

L17-23: Query Processing & Database Internals

CS3200 Database design (sp18 s2)

<https://course.ccs.neu.edu/cs3200sp18s2/>

3/19/2018

L17: The I/O model and External Sort

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I/O model and external sort

- 1) Buffer
- 2) External sort
- 3) External merge

1. The Buffer

Transition to Mechanisms

1. So you can understand what the database is doing!

- Understand the CS challenges of a database and how to use it.
- Understand how to optimize a query

2. Many mechanisms have become stand-alone systems

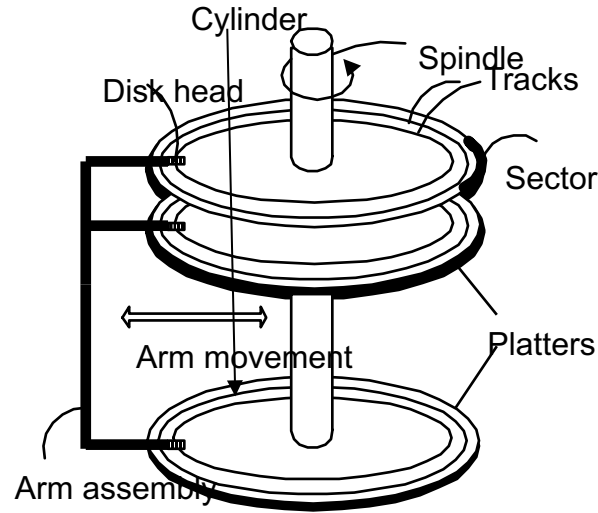
- Indexing to Key-value stores
- Embedded join processing
- SQL-like languages take some aspect of what we discuss (PIG, Hive)

What we will learn about next

- RECAP: Storage and memory model
- Buffer primer

High-level: Disk vs. Main Memory

Disk



Random Access Memory (RAM) or Main Memory:

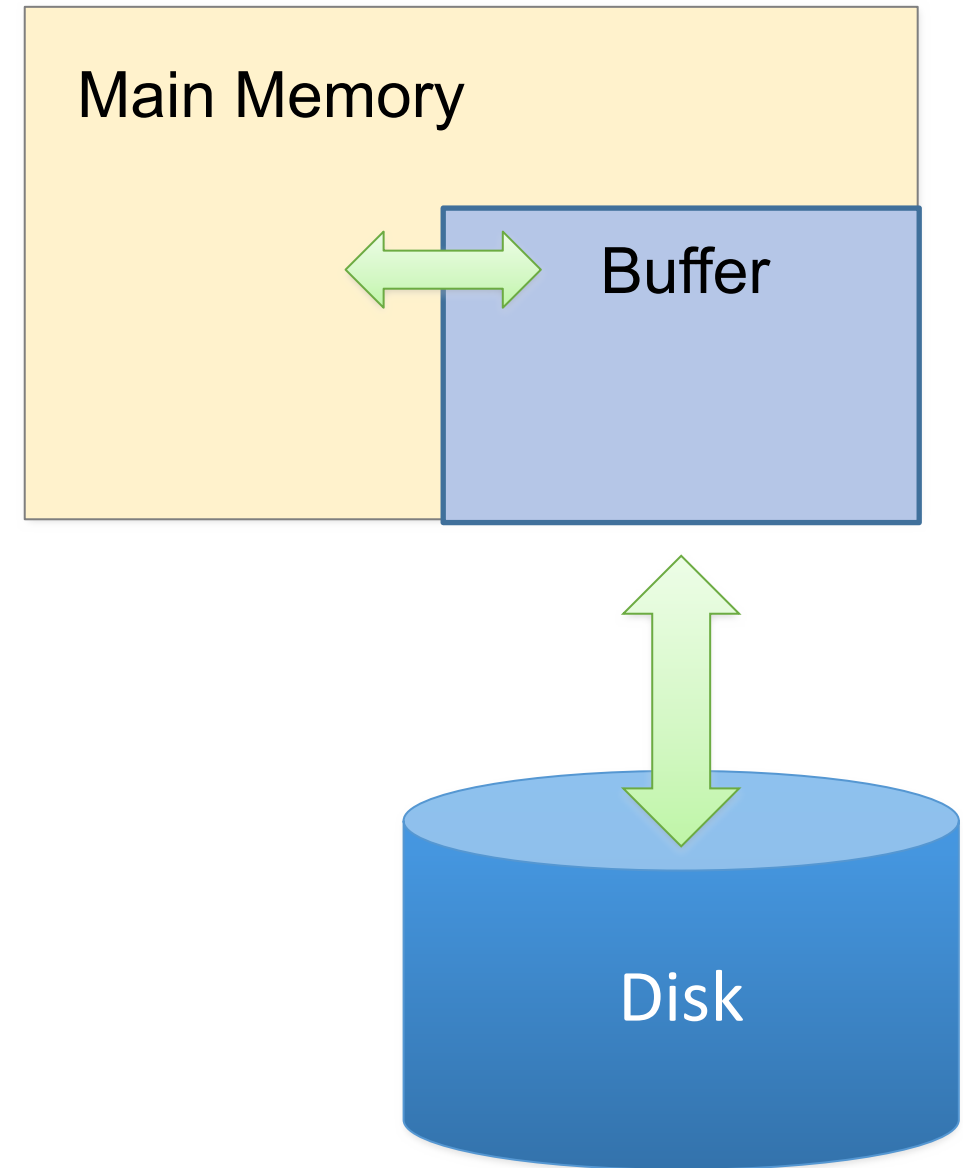


- **Slow:** Sequential block access
 - Read a blocks (not byte) at a time, so sequential access is cheaper than random
 - Disk read / writes are expensive!
- **Durable:** We will assume that once on disk, data is safe!
- **Cheap**

- **Fast:** Random access, byte addressable
 - ~10x faster for sequential access
 - ~100,000x faster for random access!
- **Volatile:** Data can be lost if e.g. crash occurs, power goes out, etc!
- **Expensive:** For \$100, get 16GB of RAM vs. 2TB of disk!

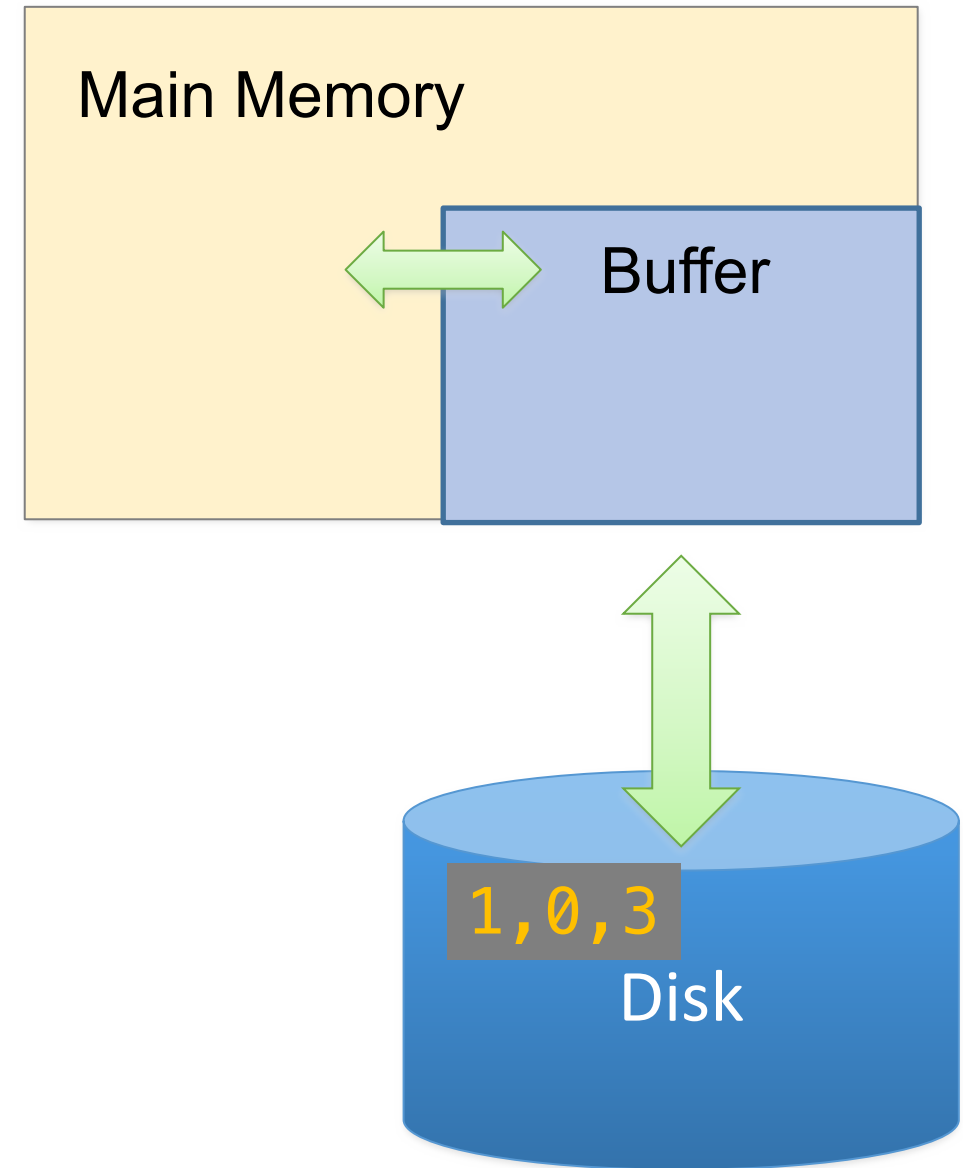
The Buffer

- A **buffer** is a region of physical memory used to store temporary data
 - In this lecture: a region in main memory used to store intermediate data between disk and processes
- Key idea: Reading / writing to disk is slow- need to cache data!



The (Simplified) Buffer

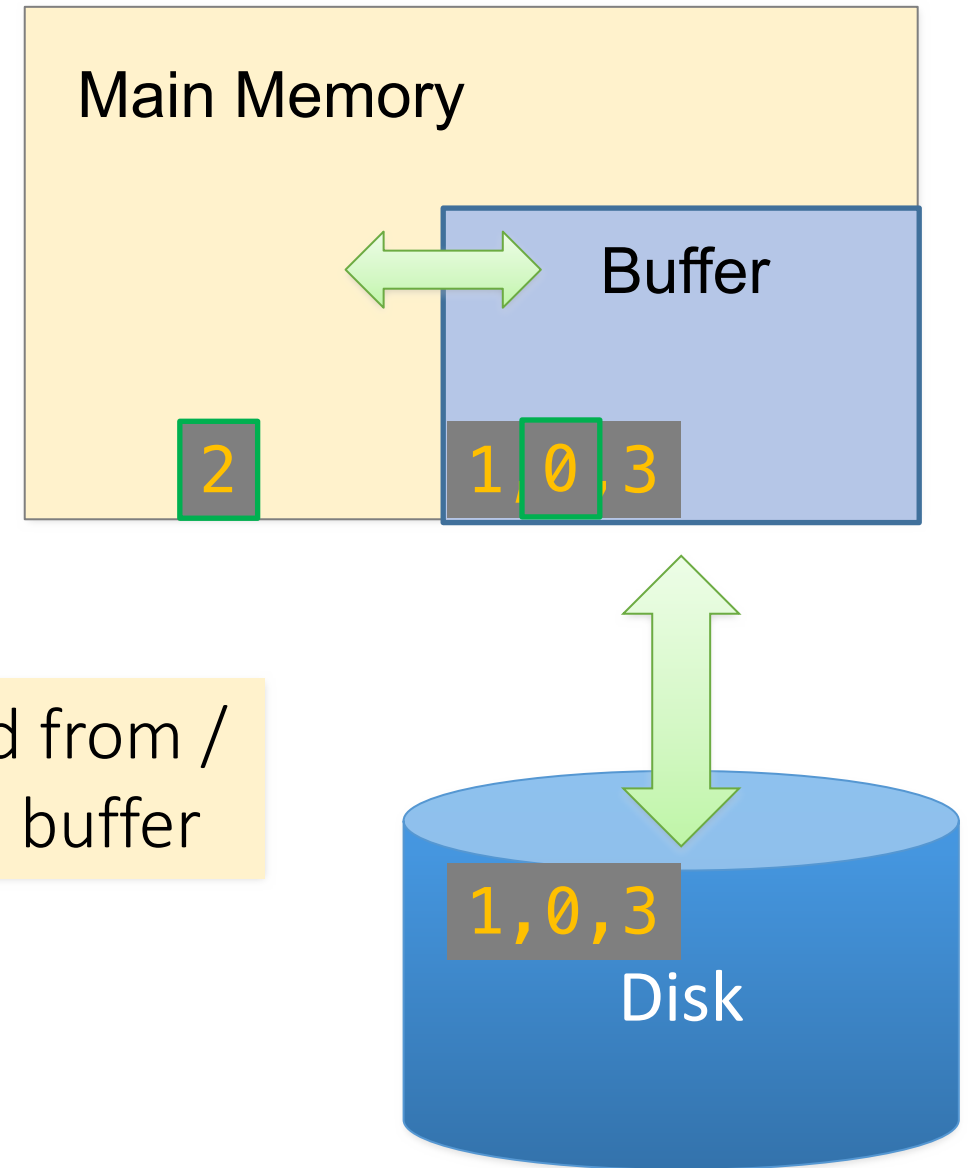
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 - **Read(page)**: Read page from disk -> buffer *if not already in buffer*



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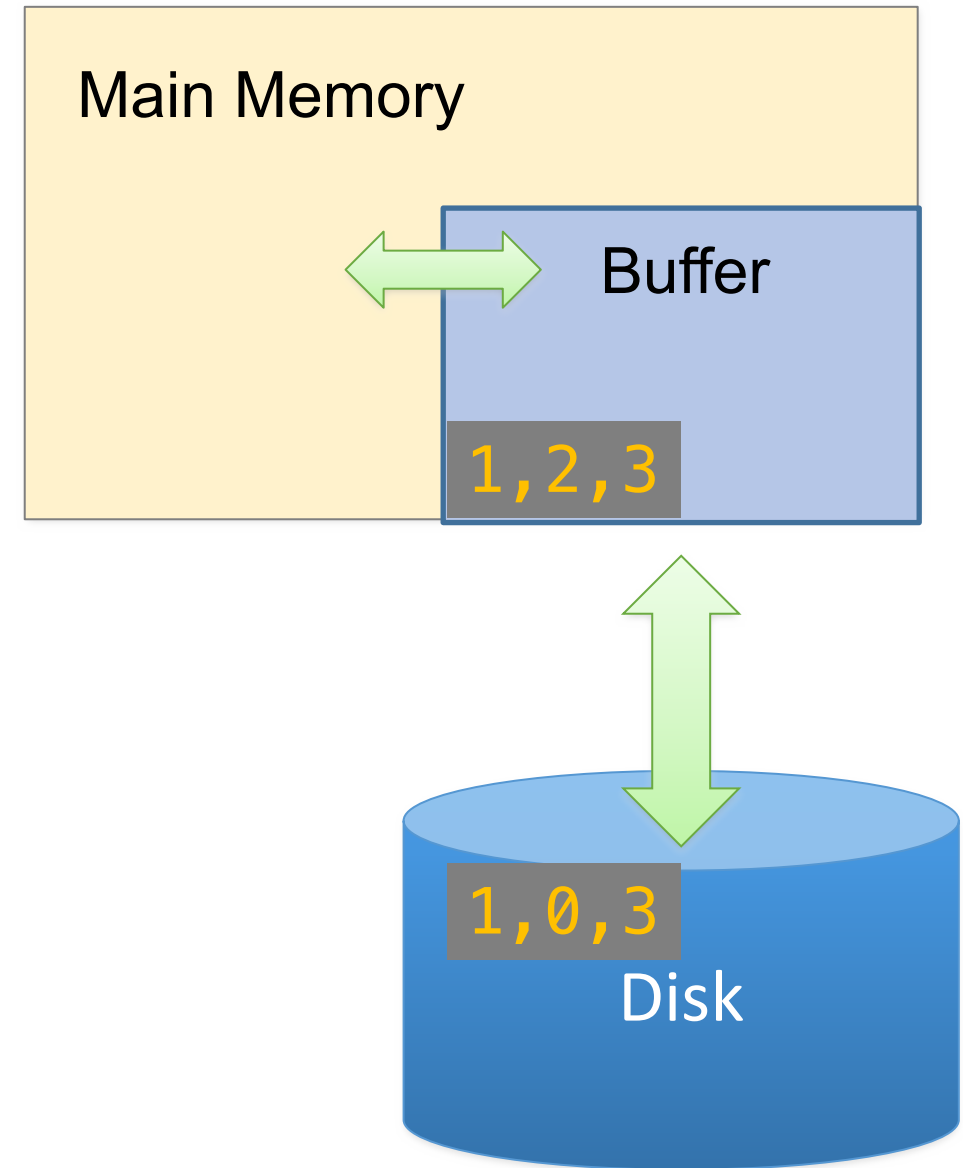
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Processes can then read from / write to the page in the buffer



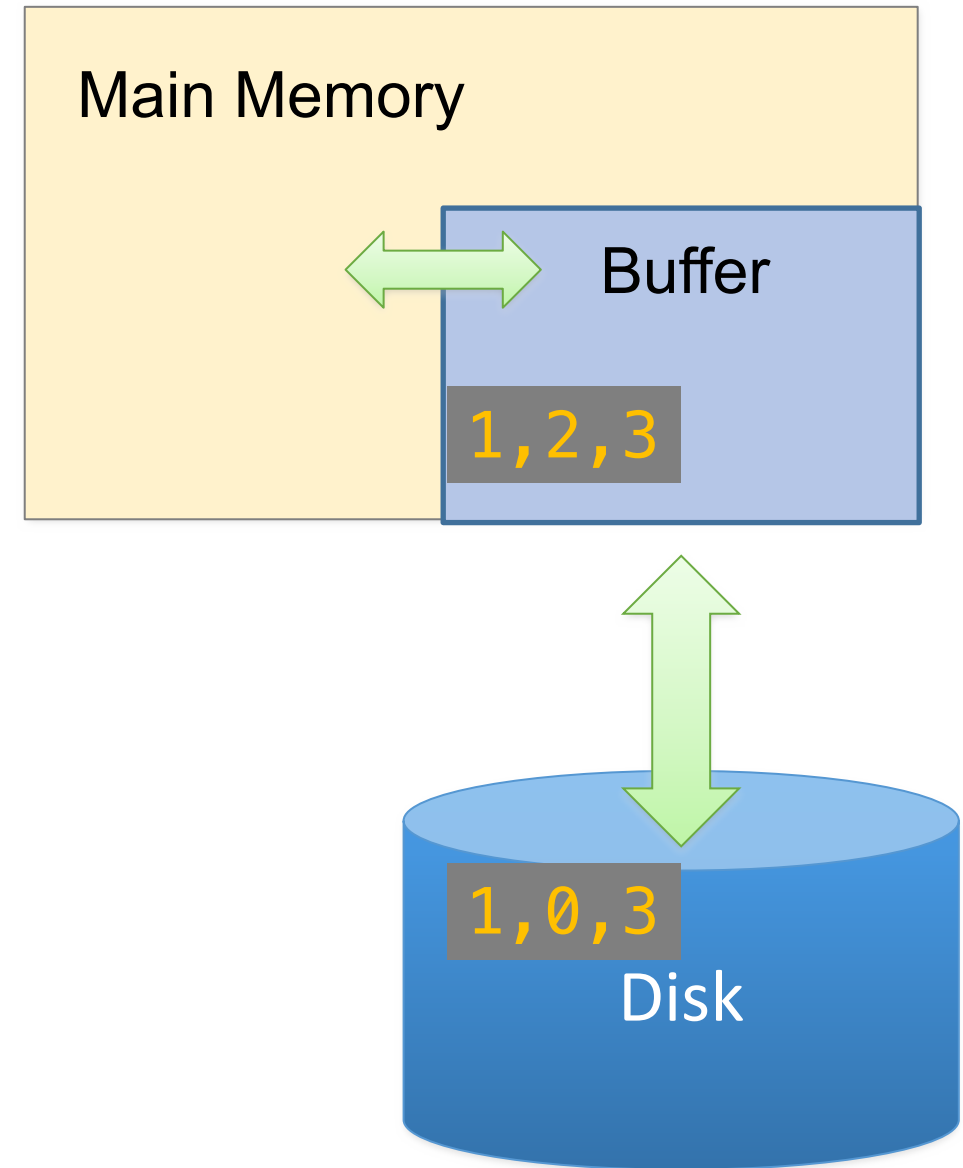
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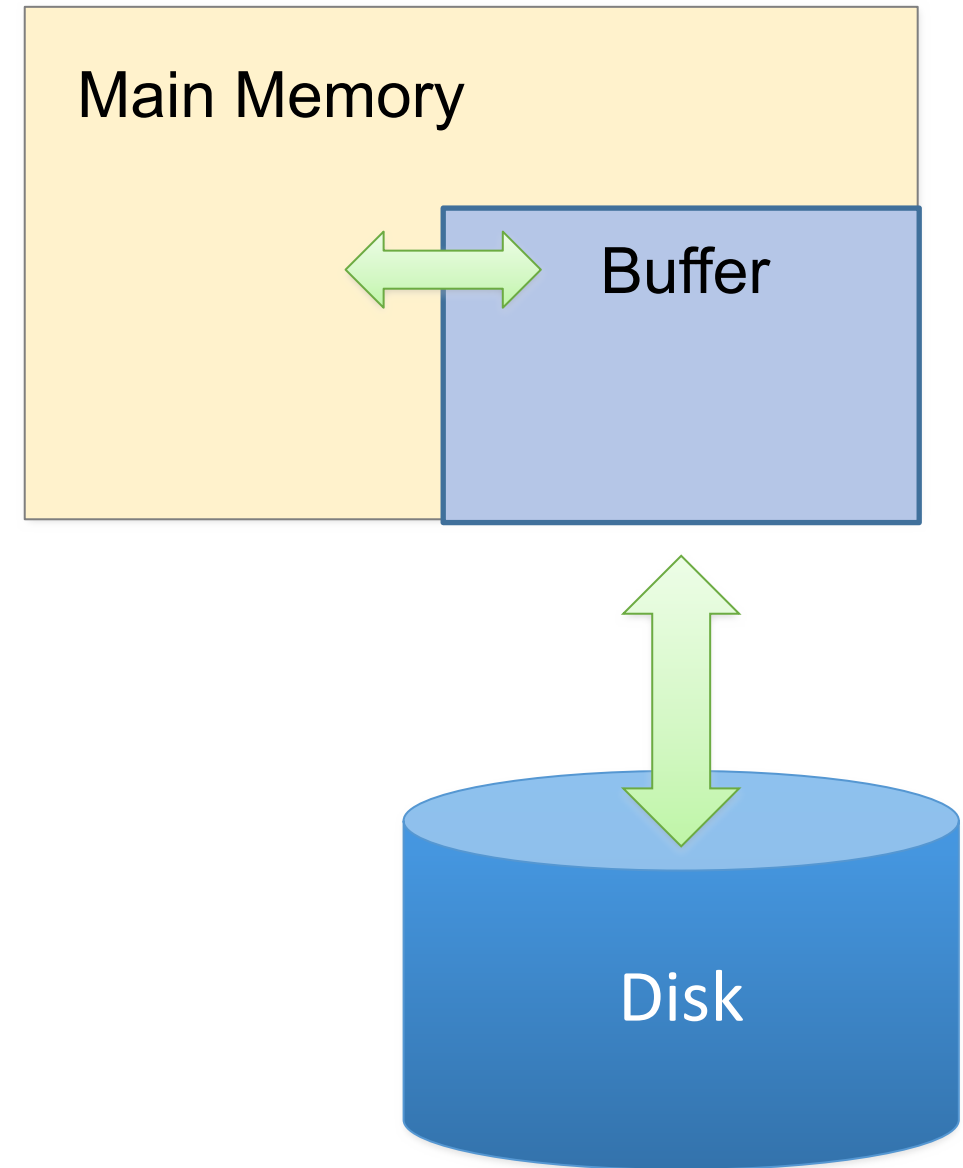
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 - **Release(page)**: Evict page from buffer *without* writing to disk



Managing Disk: The DBMS Buffer

- Database maintains its own buffer
 - Why? The OS already does this...
- Because:
 - DB knows more about access patterns.
 - Watch for how this shows up! Recovery and logging require ability to flush to disk.

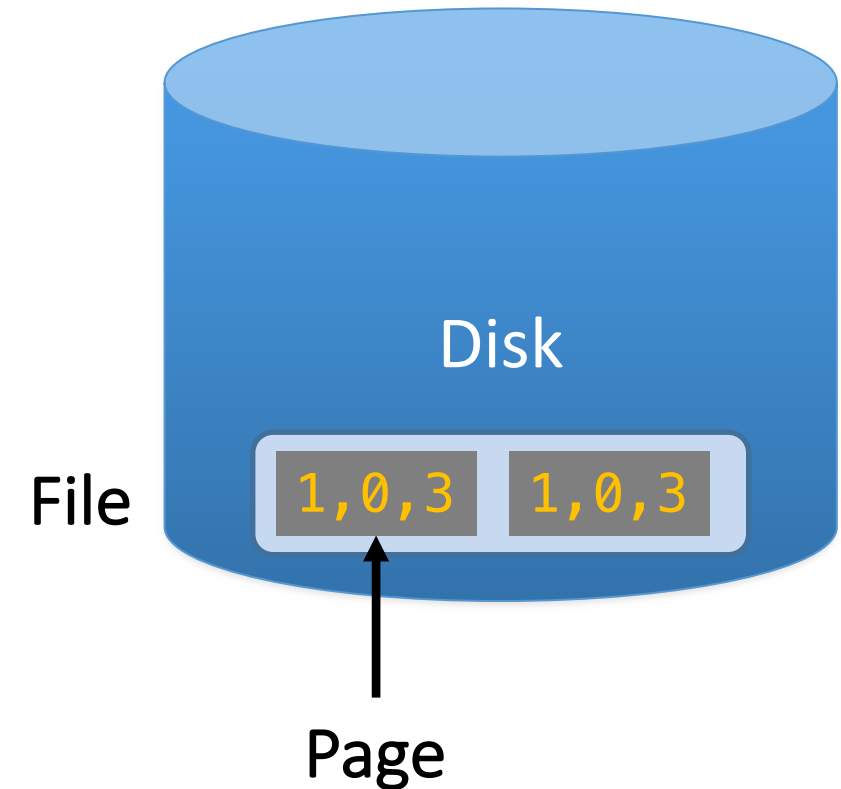


The Buffer Manager

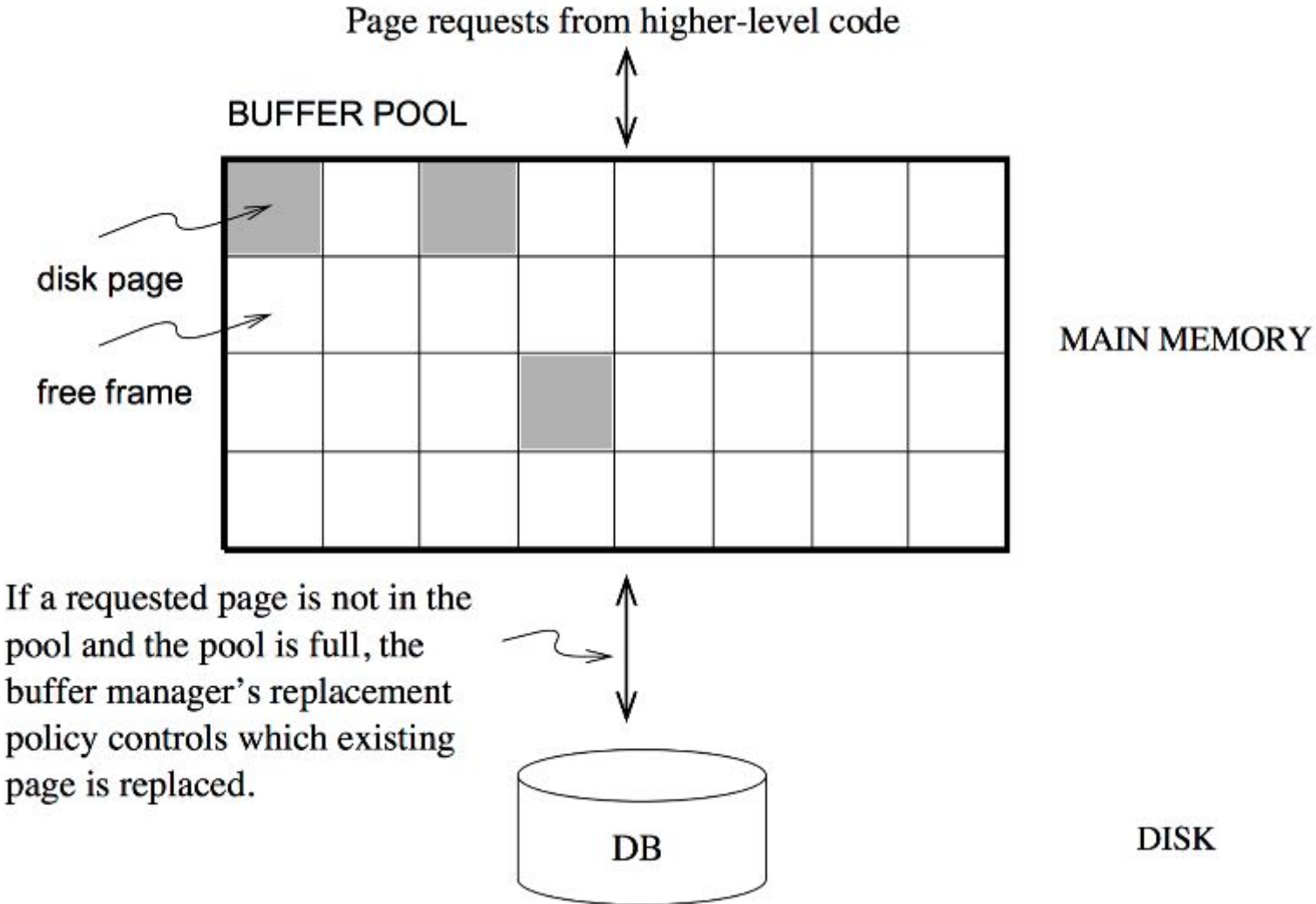
- A buffer manager handles supporting operations for the buffer:
 - Primarily, handles & executes the “replacement policy”
 - i.e. finds a page in buffer to flush/release if buffer is full and a new page needs to be read in
 - DBMSs typically implement their own buffer management routines

A Simplified Filesystem Model

- For us, a page is a fixed-sized array of memory
 - Think: One or more disk blocks
 - Interface:
 - write to an entry (called a slot) or set to “None”
 - DBMS also needs to handle variable length fields
 - Page layout is important for good hardware utilization as well
- And a file is a variable-length list of pages
 - Interface: create / open / close; next_page(); etc.



The Buffer Pool



If a requested page is not in the pool and the pool is full, the buffer manager's replacement policy controls which existing page is replaced.

2. External Merge Algorithm

Challenge: Merging Big Files with Small Memory

- How do we efficiently merge two sorted files when both are much larger than our main memory buffer?
- Key point: Disk IO (R/W) dominates the algorithm cost

Our first example of an “IO aware” algorithm / cost model

External Merge Algorithm

- Input: 2 sorted lists of length m and n
- Output: 1 sorted list of length $m + n$
- Required: At least ... (?) Buffer Pages
- IOs: ... (?)