

# L15: Normalization

CS3200 Database design (sp18 s2)

<https://course.ccs.neu.edu/cs3200sp18s2/>

3/12/2018

# Announcements!

- Keep bringing your name plates 😊
- Verify your grades and feedback on BB. If something is unclear or confusing, or displays incorrectly, please let us know (e.g., via Piazza instructors only)
- P2 is posted and updated calendar
- Exam2 next week: content is everything seen until this Thursday: setup like for Exam1: laptop SQL + paper database design + paper transactions
- Outline today
  - HW4, Projects
  - Decompositions
  - Transactions!

## Transaction Processing

15 M Mar 12 Database Design: Decompositions, Transactions G UW Ch 6.6, 18

16 R Mar 15 Concurrency G UW Ch 6.6, 18

## Query Processing and Database Internals

17 M Mar 19 **Exam 2**  
I/O Cost Models & External Sort G UW Ch 11.4

18 R Mar 22 I/O Cost Models & External Sort G UW Ch 11.4 Q8

19 M Mar 26 Indexing G UW Ch 13.1-13.3

20 R Mar 29 Access Methods and Operators G UW Ch 15.9 HW5

21 M Apr 2 Joins G UW Ch 2 and 16.3

22 R Apr 5 Relational Algebra G UW Ch 5 P2, Q9

23 M Apr 9 Query Optimization G UW Ch 8 and 14

## NoSQL

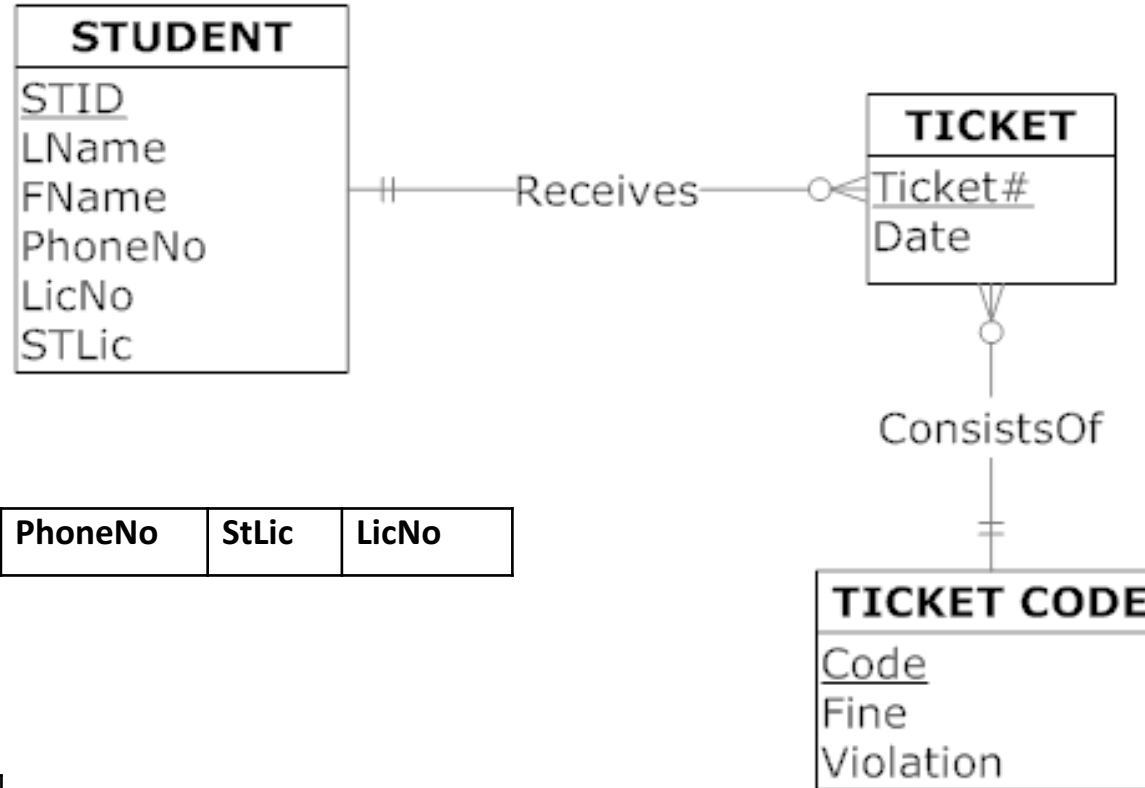
24 R Apr 12 NoSQL HW6

M Apr 16 No class: Patriot's day

25 R Apr 19 Class Review

M Apr 23 **Exam 3** (1-3pm, location TBD)

# Ryan's question: Parking Tickets: ER Diagram



**Student**

<u>STID</u>	LName	FName	PhoneNo	StLic	LicNo
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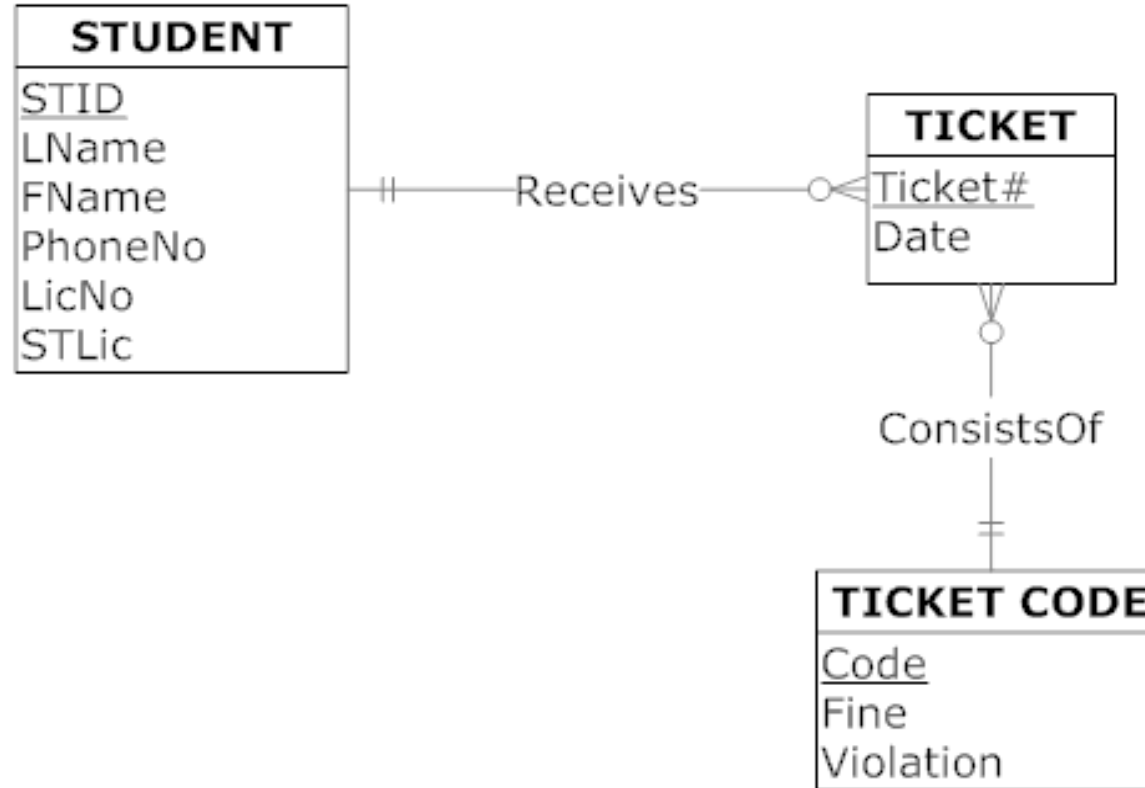
**TicketCode**

<u>Code</u>	Fine	Violation
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**Ticket**

<u>Ticketnr</u>	Date	Code@	STID@
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# Ryan's question: Parking Tickets: ER Diagram



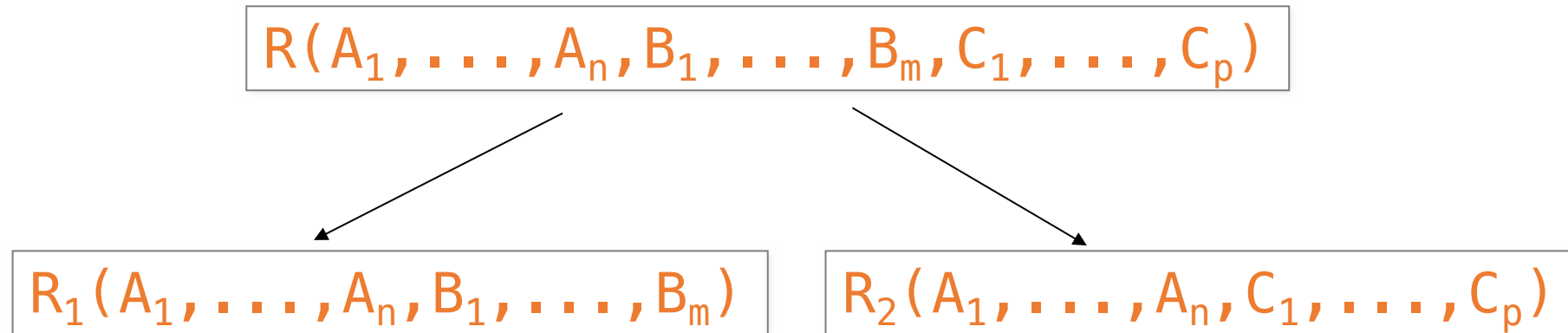
# Decompositions

# Recap: Decompose to remove redundancies

- We saw that redundancies in the data (“bad FDs”) can lead to data anomalies
- We developed mechanisms to detect and remove redundancies by decomposing tables into 3NF or BCNF
  - BCNF decomposition is standard practice- very powerful & widely used!
- However, sometimes decompositions can lead to more subtle unwanted effects...

When does this happen?

# Decompositions in General



$R_1$  = the *projection* of  $R$  on  $A_1, \dots, A_n, B_1, \dots, B_m$

$R_2$  = the *projection* of  $R$  on  $A_1, \dots, A_n, C_1, \dots, C_p$




# Theory of Decomposition

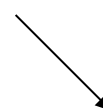
Name	Price	Category
Gizmo	19.99	Gadget
OneClick	24.99	Camera
Gizmo	19.99	Camera

Sometimes a decomposition is “correct”

I.e. it is a Lossless decomposition



Name	Price
Gizmo	19.99
OneClick	24.99
<del>Gizmo</del>	<del>19.99</del>




Name	Category
Gizmo	Gadget
OneClick	Camera
Gizmo	Camera

# Lossy Decomposition

Name	Price	Category
Gizmo	19.99	Gadget
OneClick	24.99	Camera
Gizmo	19.99	Camera

*However  
sometimes it isn't*

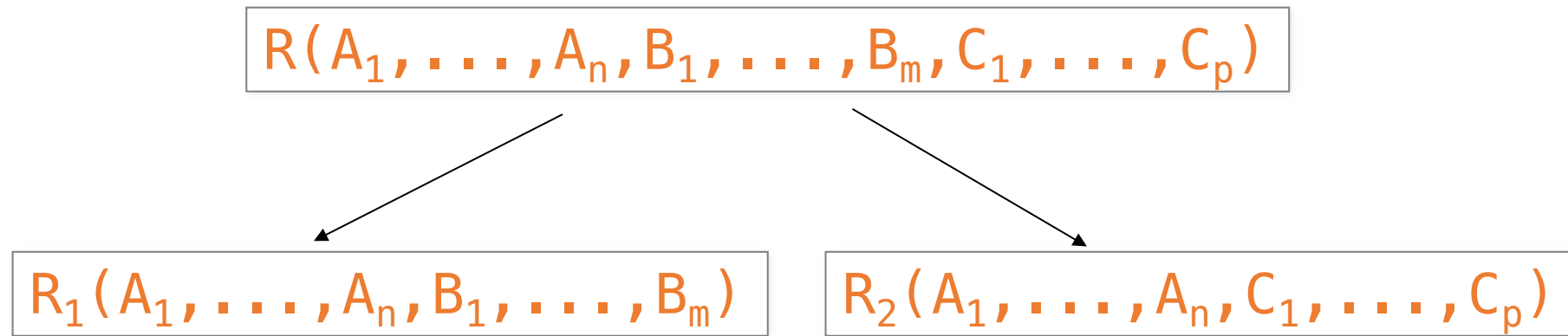
What's wrong  
here?



Name	Category
Gizmo	Gadget
OneClick	Camera
Gizmo	Camera

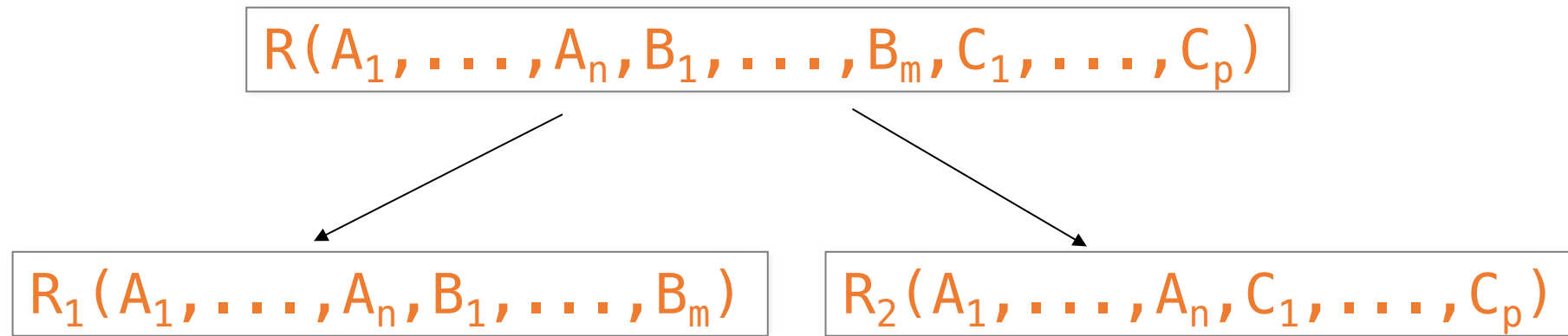
Price	Category
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# Lossless Decompositions



A decomposition  $R$  to  $(R_1, R_2)$  is lossless if  $R = R_1 \text{ Join } R_2$

# Lossless Decompositions



If  $\{A_1, \dots, A_n\} \rightarrow \{B_1, \dots, B_m\}$   
Then the decomposition is lossless

Note: don't need  
 $\{A_1, \dots, A_n\} \rightarrow \{C_1, \dots, C_p\}$

BCNF decomposition is always lossless. Why?

# A problem with BCNF

Problem: To enforce a FD, must reconstruct original relation—*on each insert!*

*Note: This is historically inaccurate, but it makes it easier to explain*

# A Problem with BCNF

$\{\text{Unit}\} \rightarrow \{\text{Company}\}$   
 $\{\text{Company}, \text{Product}\} \rightarrow \{\text{Unit}\}$

Unit	Company	Product
...	...	...

↙

<u>Unit</u>	Company
...	...

↘

Unit	Product
...	...

$\{\text{Unit}\} \rightarrow \{\text{Company}\}$

We do a BCNF decomposition  
on a “bad” FD:  
 $\{\text{Unit}\}^+ = \{\text{Unit}, \text{Company}\}$

We lose the FD  $\{\text{Company}, \text{Product}\} \rightarrow \{\text{Unit}\}!!$

# So Why is that a Problem?

$\{Unit\} \rightarrow \{Company\}$   
 $\{Company, Product\} \rightarrow \{Unit\}$

<u>Unit</u>	Company
Galaga99	NEU
Bingo	NEU

Unit	Product
Galaga99	Databases
Bingo	Databases

No problem so far.  
All *local* FD's are satisfied.

$\{Unit\} \rightarrow \{Company\}$

Unit	Company	Product
Galaga99	NEU	Databases
Bingo	NEU	Databases

Let's put all the data back into a single table again:

Violates the FD  $\{Company, Product\} \rightarrow \{Unit\}!!$

# The Problem

- We started with a table  $R$  and FDs  $F$
- We decomposed  $R$  into BCNF tables  $R_1, R_2, \dots$  with their own FDs  $F_1, F_2, \dots$
- We insert some tuples into each of the relations—which satisfy their local FDs but when reconstruct it violates some FD across tables!

Practical Problem: To enforce FD, must reconstruct  $R$ —*on each insert!*



# Possible Solutions

- Various ways to handle so that decompositions are all lossless / no FDs lost
  - For example 3NF: stop short of full BCNF decompositions.
- Usually a tradeoff between redundancy / data anomalies and FD preservation...

BCNF still most common- with additional steps to keep track of lost FDs...

4NF and higher

# 3NF Motivation

A relation R is in 3rd normal form if :

Whenever there is a nontrivial dep.  $A_1, A_2, \dots, A_n \rightarrow B$  for R,  
then  $\{A_1, A_2, \dots, A_n\}$  is a super-key for R,  
or B is part of a key.

Tradeoffs:

**BCNF**: no anomalies, but may lose some FDs

**3NF**: keeps all FDs, but may have some anomalies

# Motivation of 4NF and higher

Assume for each course, we can independently choose a lecturer and a book. What is the problem?

## Classes

Course	Lecturer	Book
cse444	Alexandra	Complete book
cse444	Wolfgang	Complete book
cse444	Alexandra	Cow book

cse444	Wolfgang	Cow book
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Multi-valued dependency (MVD) **Course**  $\twoheadrightarrow$  **Lecturer**:  
In every legal instance, each **Course** value is associated with a set of **Lecturer** values and this set is independent of the values in the other attributes (here **Book**).