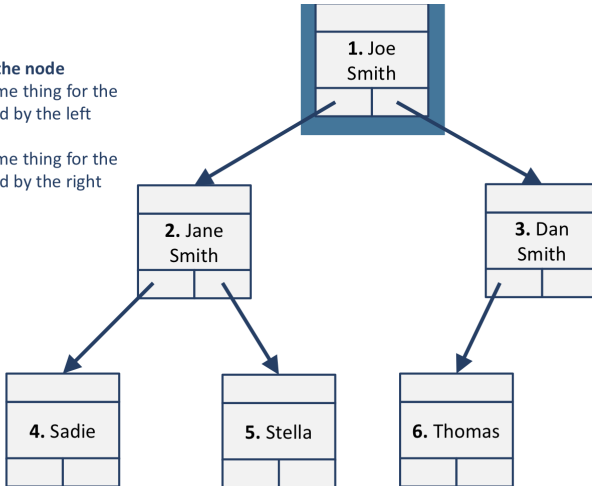
# Tree Traversals

**Challenge:** write a recursive function that starts at the root, and prints out the data in each node of the tree below



# Tree Traversals

1. **Print out the node**
2. Do the same thing for the tree rooted by the left child.
3. Do the same thing for the tree rooted by the right child.

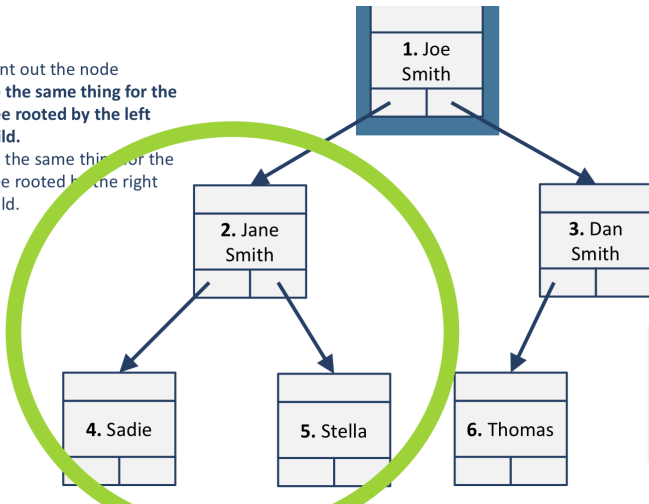


Joe Smith

Write a *recursive* function that starts at the root and prints out the data in each node of the tree.

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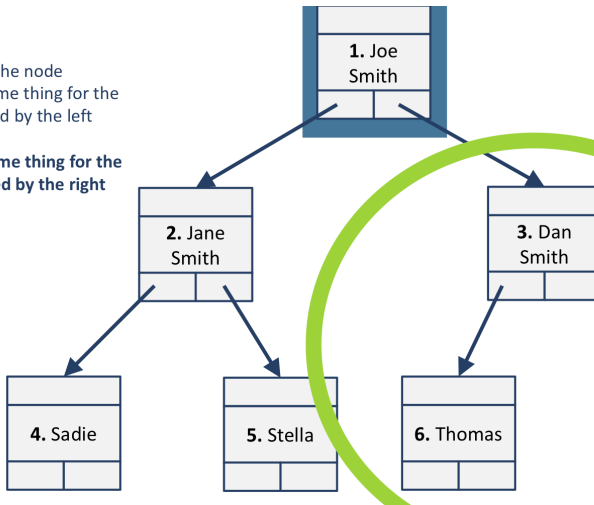


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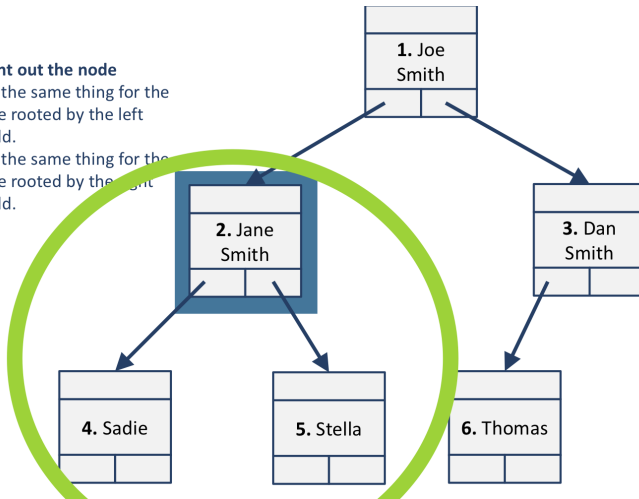


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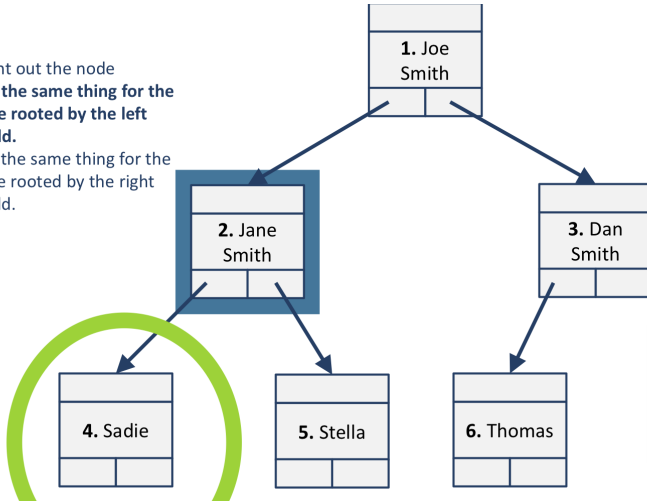


```
Joe Smith  
Jane Smith
```

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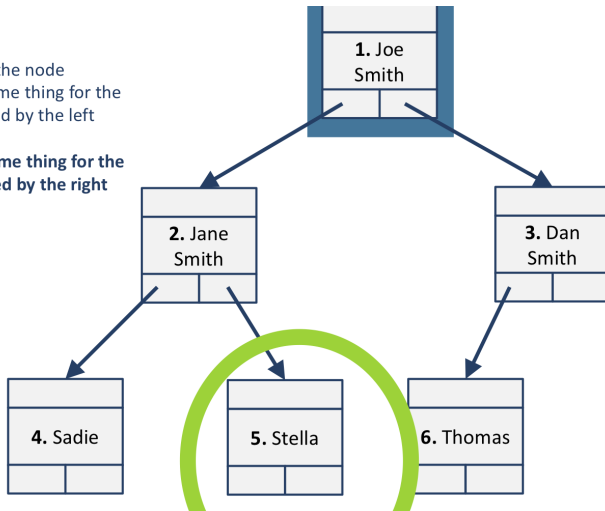
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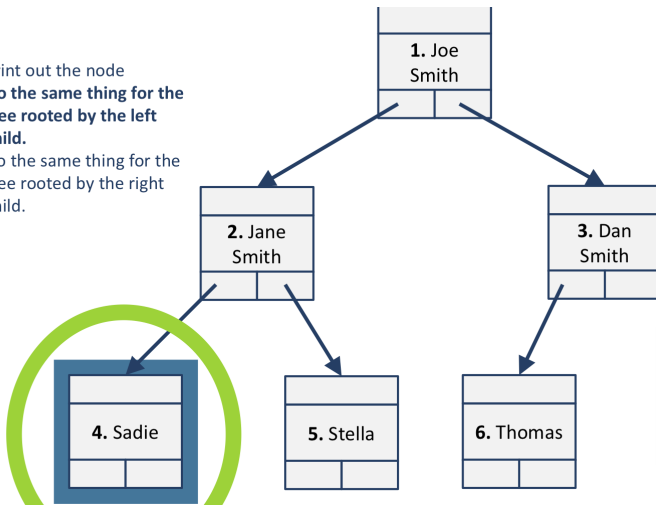


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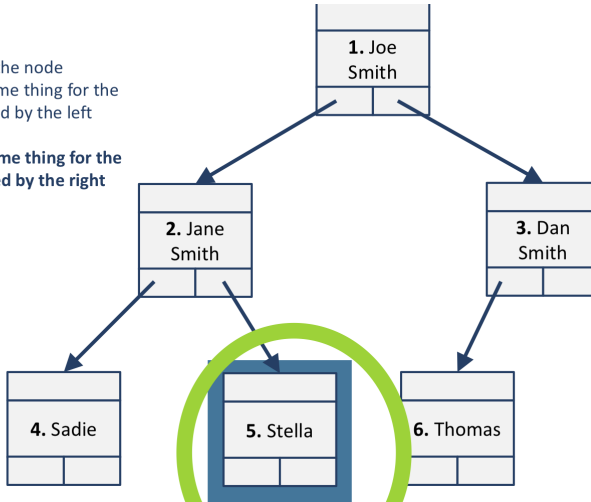


```
Joe Smith  
Jane Smith  
Sadie
```

Write a *recursive* function that starts at the root and prints out the data in each node of the tree.

# Tree Traversals

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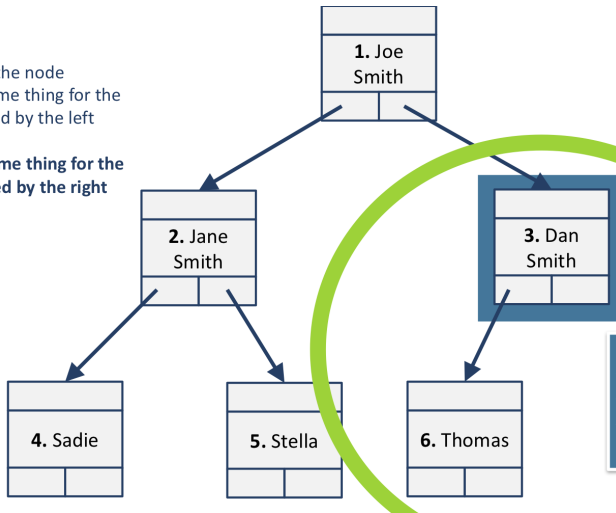


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Joe Smith  
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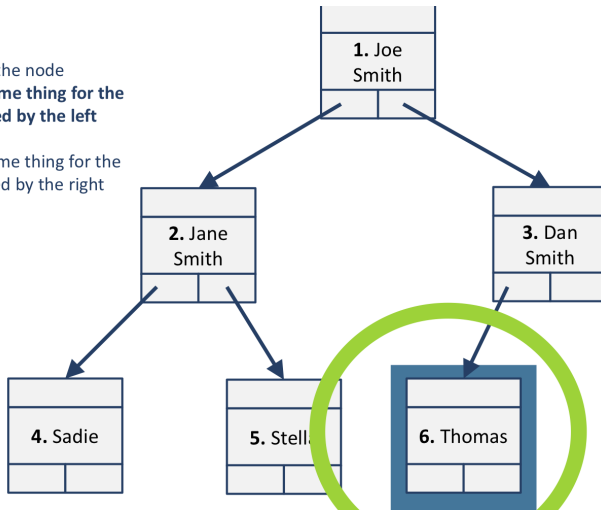


Joe Smith  
Jane Smith  
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Dan Smith

Write a **recursive** function that starts at the root and prints out the data in each node of the tree.

# Tree Traversals

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Joe Smith  
Jane Smith  
Sadie  
Stella  
Dan Smith  
Thomas

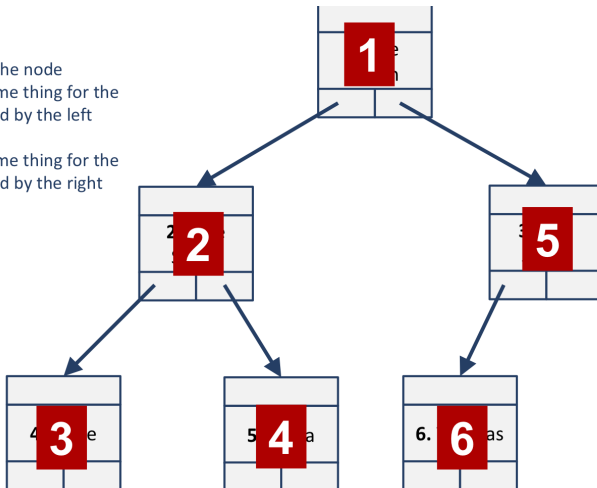
Write a *recursive* function that starts at the root and prints out the data in each node of the tree.

Summary:

```
void printTree(Node *root) {  
    printf("%s\n", root->data);  
    printTree(root->leftChild);  
    printTree(root->rightChild);  
}
```

# Tree Traversals

1. Print out the node
2. Do the same thing for the tree rooted by the left child.
3. Do the same thing for the tree rooted by the right child.



Joe Smith  
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# Tree Traversals

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## Depth-First Search/Traversal

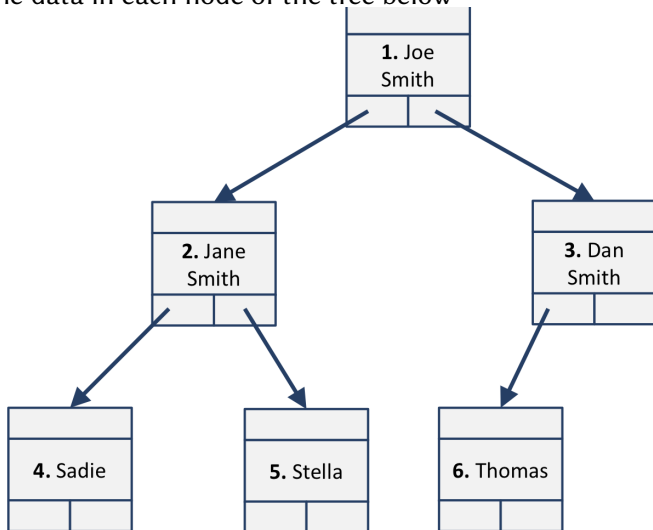


Write a recursive function that starts at the root and prints out the data in each node of the tree.

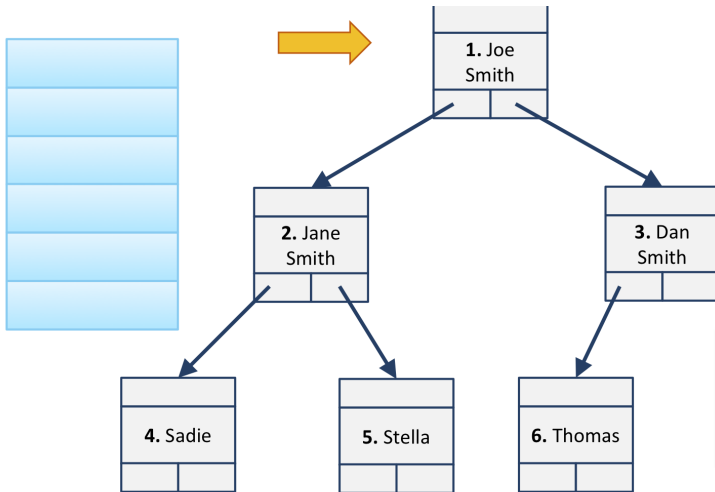


# Tree Traversals

**Challenge:** write a non-recursive function that starts at the root, and prints out the data in each node of the tree below

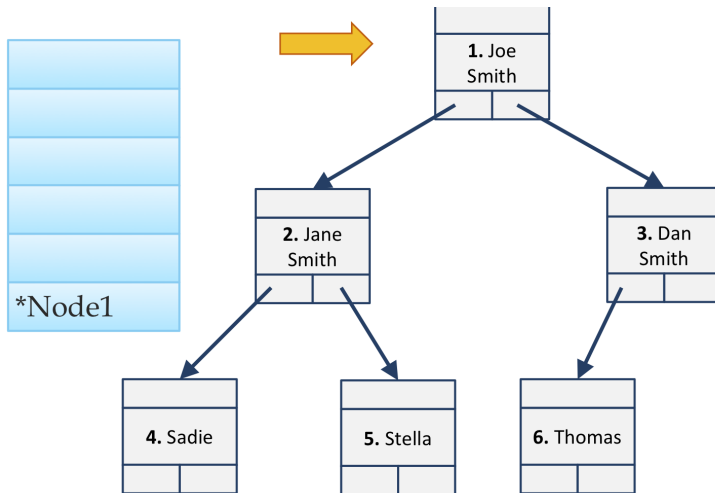


# Tree Traversals



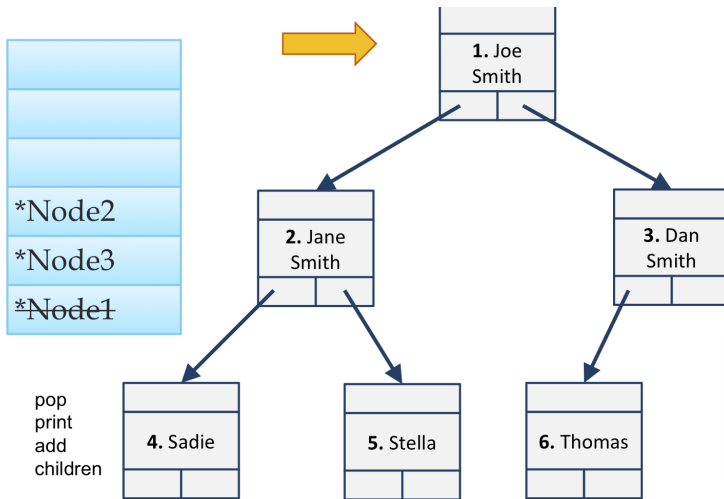
Write a *non-recursive* function that starts at the root and prints out the data in each node of the tree.

# Tree Traversals



Write a ***non-recursive*** function that starts at the root and prints out the data in each node of the tree.

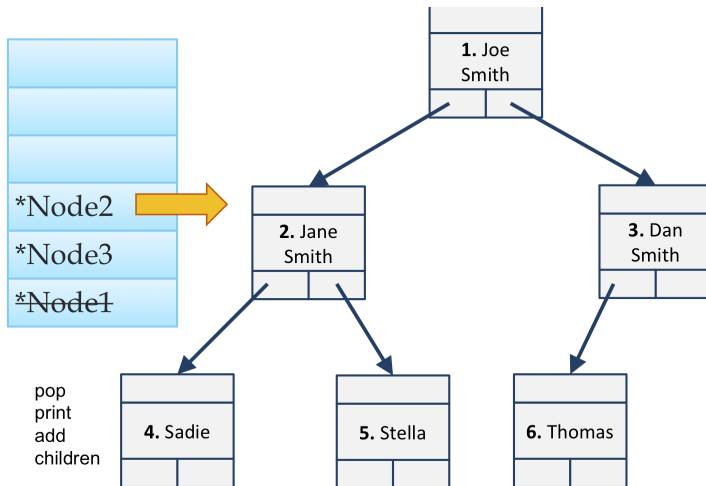
# Tree Traversals



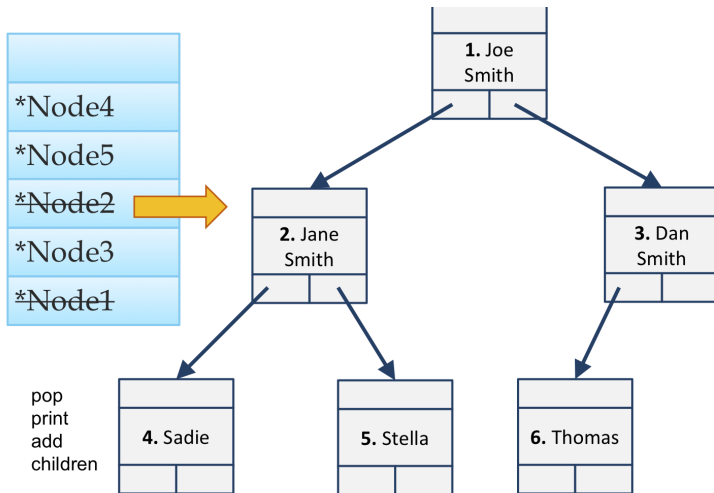
Joe Smith

Write a ***non-recursive*** function that starts at the root and prints out the data in each node the tree.

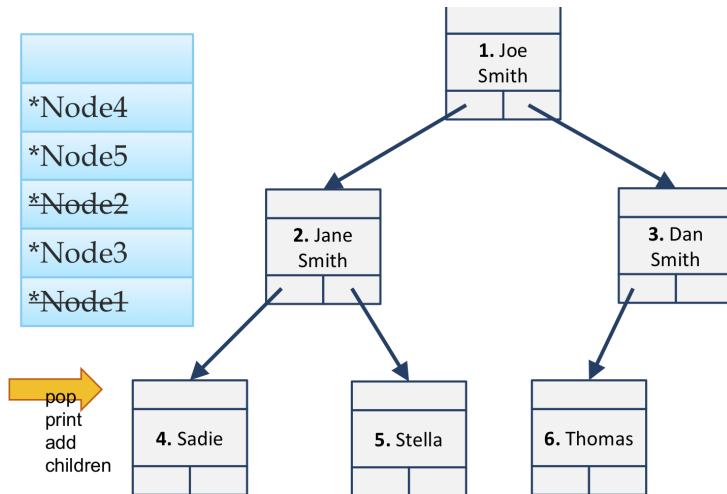
# Tree Traversals



# Tree Traversals



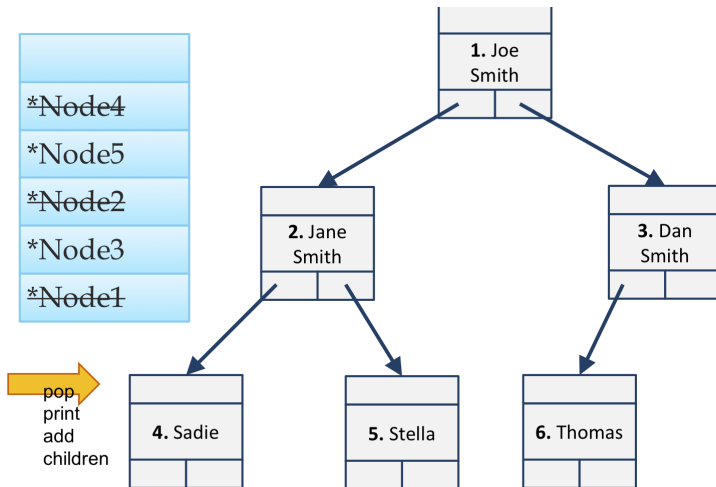
# Tree Traversals



Joe Smith  
Jane Smith

Write a *non-recursive* function that starts at the root and prints out the data in each node of the tree.

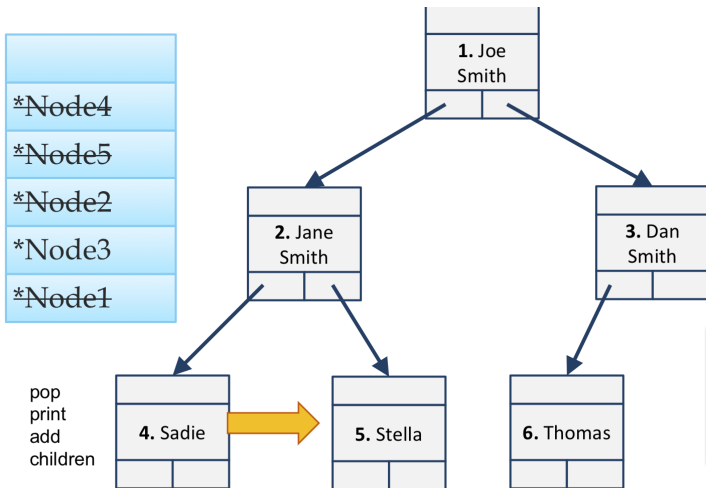
# Tree Traversals



Write a *non-recursive* function that starts at the root and prints out the data in each node of the tree.



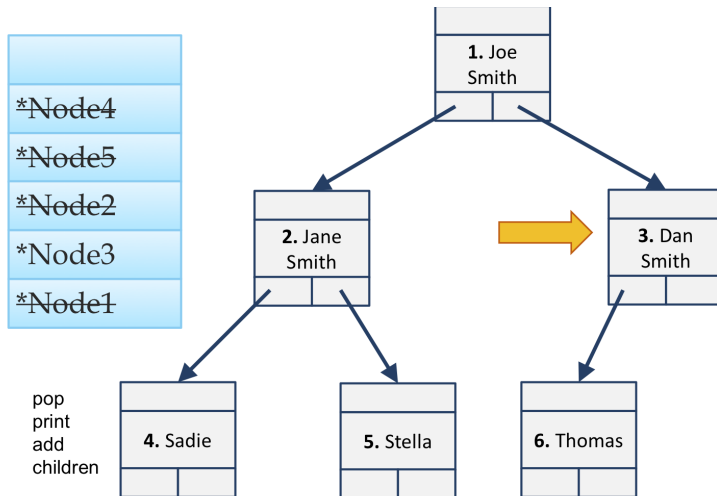
# Tree Traversals



Joe Smith  
Jane Smith  
Sadie  
Stella

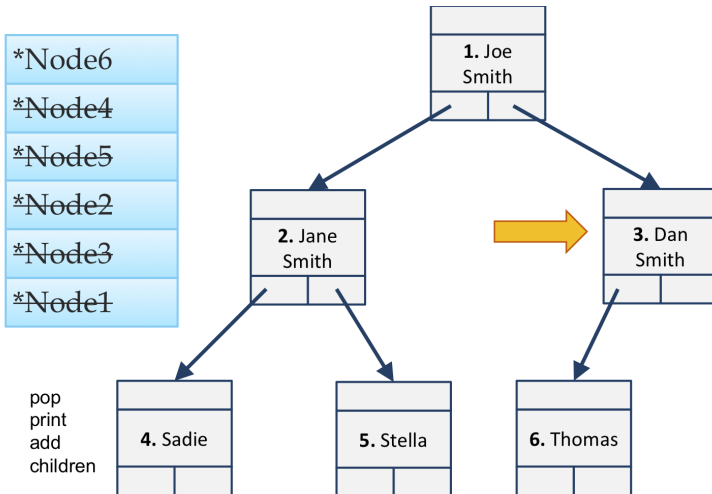
Write a *non-recursive* function that starts at the root and prints out the data in each node of the tree.

# Tree Traversals

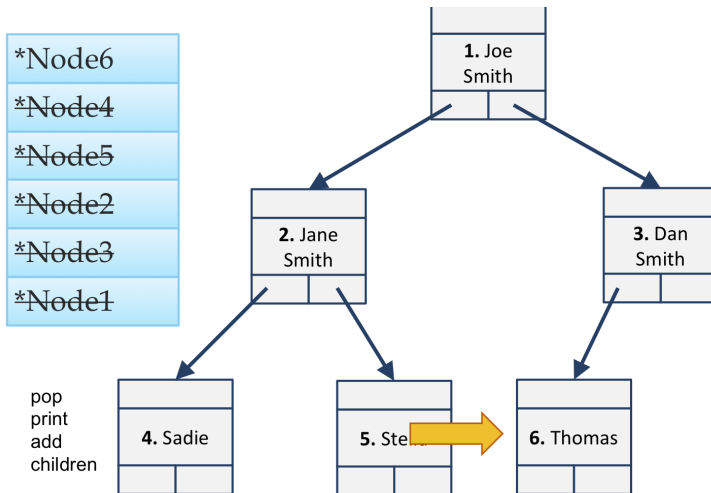


Write a ***non-recursive*** function that starts at the root and prints out the data in each node of the tree.

# Tree Traversals



# Tree Traversals



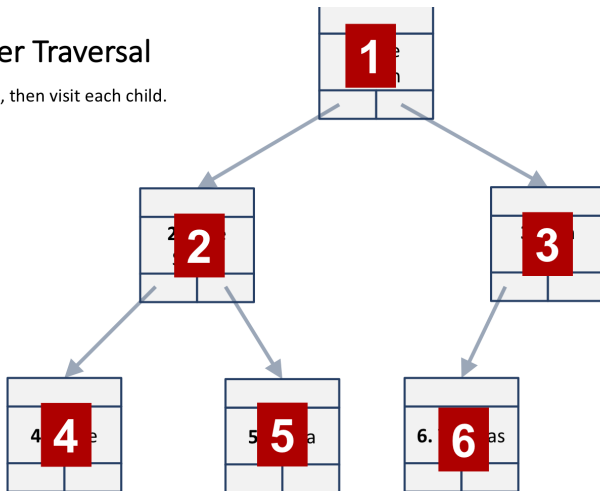
# Tree Traversals

```
stack s;  
push(s, root)  
Node* curNode;  
while (!isEmpty(s)){  
    curNode = pop(s);  
    print(curNode);  
    push(s, curNode->right);  
    push(s, curNode->left);  
}
```

# Tree Traversals

## Pre-order Traversal

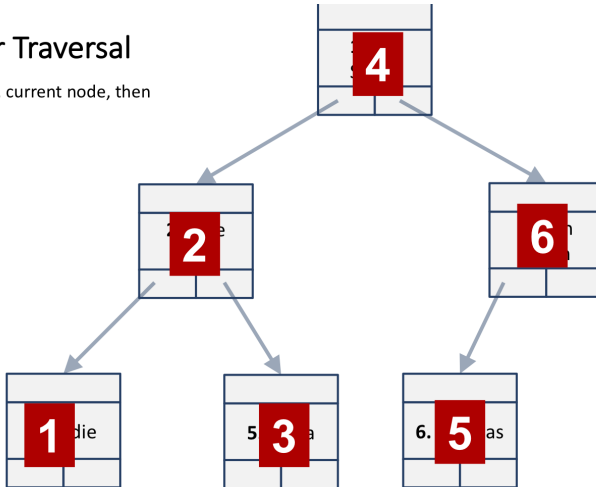
Visit the node, then visit each child.



# Tree Traversals

## In-order Traversal

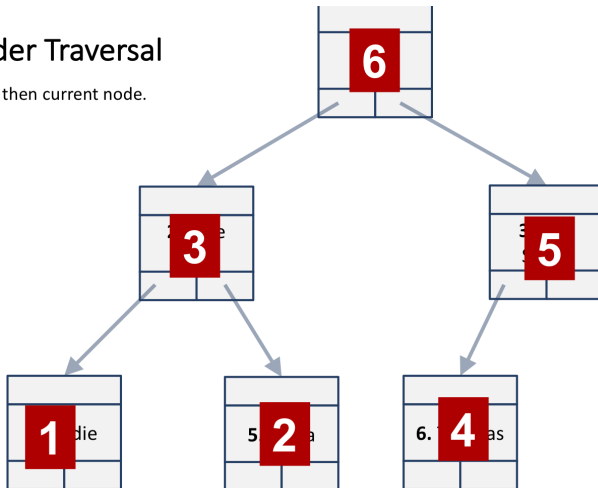
Visit left child, current node, then right child.



# Tree Traversals

## Post-order Traversal

Visit children, then current node.



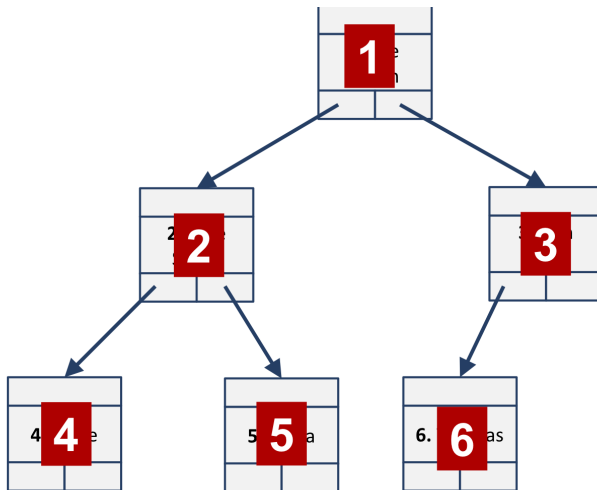


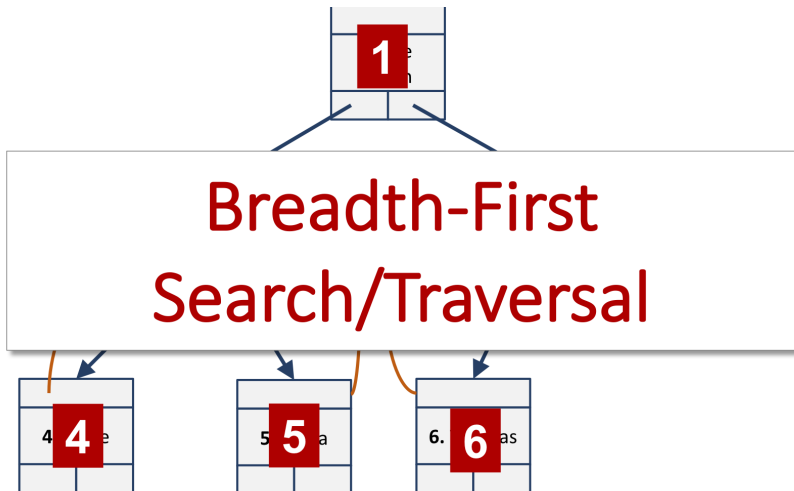
## Challenge:

Consider how to modify this algorithm to produce a post-order printing of the nodes.

HINT: You might need to add a helper variable somewhere.

# Tree Traversals

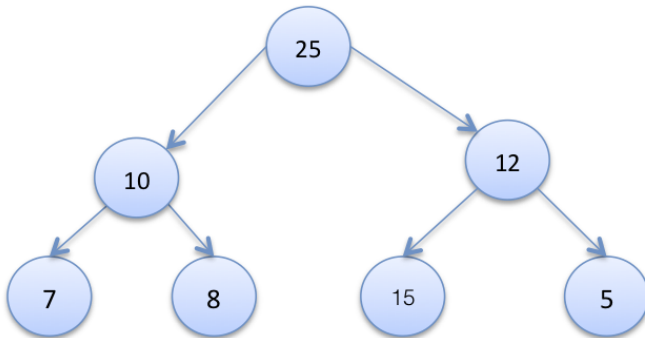




## BFS Example

Find element with value 15 in the tree below.

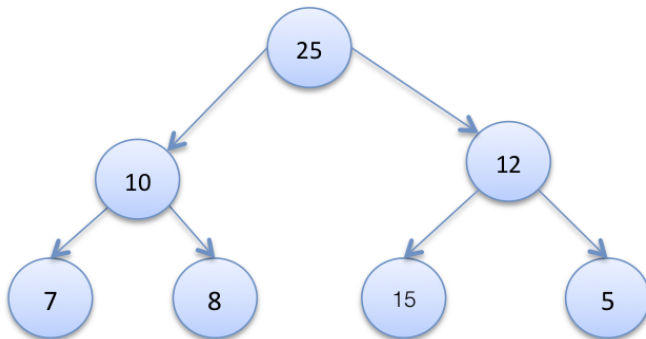
- BFS: traverse all of the nodes on the same level first, and then move on to the next (lower) level



## BFS Example

Find element with value 15 in the tree below using BFS.

- BFS: traverse all of the nodes on the same level first, and then move on to the next (lower) level

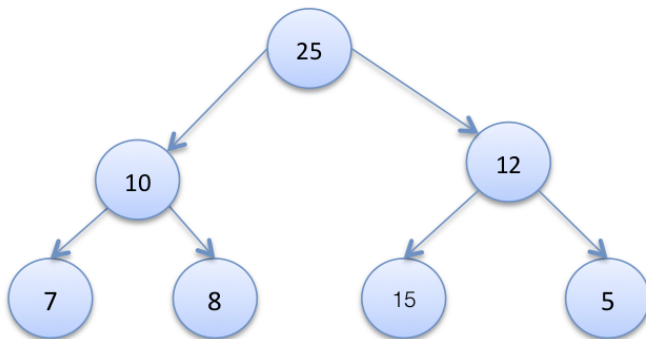


25 – 10 – 12 – 7 – 8 – 15 – 5

## DFS Example

Find element with value 15 in the tree below using DFS.

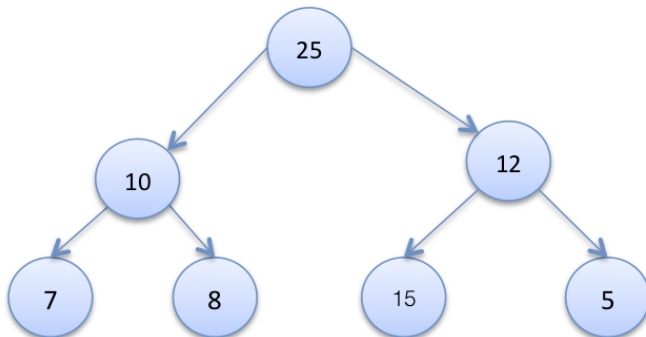
- DFS: traverse one side of the tree all the way to the leaves, followed by the other side



## DFS Example

Find element with value 15 in the tree below using DFS.

- DFS: traverse one side of the tree all the way to the leaves, followed by the other side

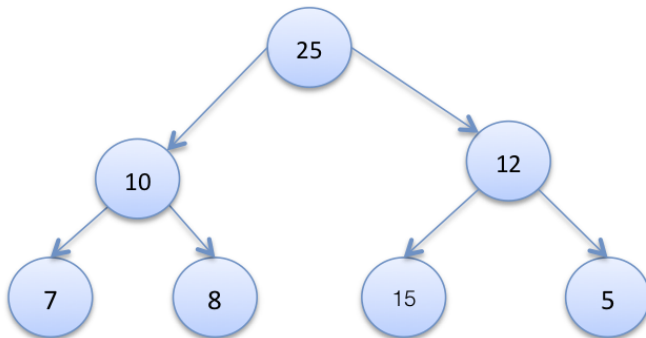


25 - 10 - 7 - 8 - 12 - 15 - 5

# Tree Traversals Example

Traverse the tree below, using:

- Pre-order traversal: 25 – 10 – 7 – 8 – 12 – 15 – 5

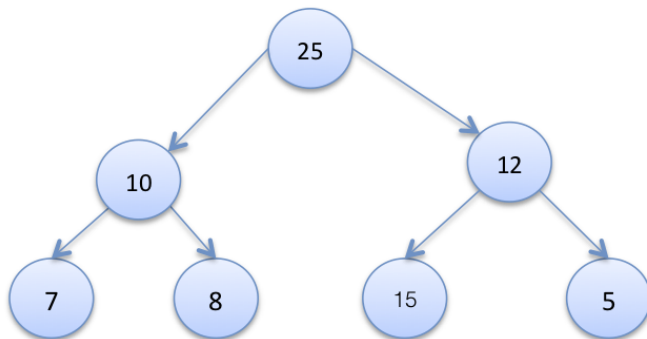




# Tree Traversals Example

Traverse the tree below, using:

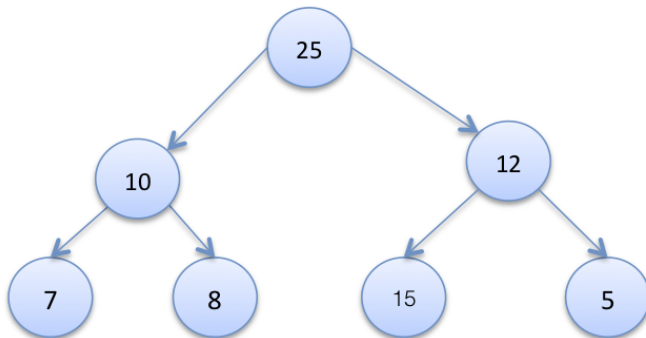
- Pre-order traversal: 25 – 10 – 7 – 8 – 12 – 15 – 5
- In-order traversal: 7 – 10 – 8 – 25 – 15 – 12 – 5



# Tree Traversals Example

Traverse the tree below, using:

- Pre-order traversal: 25 – 10 – 7 – 8 – 12 – 15 – 5
- In-order traversal: 7 – 10 – 8 – 25 – 15 – 12 – 5
- Post-order traversal: 7 – 8 – 10 – 15 – 5 – 12 – 25



## Section 6

# Introduction to Graphs

# What is a Graph?

## Formal Definition:

- A graph  $G$  is a pair  $(V, E)$  where
- $V$  is a set of vertices or nodes
- $E$  is a set of edges that connect vertices

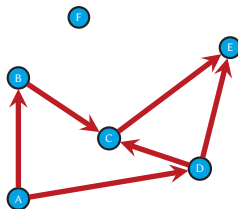
## Simply put:

- A graph is a collection of nodes (vertices) and edges
- Linked lists, trees, and heaps are all special cases of graphs

# An Example

Here is a graph  $G = (V, E)$

- Each edge is a pair  $(v_1, v_2)$ , where  $v_1, v_2$  are vertices in  $V$ 
  - $V = \{A, B, C, D, E, F\}$
  - $E = \{(A, B), (A, D), (B, C), (C, D), (C, E), (D, E)\}$

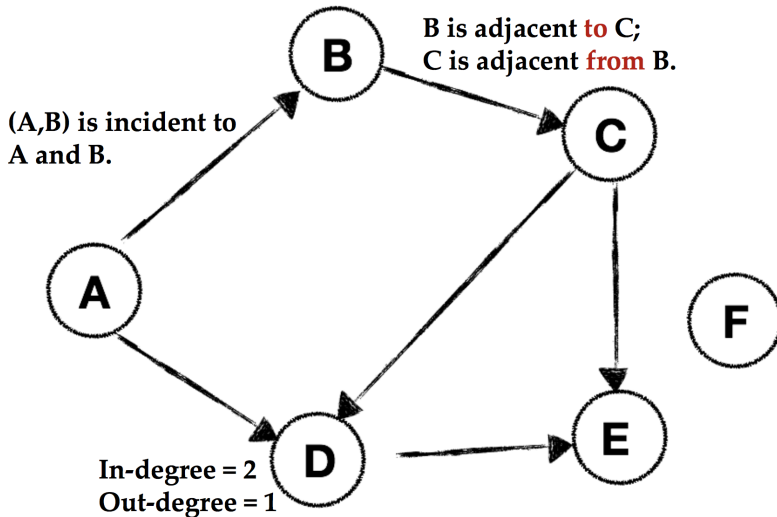


# Terminology: Undirected Graph

- Two vertices  $u$  and  $v$  are **adjacent** in an undirected graph  $G$  if  $\{u, v\}$  is an edge in  $G$ 
  - edge  $e = \{u, v\}$  is **incident** with vertex  $u$  and vertex  $v$
- The **degree** of a vertex in an undirected graph is the number of edges incident with it
  - a self-loop counts twice (both ends count)
  - denoted with  $\deg(v)$

# Terminology: Directed Graph

- Vertex  $u$  is **adjacent to** vertex  $v$  in a directed graph  $G$  if  $(u, v)$  is an edge in  $G$ 
  - vertex  $u$  is the initial vertex of  $(u, v)$
- Vertex  $v$  is **adjacent from** vertex  $u$ 
  - vertex  $v$  is the **terminal** (or end) vertex of  $(u, v)$
- Degree
  - **in-degree** is the number of edges with the vertex as the terminal vertex
  - **out-degree** is the number of edges with the vertex as the initial vertex



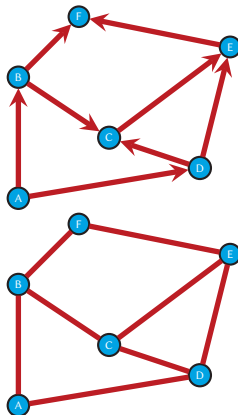


# Kinds of Graphs

- directed vs undirected
- weighted vs unweighted
- simple vs non-simple
- sparse vs dense
- cyclic vs acyclic
- labeled vs unlabeled

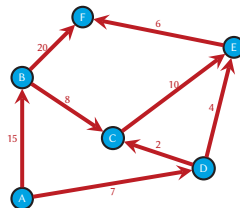
# Directed vs Undirected

- Undirected if edge  $(x, y)$  implies edge  $(y, x)$ .
  - otherwise directed
- Roads between cities are usually undirected (go both ways)
- Streets in cities tend to be directed (one-way)



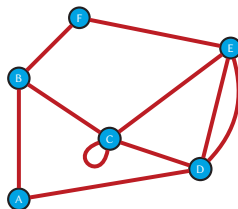
# Weighted vs Unweighted

- Each edge or vertex is assigned a numerical value (weight).
- A road network might be labeled with:
  - length
  - drive-time
  - speed-limit
- In an unweighted graph, there is no distinction between edges.



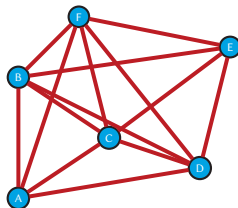
# Simple vs Not simple

- Some kinds of edges make working with graphs complicated
- A **self-loop** is an edge  $(x, x)$  (one vertex).
- An edge  $(x, y)$  is a **multiedge** if it occurs more than once in a graph.



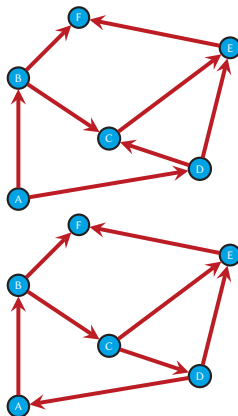
# Sparse vs Dense

- Graphs are sparse when a small fraction of vertex pairs have edges between them
- Graphs are dense when a large fraction of vertex pairs have edges
- There's no formal distinction between sparse and dense



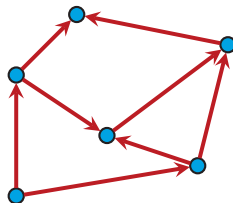
# Cyclic vs Acyclic

- An **acyclic** graph contains no cycles
- A **cyclic** graph contains a cycle
- Trees are *connected, acyclic, undirected* graphs
- Directed acyclic graphs are called **DAGs**



# Labeled vs Unlabeled

- Each vertex is assigned a unique name or identifier in a *labeled* graph
  - In an unlabeled graph, there are no named nodes
- Graphs usually have names—e.g., city names in a transportation network
- We might ignore names in graphs to determine if they are isomorphic (similar in structure)



## Section 7

# Graph Representations



# Graph Representations

Two ways to represent a graph in code:

## ■ Adjacency List

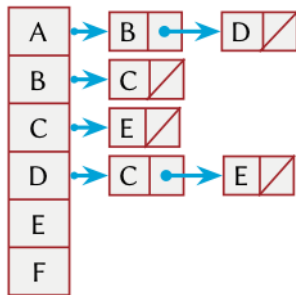
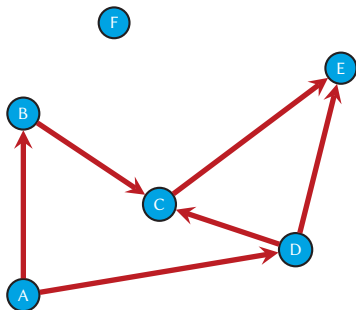
- A list of nodes
- Every node has a list of adjacent nodes

## ■ Adjacency Matrix

- A matrix has a column and a row to represent every node
- All entries are 0 by default
- An entry  $G[u, v]$  is 1 if there is an edge from node  $u$  to  $v$

# Adjacency List

For each  $v$  in  $V$ ,  $L(v)$  = list of  $w$  such that  $(v, w)$  is in  $E$ :



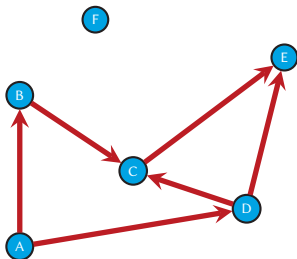
**Storage space:**

$$a|V| + b|E|$$

$$a = \text{sizeof}(\text{node})$$

$$b = \text{sizeof}(\text{linked list element})$$

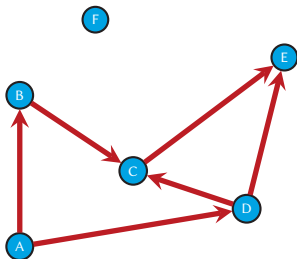
# Adjacency Matrix



|   | A | B | C | D | E | F |
|---|---|---|---|---|---|---|
| A | 0 | 1 | 0 | 1 | 0 | 0 |
| B | 1 | 0 | 1 | 0 | 0 | 0 |
| C | 0 | 1 | 0 | 1 | 1 | 0 |
| D | 1 | 0 | 1 | 0 | 1 | 0 |
| E | 0 | 0 | 1 | 1 | 0 | 0 |
| F | 0 | 0 | 0 | 0 | 0 | 0 |

**Storage space:**  $|V|^2$

# Adjacency Matrix



|   | A | B | C | D | E | F |
|---|---|---|---|---|---|---|
| A | 0 | 1 | 0 | 1 | 0 | 0 |
| B | 1 | 0 | 1 | 0 | 0 | 0 |
| C | 0 | 1 | 0 | 1 | 1 | 0 |
| D | 1 | 0 | 1 | 0 | 1 | 0 |
| E | 0 | 0 | 1 | 1 | 0 | 0 |
| F | 0 | 0 | 0 | 0 | 0 | 0 |

**Storage space:**  $|V|^2$

Does this matrix represent a directed or undirected graph?

# Comparing Matrix vs List

- 1 Faster to test if  $(x, y)$  is in a graph?

# Comparing Matrix vs List

❶ Faster to test if  $(x, y)$  is in a graph?

❶ adjacency matrix

# Comparing Matrix vs List

- 1 Faster to test if  $(x, y)$  is in a graph?
- 2 Faster to find the degree of a vertex?

1 adjacency matrix

# Comparing Matrix vs List

- 1 Faster to test if  $(x, y)$  is in a graph?
- 2 Faster to find the degree of a vertex?

- 1 adjacency matrix
- 2 adjacency list



# Comparing Matrix vs List

- 1 Faster to test if  $(x, y)$  is in a graph?
- 2 Faster to find the degree of a vertex?
- 3 Less memory on small graphs?

- 1 adjacency matrix
- 2 adjacency list

# Comparing Matrix vs List

- |   |                                     |
|---|-------------------------------------|
| ❶ Faster to test if $(x, y)$ is in a graph? | ❶ adjacency matrix                  |
| ❷ Faster to find the degree of a vertex?    | ❷ adjacency list                    |
| ❸ Less memory on small graphs?              | ❸ adjacency list $(m+n)$ vs $(n^2)$ |

# Comparing Matrix vs List

- |   |                                     |
|---|-------------------------------------|
| ① Faster to test if $(x, y)$ is in a graph? | ① adjacency matrix                  |
| ② Faster to find the degree of a vertex?    | ② adjacency list                    |
| ③ Less memory on small graphs?              | ③ adjacency list $(m+n)$ vs $(n^2)$ |
| ④ Less memory on big graphs?                |                                     |

# Comparing Matrix vs List

- |   |                                     |
|---|-------------------------------------|
| 1 Faster to test if $(x, y)$ is in a graph? | 1 adjacency matrix                  |
| 2 Faster to find the degree of a vertex?    | 2 adjacency list                    |
| 3 Less memory on small graphs?              | 3 adjacency list $(m+n)$ vs $(n^2)$ |
| 4 Less memory on big graphs?                | 4 adjacency matrices (a little)     |

# Comparing Matrix vs List

- |   |                                     |
|---|-------------------------------------|
| ❶ Faster to test if $(x, y)$ is in a graph? | ❶ adjacency matrix                  |
| ❷ Faster to find the degree of a vertex?    | ❷ adjacency list                    |
| ❸ Less memory on small graphs?              | ❸ adjacency list $(m+n)$ vs $(n^2)$ |
| ❹ Less memory on big graphs?                | ❹ adjacency matrices (a little)     |
| ❺ Edge insertion or deletion?               |                                     |

# Comparing Matrix vs List

- 1 Faster to test if  $(x, y)$  is in a graph?
- 2 Faster to find the degree of a vertex?
- 3 Less memory on small graphs?
- 4 Less memory on big graphs?
- 5 Edge insertion or deletion?

- 1 adjacency matrix
- 2 adjacency list
- 3 adjacency list  $(m+n)$  vs  $(n^2)$
- 4 adjacency matrices (a little)
- 5 adjacency matrices  $O(1)$  vs  $O(d)$

# Comparing Matrix vs List

- ➊ Faster to test if  $(x, y)$  is in a graph?
- ➋ Faster to find the degree of a vertex?
- ➌ Less memory on small graphs?
- ➍ Less memory on big graphs?
- ➎ Edge insertion or deletion?
- ➏ Faster to traverse the graph?

- ➊ adjacency matrix
- ➋ adjacency list
- ➌ adjacency list  $(m+n)$  vs  $(n^2)$
- ➍ adjacency matrices (a little)
- ➎ adjacency matrices  $O(1)$  vs  $O(d)$

# Comparing Matrix vs List

- ➊ Faster to test if  $(x, y)$  is in a graph?
- ➋ Faster to find the degree of a vertex?
- ➌ Less memory on small graphs?
- ➍ Less memory on big graphs?
- ➎ Edge insertion or deletion?
- ➏ Faster to traverse the graph?

- ➊ adjacency matrix
- ➋ adjacency list
- ➌ adjacency list  $(m+n)$  vs  $(n^2)$
- ➍ adjacency matrices (a little)
- ➎ adjacency matrices  $O(1)$  vs  $O(d)$
- ➏ adjacency list



# Comparing Matrix vs List

- 1 Faster to test if  $(x, y)$  is in a graph?
- 2 Faster to find the degree of a vertex?
- 3 Less memory on small graphs?
- 4 Less memory on big graphs?
- 5 Edge insertion or deletion?
- 6 Faster to traverse the graph?
- 7 Better for most problems?

- 1 adjacency matrix
- 2 adjacency list
- 3 adjacency list  $(m+n)$  vs  $(n^2)$
- 4 adjacency matrices (a little)
- 5 adjacency matrices  $O(1)$  vs  $O(d)$
- 6 adjacency list

# Comparing Matrix vs List

- 1 Faster to test if  $(x, y)$  is in a graph?
- 2 Faster to find the degree of a vertex?
- 3 Less memory on small graphs?
- 4 Less memory on big graphs?
- 5 Edge insertion or deletion?
- 6 Faster to traverse the graph?
- 7 Better for most problems?

- 1 adjacency matrix
- 2 adjacency list
- 3 adjacency list  $(m+n)$  vs  $(n^2)$
- 4 adjacency matrices (a little)
- 5 adjacency matrices  $O(1)$  vs  $O(d)$
- 6 adjacency list
- 7 adjacency list

# Analyzing Graph Algorithms

- Space and time are analyzed in terms of:
  - Number of vertices  $m = |V|$
  - Number of edges  $n = |E|$
- Aim for polynomial running times.
- But: is  $O(m^2)$  or  $O(n^3)$  a better running time?
  - depends on what the relation is between  $n$  and  $m$
  - the number of edges  $m$  can be at most  $n^2 \leq n^2$ .
  - connected graphs have at least  $m \geq n - 1$  edges
- Still do not know which of two running times (such as  $m^2$  and  $n^3$ ) are better,
- Goal: implement the basic graph search algorithms in time  $O(m + n)$ .
  - This is linear time, since it takes  $O(m + n)$  time simply to read the input.
- Note that when we work with connected graphs, a running time of  $O(m + n)$  is the same as  $O(m)$ , since  $m \geq n - 1$ .

## Section 8

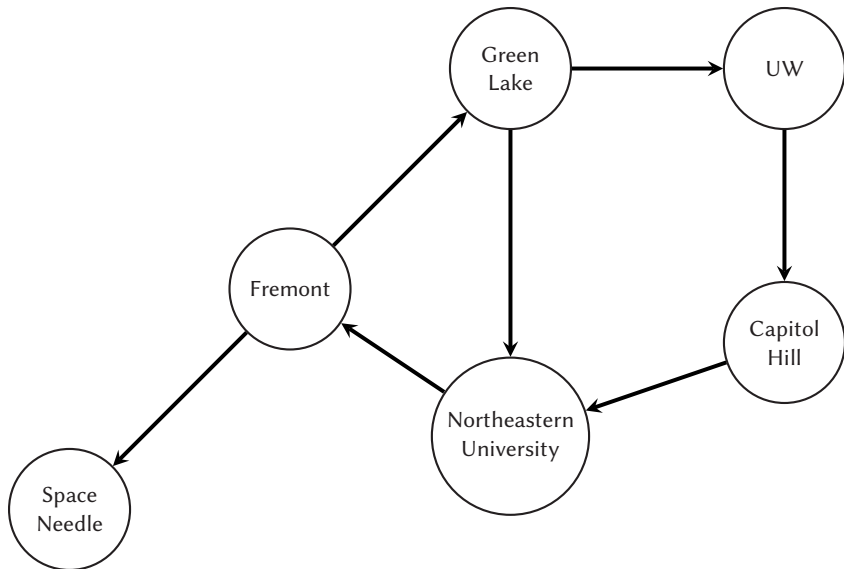
# Graph Traversals

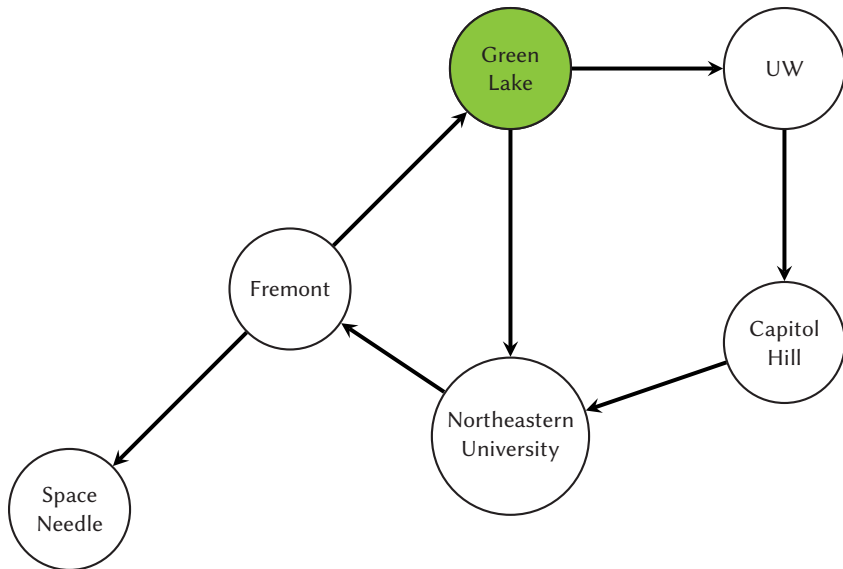
# Graph Traversals

Two basic traversals:

- Breadth First Search (BFS)
- Depth First Search (DFS)

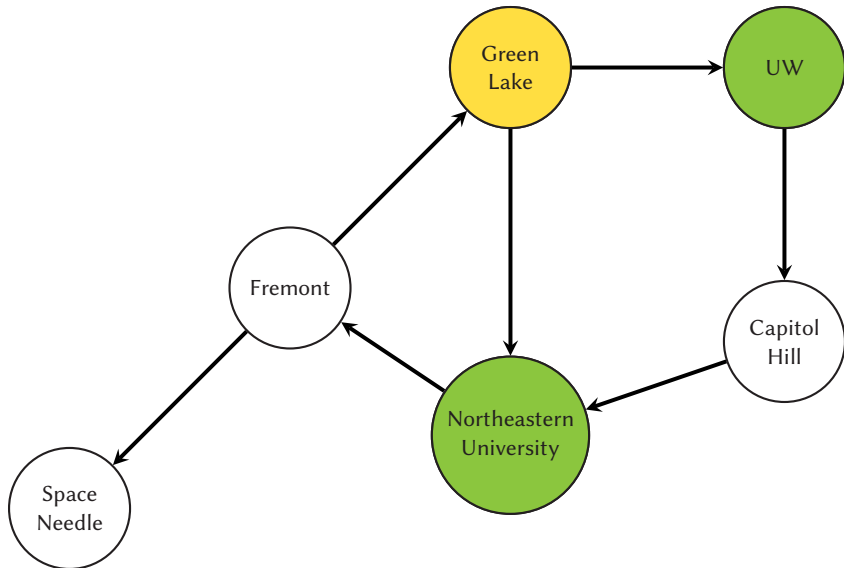
Example...



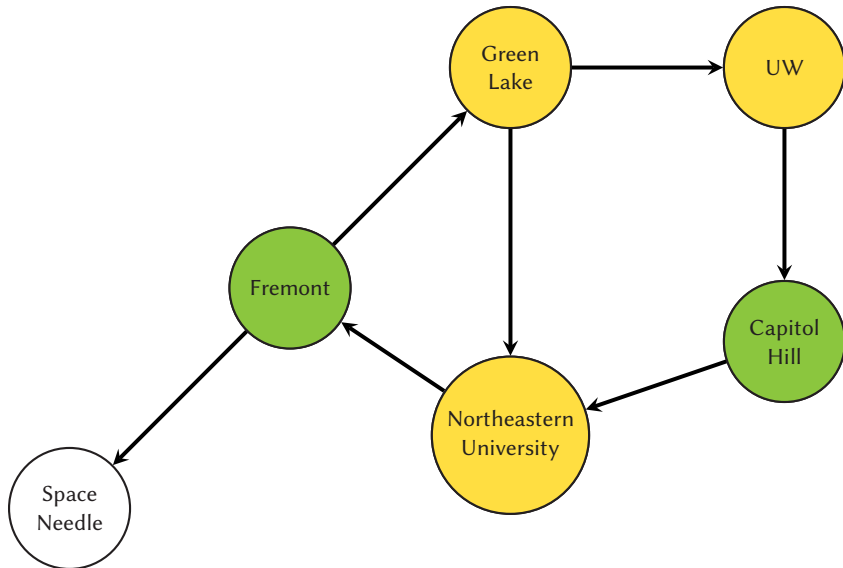


What's the best way for me to get from Green Lake to Space Needle?

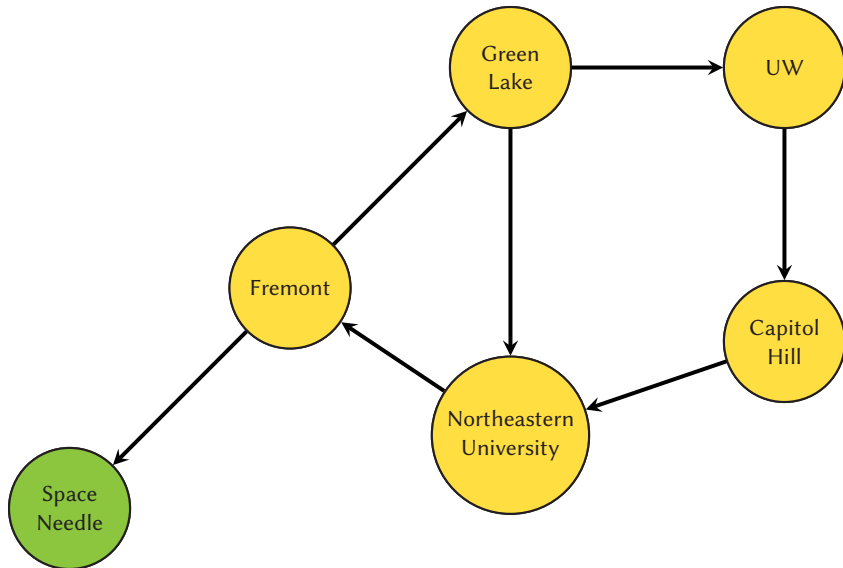




What's the best way for me to get from Green Lake to Space Needle?



What's the best way for me to get from Green Lake to Space Needle?



What's the best way for me to get from Green Lake to Space Needle?

# BFS: The Algorithm

- Start at the start.
- Look at all the neighbors. Are any of them the destination?
- If no:
  - Look at all the neighbors of the neighbors. Are any of them the destination?
  - Look at all the neighbors of the neighbors of the neighbors. Are any of them the destination?

- If you search the entire network, you traverse each edge at least once:  $O(|E|)$ 
  - That is,  $O(\text{number of edges})$
- Keeping a queue of who to visit in order.
  - Add single node to queue:  $O(1)$
  - For all nodes:  $O(\text{number of nodes})$
  - $O(|V|)$
- Together, it's  $O(V + E)$

- Assuming we can add and remove from our “pending” DS in  $O(1)$  time, the entire traversal is  $O(|E|)$
- Traversal order depends on what we use for our pending DS.
  - Stack : DFS
  - Queue: BFS
- These are the main traversal techniques in CS, but there are others!

- Depth first search needs to check which nodes have been output or else it can get stuck in loops.
- In a connected graph, a BFS will print all nodes, but it will repeat if there are cycles and may not terminate
- As an aside, in-order, pre-order and postorder traversals only make sense in binary trees, so they aren't important for graphs. However, we do need some way to order our out-vertices (left and right in BST).

- Breadth-first always finds shortest length paths, i.e., “optimal solutions”
- Better for “what is the shortest path from  $x$  to  $y$ ”
  - But depth-first can use less space in finding a path
- If longest path in the graph is  $p$  and highest out- degree is  $d$  then DFS stack never has more than  $d * p$  elements
- But a queue for BFS may hold  $O(|V|)$  nodes



# BFS vs DFS: Problems

## BFS Applications

- Connected components
- Two-coloring graphs

## DFS Applications

- Finding cycles
- Topological Sorting
- Strongly Connected Components

## Section 9

# Path Finding in a Graph

# Single-Source Shortest Path

**Input** Directed graph with non-negative weighted edges, a starting node  $s$  and a destination node  $d$

**Problem** Starting at the given node  $s$ , find the path with the lowest total edge weight to node  $d$

**Example** A map with cities as nodes and the edges are distances between the cities. Find the shortest distance between city 1 and city 2.

# Dijkstra's Algorithm: Overview

- Find the “cheapest” node— the node you can get to in the shortest amount of time.
- Update the costs of the neighbors of this node.
- Repeat until you've done this for each node.
- Calculate the final path.

# Dijkstra's Algorithm: Formally

DJIKSTRA( $G, w, s$ )

```
1  INITIALIZE-SINGLE-SOURCE( $G, s$ )
2   $S = \emptyset$ 
3   $Q = G.V$ 
4  while  $Q \neq \emptyset$ 
5       $u = \text{EXTRACT-MIN}(Q)$ 
6       $S = S \cup \{u\}$ 
7      for each vertex  $v \in G.Adj[u]$ 
8          RELAX( $u, v, w$ )
```

## DJIKSTRA( $G, w, s$ )

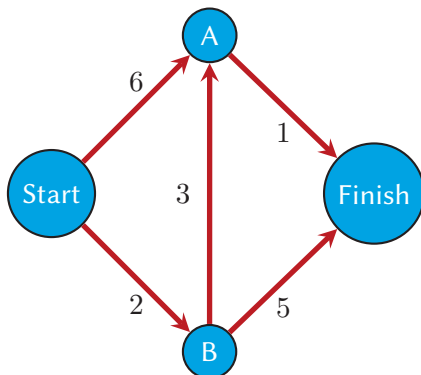
- 1   ▷  $G$  is a graph
- 2   ▷  $w$  is the weighting function such that  $w(u, v)$  returns the weight of the
- 3   ▷  $s$  is the starting node
- 4   **for** each vertex  $u \in G$
- 5        $u.d = w(s, u)$  ▷ where  $w(s, u) = \infty$  if there is no edge  $(s, u)$ .
- 6    $S = \emptyset$  ▷ Nodes we know the distance to
- 7    $Q = G.V$  ▷ min-PriorityQueue starting with all our nodes, ordered by dis
- 8   **while**  $Q \neq \emptyset$
- 9        $u = \text{EXTRACT-MIN}(Q)$  ▷ Greedy step: get the closest node
- 10       $S = S \cup \{u\}$  ▷ Set of nodes that have shortest-path-distance found
- 11      **for** each vertex  $v \in G.Adj[u]$
- 12          RELAX( $u, v, w$ )

## RELAX( $u, v, w$ )

- 1   ▷  $u$  is the start node
- 2   ▷  $v$  is the destination node
- 3   ▷  $w$  is the weight function

# Dijkstra's: A walkthrough

- Find the “cheapest” node—the node you can get to in the shortest amount of time.
- Update the costs of the neighbors of this node.
- Repeat until you’ve done this for each node.
- Calculate the final path.



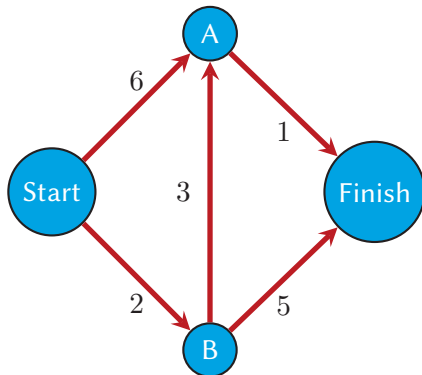
**Breadth First Search:** distance = 7

# Step 1: Find the cheapest node

## ① Should we go to A or B?

- Make a table of how long it takes to get to each node from this node.
- We don't know how long it takes to get to Finish, so we just say infinity for now.

| Node   | Time to Node |
|--------|--------------|
| A      | 6            |
| B      | 2            |
| Finish | $\infty$     |

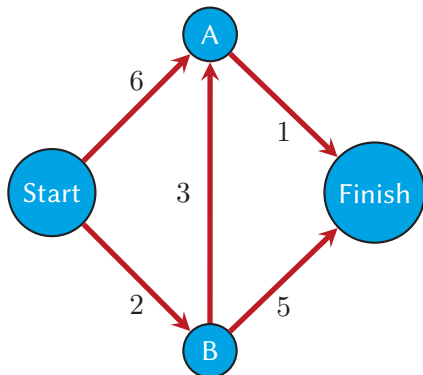




## Step 2: Take the next step

- 1 Calculate how long it takes to get (from Start) to B's neighbors by following an edge from B
  - We chose B because it's the fastest to get to.
  - Assume we started at Start, went to B, and then now we're updating Time to Nodes.

| Node   | Time to Node                     |
|--------|----------------------------------|
| A      | <del>6</del> 5                   |
| B      | 2                                |
| Finish | <del><math>\infty</math></del> 7 |



## Step 3: Repeat!

① Find the node that takes the least amount of time to get to.

- We already did B, so let's do A.
- Update the costs of A's neighbors
  - Takes 5 to get to A; 1 more to get to Finish

| Node   | Time to Node |
|--------|--------------|
| A      | 6            |
| B      | 2            |
| Finish | 7            |

