LO3: SQL

Why I don't post slides *before* lecture

From the Preamble of one of the best physics books there is: "How to read this book"

The best way to use this book is NOT to simply read it or study it, but to read a question and STOP. Even close the book. Even put it away and THINK about the question. Only after you have formed a reasoned opinion should you read the solution. Why torture yourself thinking? Why jog? Why do push-ups?

If you are given a hammer with which to drive nails at the age of three you may think to yourself, "OK, nice." But if you are given a hard rock with which to drive nails at the age of three, and at the age of four you are given a hammer, you think to yourself, "What a marvelous invention!" You see, you can't really appreciate the solution until you first appreciate the problem.

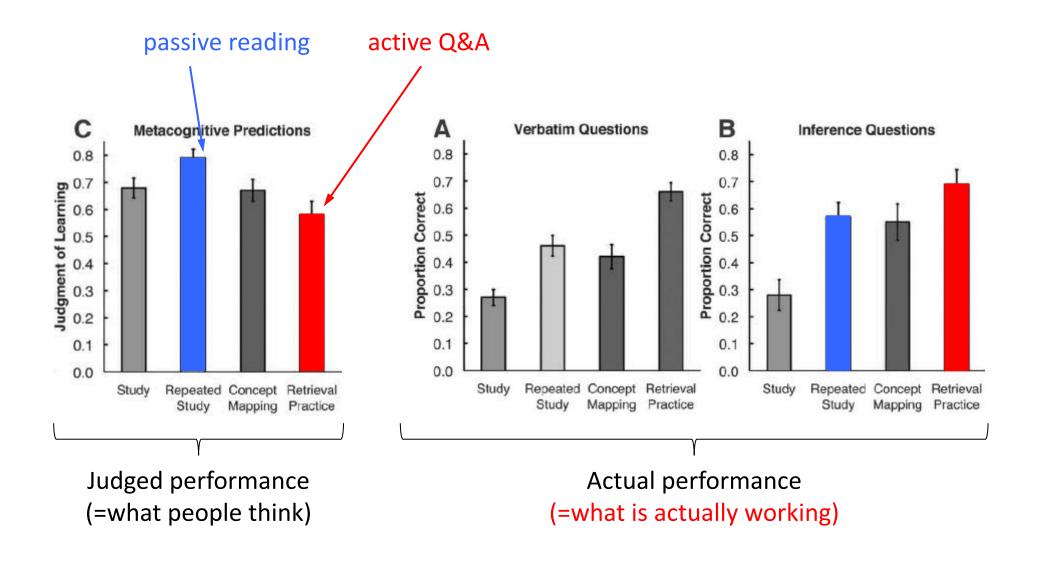
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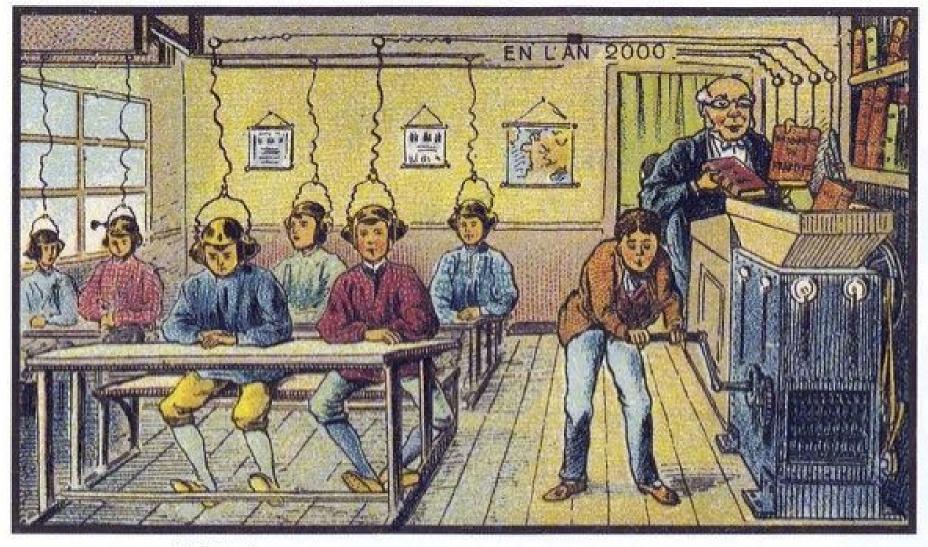
Let this book, then, be your guide to mental pushups. Think carefully about the questions and their answers before you read the answers offered by the
author. You will find many answers don't turn out as
you first expect. Does this mean you have no sense for
physics? Not at all. Most questions were deliberately
chosen to illustrate those aspects of physics which seem
contrary to casual surmise. Revising ideas, even in the
privacy of your own mind, is not painless work. But in
doing so you will revisit some of the problems that
haunted the minds of Archimedes, Galileo, Newton,
Maxwell, and Einstein.* The physics you cover here
in hours took them centuries to master. Your hours of
thinking will be a rewarding experience. Enjoy!

Lewis Epstein

Studying material: "Under which study condition do you learn better?"



The year 2000 imagined in 1900



At School

Announcements!

- Textbooks (v2): link to Amazon international ed
- Python, Jupyter
- Keep up the great class interactions ©
- Microphone
- Continue giving feedback
- Talk announcement today at 3pm

DISTINGUISHED SPEAKER: RETHINKING QUERY EXECUTION ON BIG DATA

JANUARY 18 3:00 PM - 4:30 PM EST



Title: Rethinking Query Execution on Big Data

Speaker: Dan Suciu, Professor of Computer Science at the University of Washington

Location: Northeastern University, 45 Forsyth St., Cargill Hall, Lower Level, Room #97, Boston, Massachusetts 02115

Abstract

Database engines today use the same approach to evaluate a query as they did forty years ago: convert the query into a query plan, then execute each operator individually, e.g. a join, followed by another join, followed by duplicate elimination. It turns out that converting a query into binary joins is theoretically suboptimal, and this can lead to poor performance over very large datasets. The theoretical database research community has studied a new query evaluation paradigm, which in some cases leads to provably optimal algorithms. In this talk I will give a brief survey of this new paradigm: I will review the AGM bound on the query size (Atserias, Grohe and Marx), the worst-case optimal "generic join" algorithm for full conjunctive queries (Ngo, Re, and Rudra), and our new algorithm for aggregate queries, called PANDA, which matches the best known running times for certain graph problems.

Table Alias (Tuple Variables)



Person (<u>pName</u>, address, works_for) University (<u>uName</u>, address)

which address?
Error!

SELECT DISTINCT pName, address

FROM Person, University

WHERE works_for = uName



SELECT DISTINCT Person.pName, University.address

FROM Person, University

WHERE Person.works_for = University.uName



SELECT DISTINCT X.pName, Y.address

FROM Person as X, University Y

WHERE X.works_for = Y.uName

Note that "as" is optional !!

Column Alias (rename attributes)



Person (<u>pName</u>, address, works_for) University (<u>uName</u>, address)



SELECT DISTINCT X.pName as name, Y.address adr FROM Person as X, University Y WHERE X.works for = Y.uName

SELECT DISTINCT X.pName, Y.address FROM Person as X, University Y WHERE X.works_for = Y.uName

Product (<u>pName</u>, price, category, manufacturer) Company (<u>cName</u>, stockPrice, country)



Product

PName	Price	Category	Manufacturer
Gizmo	\$19.99	Gadgets	GizmoWorks
Powergizmo	\$29.99	Gadgets	GizmoWorks
SingleTouch	\$149.99	Photography	Canon
MultiTouch	\$203.99	Household	Hitachi

Company

CName	StockPrice	Country
GizmoWorks	25	USA
Canon	65	Japan
Hitachi	15	Japan

Q: Find all US companies that manufacture products in the 'Gadgets' category!

SELECT cName FROM WHERE

Product (<u>pName</u>, price, category, manufacturer) Company (<u>cName</u>, stockPrice, country)



Product

PName Price Category Manufacturer Gizmo \$19.99 **GizmoWorks** Gadgets \$29.99 **GizmoWorks** Gadgets Powergizmo \$149.99 SingleTouch Photography Canon MultiTouch \$203.99 Household Hitachi

Company

CName	StockPrice	Country
GizmoWorks	25	USA
Canon	65	Japan
Hitachi	15	Japan

Q: Find all US companies that manufacture products in the 'Gadgets' category!

SELECT cName

FROM Product P, Company

WHERE country = 'USA'

and P.category = 'Gadgets'

and P.manufacturer = cName



Cname
GizmoWorks
GizmoWorks

Product (<u>pName</u>, price, category, manufacturer) Company (<u>cName</u>, stockPrice, country)



Product

PName	Price	Category	Manufacturer
Gizmo	\$19.99	Gadgets	GizmoWorks
Powergizmo	\$29.99	Gadgets	GizmoWorks
SingleTouch	\$149.99	Photography	Canon
MultiTouch	\$203.99	Household	Hitachi

Company

CName	StockPrice	Country
GizmoWorks	25	USA
Canon	65	Japan
Hitachi	15	Japan

Q: Find all US companies that manufacture products in the 'Gadgets' category!

SELECT DISTINCT cName
FROM Product P, Company
WHERE country = 'USA'
and P category = 'Gadgets'
and P.manufacturer = cName



Cname GizmoWorks



Product (<u>pName</u>, price, category, manufacturer) Company (<u>cName</u>, stockPrice, country)

Q: Find all US companies that manufacture both a product below \$20 and a product above \$25.

SELECT DISTINCT cName FROM WHERE



Product (<u>pName</u>, price, category, manufacturer) Company (<u>cName</u>, stockPrice, country)

Q: Find all US companies that manufacture both a product below \$20 and a product above \$25.

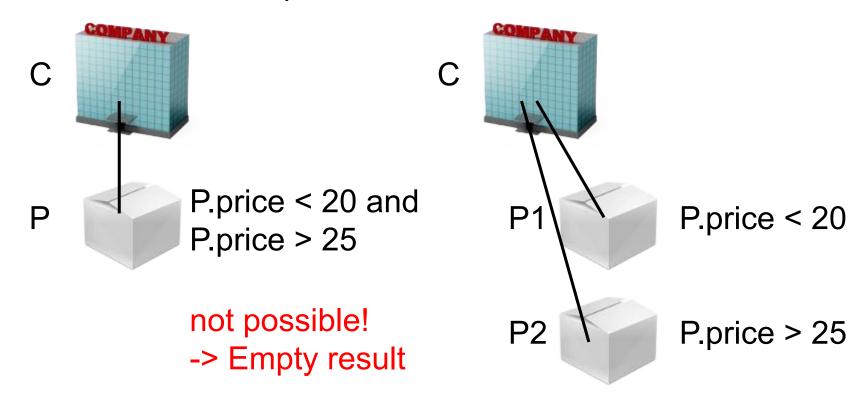
SELECT DISTINCT cName
FROM Product as P, Company
WHERE country = 'USA'
and P.price < 20
and P.price > 25
and P.manufacturer = cName

Wrong! Gives empty result: There is no product with price <20 and >25



Product (<u>pName</u>, price, category, manufacturer) Company (<u>cName</u>, stockPrice, country)

Q: Find all US companies that manufacture both a product below \$20 and a product above \$25.





Product (<u>pName</u>, price, category, manufacturer) Company (<u>cName</u>, stockPrice, country)

Q: Find all US companies that manufacture both a product below \$20 and a product above \$25.

SELECT DISTINCT cName
FROM Product as P, Company
WHERE country = 'USA'
and (P.price < 20
or P.price > 25)
and P.manufacturer = cName

Returns companies

with single product

w/price (<20 or >25)



P.price<20 or P.price>25



Product (<u>pName</u>, price, category, manufacturer) Company (<u>cName</u>, stockPrice, country)

Q: Find all US companies that manufacture both a product below \$20 and a product above \$25.

```
SELECT DISTINCT cName
FROM Product as P1, Product as P2, Company
WHERE country = 'USA'
and P1.price < 20
and P2.price > 25
and P1.manufacturer = cName
and P2.manufacturer = cName
```



P1

PName	Price	Category	Manufacturer
Gizmo	\$19.99	Gadgets	GizmoWorks
•••			

Company

CName	StockPrice	Country
GizmoWorks	25	USA

P2

PName	Price	Category	Manufacturer
Powergizmo	\$29.99	Gadgets	GizmoWorks

SELECT DISTINCT cName

FROM Product > P1, Product as P2, Company

WHERE country = 'USA'

and P1.price < 20

and P2.price > 25

and P1.manufacturer = cName

and P2.manufacturer = cName

Cname GizmoWorks

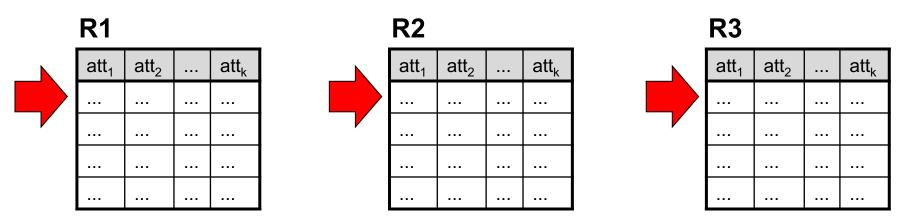
Meaning (Semantics) of conjunctive SQL Queries

```
SELECT a_1, a_2, ..., a_k
FROM R_1 as x_1, R_2 as x_2, ..., R_n as x_n
WHERE Conditions
```

Conceptual evaluation strategy (nested for loops):

```
\begin{aligned} &\text{Answer} = \{\} \\ &\text{for } x_1 \text{ in } R_1 \text{ do} \\ &\text{for } x_2 \text{ in } R_2 \text{ do} \\ &\dots \\ &\text{for } x_n \text{ in } R_n \text{ do} \\ &\text{if Conditions} \\ &\text{then } \text{Answer} = \text{Answer} \cup \{(a_1, \dots, a_k)\} \\ &\text{return } \text{Answer} \end{aligned}
```

Meaning (Semantics) of conjunctive SQL Queries



```
\label{eq:answer} \begin{array}{l} \text{Answer} = \{\} \\ \text{for } x_1 \text{ in } R_1 \text{ do} \\ \text{for } x_2 \text{ in } R_2 \text{ do} \\ & \dots \\ \text{for } x_n \text{ in } R_n \text{ do} \\ & \text{if Conditions} \\ & \text{then } \text{Answer} = \text{Answer} \cup \{(a_1, \dots, a_k)\} \\ \text{return } \text{Answer} \end{array}
```

Conceptual Evaluation Strategy

- Semantics of an SQL query defined in terms of the following conceptual evaluation strategy:
 - FROM: Compute the cross-product of relation-list.
 - WHERE: Discard resulting tuples if they fail qualifications.
 - SELECT: Delete attributes that are not in target-list.
 - If DISTINCT is specified, eliminate duplicate rows.
- This strategy is probably the least efficient way to compute a query!
 An optimizer will find more efficient strategies to compute the same answers.

Inner Joins



Depart	tment
--------	-------

LastName	DepartmentID	DepartmentID	DepartmentName
Rafferty	31	31	Sales
Jones	33	33	Engineering
Steinberg	33	34	Clerical
Robinson	34	35	Marketing
Smith	34		

SELECT *
FROM Employee E, Department D
WHERE (E.DepartmentID = D. DepartmentID)



E.LastName	E.DepartmentID	D.DepartmentID	D.DepartmentName
Robinson	34	34	Clerical
Jones	33	33	Engineering
Smith	34	34	Clerical
Steinberg	33	33	Engineering
Rafferty	31	31	Sales

Cross Joins: usually not what you want 😊



Em	ployee

Department
Dana autos a o til

LastName	DepartmentID
Rafferty	31
Jones	33
Steinberg	33
Robinson	34
Smith	34

DepartmentName
Sales
Engineering
Clerical
Marketing

SELECT *

FROM Employee E, Department D

WHERE E.DepartmentID = D. DepartmentID

E.LastName	E.DepartmentID	D.DepartmentID	D.DepartmentName
Rafferty	31	31	Sales
Jones	33	31	Sales
Steinberg	33	31	Sales
Smith	34	31	Sales
Robinson	34	31	Sales
Rafferty	31	33	Engineering
Jones	33	33	Engineering
Steinberg	33	33	Engineering
Smith	34	33	Engineering
Robinson	34	33	Engineering
Rafferty	31	34	Clerical
Jones	33	34	Clerical
Steinberg	33	34	Clerical
Smith	34	34	Clerical
Robinson	34	34	Clerical
Rafferty	31	35	Marketing
Jones	33	35	Marketing
Steinberg	33	35	Marketing
Smith	34	35	Marketing
Robinson	34	35	Marketing



Definitions (for job interviews?)

- An <u>equi-join</u> is a join in which the joining condition is based on equality between values in the common columns; common columns appear redundantly in the result table
- A <u>natural join</u> is an equi-join in which one of the duplicate columns is eliminated in the result table
- A <u>cross join</u> returns the Cartesian product of rows from tables in the join
 - (i.e. it will produce rows which combine each row from the first table with each row from the second table, that's usually *not* what you want)

Definitions (for job interviews?)

Equi-join

E.LastName	E.DepartmentID	D.DepartmentID	D.DepartmentName
Robinson	34	34	Clerical
Jones	33	33	Engineering
Smith	34	34	Clerical
Steinberg	33	33	Engineering
Rafferty	31	31	Sales

Natural join

E.LastName	DepartmentID	D.DepartmentName
Robinson	34	Clerical
Jones	33	Engineering
Smith	34	Clerical
Steinberg	33	Engineering
Rafferty	31	Sales

Cross join

E.LastName	E.DepartmentID	D.DepartmentID	D.DepartmentName
Rafferty	31	31	Sales
Jones	33	31	Sales
Steinberg	33	31	Sales
Smith	34	31	Sales
Robinson	34	31	Sales
Rafferty	31	33	Engineering

Alternative JOIN Syntax



Employee

Department

LastName	DepartmentID	DepartmentID	DepartmentName
Rafferty	31	 31	Sales
Jones	33	33	Engineering
Steinberg	33	34	Clerical
Robinson	34	35	Marketing
Smith	34		

SELECT

FROM Employee E, Department D

WHERE E.DepartmentID = D. DepartmentID

AND E.DepartmentID = 34

SELECT *

FROM Employee E JOIN Department D

ON E.DepartmentID = D. DepartmentID

WHERE E.DepartmentID = 34

E.LastName	E.DepartmentID	D.DepartmentID	D.DepartmentName
Robinson	34	34	Clerical
Smith	34	34	Clerical

NATURAL JOIN Syntax



Employee

Department

LastName	DepartmentID	DepartmentID	DepartmentName
Rafferty	31	31	Sales
Jones	33	33	Engineering
Steinberg	33	34	Clerical
Robinson	34	35	Marketing
Smith	34		

SELECT

FROM Employee E, Department D

WHERE E.DepartmentID = D. DepartmentID

AND E.DepartmentID = 34

SELECT *

FROM Employee E NATURAL JOIN Department D

WHERE E.DepartmentID = 34

Syntax is not supported by all DBMS's

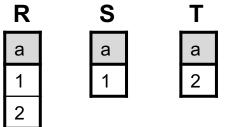
LastName	DepartmentID	DepartmentName
Robinson	34	Clerical
Smith	34	Clerical

Using the Formal Semantics

R(a), S(a), T(a)



What do these queries compute?



SELECT DISTINCT R.a FROM R, S WHERE R.a=S.a



а 1 Returns $R \cap S$ (intersection)

SELECT DISTINCT R.a
FROM R, S, T
WHERE R.a=S.a
or R.a=T.a

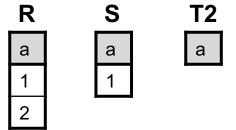


1 2 Returns R \cap (S \cup T) if S $\neq \emptyset$ and T $\neq \emptyset$

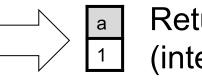
Using the Formal Semantics

R(a), S(a), T2(a) 305

What do these queries compute?

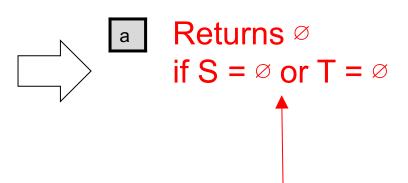


SELECT DISTINCT R.a FROM R, S WHERE R.a=S.a



Returns $R \cap S$ (intersection)

SELECT DISTINCT R.a
FROM R, S, T2 as T
WHERE R.a=S.a
or R.a=T.a



Can seem counterintuitive! But remember conceptual evaluation strategy: Nested loops. If one table is empty -> no looping

Illustration with Python



```
/Library/Frameworks/Python.framework/Versio
      Created on 3/23/2015
                                                                        --- 1st nested loop ---
      Illustrates nested Loop Join in SQL
                                                                        i=0, j=0, k=0: TRUE
        _author__ = 'gatt'
                                                                        i=0, j=0, k=1: TRUE
                                                                        i=0, j=1, k=0: TRUE
 6
                                                                        i=0, j=1, k=1:
      print "--- 1st nested loop ---"
                                                                        i=0, j=2, k=0: TRUE
      for i in xrange(2):
                                                                        i=0, j=2, k=1:
          for j in xrange(3):
                                                                        i=1, j=0, k=0:
               for k in xrande(2):
                                                                        i=1, j=0, k=1: TRUE
11
                   print "i=%d, j=%d, k=%d: " % (i, j, k),
                                                                        i=1, j=1, k=0: TRUE
12
                   if i == j or i == k:
                                                                        i=1, j=1, k=1: TRUE
13
                       print "TRUE",
                                                                        i=1, j=2, k=0:
14
                   print
                                                                        i=1, j=2, k=1: TRUE
15
16
                                                                        --- 2nd nested loop ---
      print "\n--- 2nd nested loop ---"
17
      for i in xrange(2):
                                                                        i=0, j=0, k=0: TRUE
18
          for j in xrange(3):
                                                                        i=0, j=1, k=0: TRUE
19
               for k in xrande(1):
                                                                        i=0, j=2, k=0: TRUE
20
                   print "i=%d, j=%d, k=%d: " % (i, j, k),
                                                                        i=1, j=0, k=0:
21
                   if i == j or i == k:
                                                                        i=1, j=1, k=0: TRUE
22
                       print "TRUE",
                                                                        i=1, j=2, k=0:
23
                   print
24
                                                                        --- 3rd nested loop ---
25
      print "\n--- 3rd nested loop ---"
                                                                        Process finished with exit code 0
      for i in xrange(2):
          for j in xrange(3):
28
               for k in xrange(0):
29
                   print "i=%d, j=%d, k=%d: " % (i, j, k),
30
                   if i == j or i == k:
31
                       print "TRUE",
32
                   print
                                                    The comparison gets never evaluated
```

1. Aggregates
 2. Groupings
 3. Having

Aggregation

Car (<u>name</u>, price, maker)



SELECT avg(price)
FROM Car
WHERE maker='Toyota'

SELECT count(*)
FROM Car
WHERE price > 100

SQL supports several aggregation operations:

sum, count, min, max, avg

Except count, all aggregations apply to a single attribute

Aggregation



SELECT avg(price) Property Car
WHERE maker='Toyota'

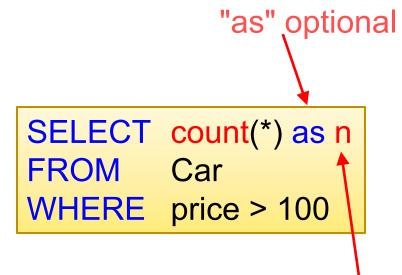
Car

<u>Name</u>	Price	Maker
M3	120	BMW
M5	150	BMW/
IVIO		T
Prius	50	Toyota
Lexus1	75	Toyota
Lexus2	100	Toyota

Database creates new attribute name (for SQLserver)

Aggregation with rename





Car

<u>Name</u>	Price	Maker
M3	120	BMW
M5	150	BMW
Prius	50	Toyota
Lexus1	75	Toyota
Lovus?	100	Tovota
LUXUSZ	100	loyota

Database creates *our* new attribute name

Aggregation: Count Distinct



SELECT count(maker)

FROM Car

WHERE price > 100

Same as count(*)

Car

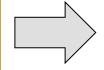
<u>Name</u>	Price	Maker
M3	120	BMW
M5	150	BMW
Prius	50	Toyota
11146		Toyota
L avus1	75	Toyota
Lexus i	13	Toyota
Lexus2	100	 Toyota

We probably want to ignore duplicates:

SELECT count(DISTINCT maker)

FROM Car

WHERE price > 100



(No column name)

Simple Aggregation 1/3



Purchase (product, price, quantity)

```
SELECT sum(price * quantity)
FROM Purchase
```

```
SELECT sum(price * quantity)
FROM Purchase
WHERE product = 'Bagel'
```

What do these queries mean?

Simple Aggregation 2/3



Purchase

Product	Price	Quantity
Bagel	3	20
Bagel	2	20
Banana	1	50
Panana	2	10
Danana	4	10
Banana	4	10

sum: 100

Database creates new attribute name

SELECT sum(price * quantity)
FROM Purchase
WHERE product = 'Bagel'



(No column name)

Simple Aggregation 3/3



Purchase

	Product	Price	Quantity			
	Bagel	3	20	3	20	
	Bagel	2	20	2	20	
_	Banana	1	50	- sum: 5	* sum: 40	= 200
	Banana	2	10	_		
	Danana		10			
	Banana	4	10			

SELECT	<pre>sum(price) * sum(quantity)</pre>
FROM	Purchase
WHERE	product = 'Bagel'

(No column name) 200

Grouping and Aggregation



Purchase

Product	Price	Quantity
Bagel	3	20
Bagel	2	20
Ranana	1	50
Danana	1	30
Banana	2	10
Banana	4	10

Product	TotalSales
Bagel	40
Banana	20

Notice: we use "sales" for total number of products sold

Find total quantities for all purchases with price over \$1 grouped by product.

From \rightarrow Where \rightarrow Group By \rightarrow Select



Purchase

Product	Price	Quantity
Bagel	3	20
Bagel	2	20
Danana	1	50
Danana	ı	50
Banana	2	10
Banana	4	10

Product	TotalSales	
Bagel	40	
Banana	20	

Select contains

- grouped attributes
- and aggregates

4 SELECT product, sum(quantity) as TotalSales
1 FROM Purchase
2 WHERE price > 1
3 GROUP BY product

Let's confuse the database engine



Purchase

Product	Price	Quantity		Product	Quantity
Bagel	3	20		Bagel	?
Bagel	2	20		Banana	?
Banana	1	50	What quantity should the DB return for Banana?		
Banana	2	10			
Banana	4	10			inana?

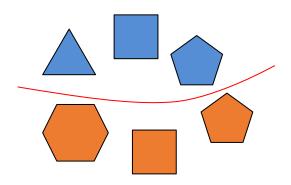
SELECT product, quantity
FROM Purchase
GROUP BY product

The DB engine is confused, there is no single quantity for banana (it's an ill-defined query). It should thus return an error (only SQLite misbehaves and returns something, but which makes no sense). Please think this through carefully!

Groupings illustrated with colored shapes

group by color





SELECT color,

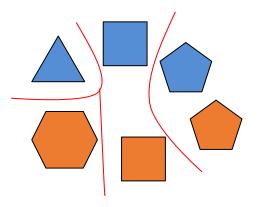
avg(numc) anc

FROM Shapes

GROUP BY color



color	anc
blue	4
orange	5



SELECT numc FROM Shapes GROUP BY numc



numc		
3		
4		
5		
6		

Another Example



Purchase

Product	Price	Quantity
Bagel	3	20
Bagel	2	20
Banana	1	50
Banana	2	10
Banana	4	10



Product	SumQ	MaxP
Bagel	40	3
Banana	70	4

SELECT product,
sum(quantity) as SumQ,
max(price) as MaxP
FROM Purchase
GROUP BY product

Next, focus only on products with at least 50 sales

Having Clause



Q: Similar to before, but only products with at least 50 sales.

Product	Price	Quantity
Bagel	3	20
Bagel	2	20
Banana	1	50
Banana	2	10
Banana	4	10



Product	SumQ	MaxP
Banana	70	4

SELECT product,
sum(quantity) as SumQ,
max(price) as MaxP
FROM Purchase
GROUP BY product
HAVING sum(quantity) > 50

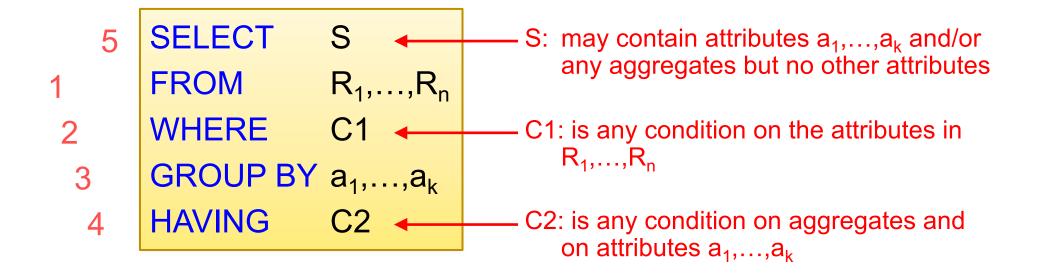
Quizz



What does this query return over the given database?

	Product	Price	Quantity		Product	SumQ
	Bagel	3	20		Bagel	40
	Bagel	2	20		Banana	50
	Banana	1	50			
	Banana	2	10			
	Banana	4	10	/		
	SELECT product, sum(quantity) as SumQ FROM Purchase					
	WHERE quantity > 15 GROUP BY product HAVING sum(quantity) > 40					

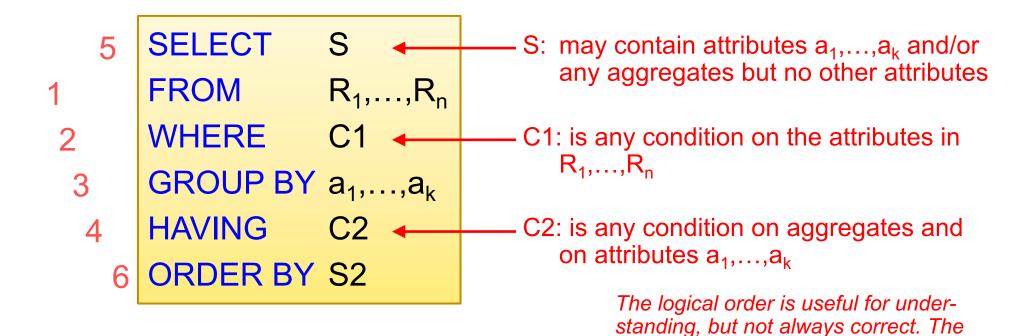
General form of Grouping and Aggregation



Evaluation

- 1. Evaluate FROM
- 2. WHERE, apply condition C1
- 3. GROUP BY the attributes $a_1,...,a_k$
- 4. Apply condition C2 to each group (may have aggregates)
- 5. Compute aggregates in S and return the result

General form of SQL Query



Evaluation

- 1. Evaluate FROM
- 2. WHERE, apply condition C1
- 3. GROUP BY the attributes $a_1,...,a_k$
- ANSI SQL standard does not require a specific processing order and leaves that to the implementation.
 Recall our intro example with SELECT DISTINCT and order by!
 Notice that that example can't be explained with the order shown here
- 4. Apply condition C2 to each group (may have aggregates)
- 5. Compute aggregates in S and return the result
- 6. Sort rows by ORDER BY clause

Conceptual Evaluation Strategy

- The cross-product of relation-list is computed (FROM), tuples that fail qualification are discarded (WHERE), then:
- GROUP BY: the remaining tuples are partitioned into groups by the value of attributes in grouping-list.
- HAVING: The group-qualification is then applied to eliminate some groups. Expressions in group-qualification must have a single value per group!
 - In effect, an attribute in group-qualification that is not an argument of an aggregate op must also appear in grouping-list. (SQL does not exploit primary key semantics here!)
- One answer tuple is generated per qualifying group.

Don't use new Alias in HAVING clause



What does this query return over the given database?

Product	Price	Quantity
Bagel	3	20
Bagel	2	20
Banana	1	50
Banana	2	10
Banana	4	10

Product	SumQ	
Bagel	40	
Banana	50	

Error in SQL server! Reason: HAVING is evaluated before SELECT! (However, SQLite works: different implementation)

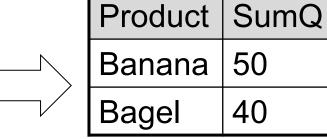
SELECT product, sum(quantity) as SumQ FROM Purchase WHERE quantity > 15 GROUP BY product HAVING SUMQ > 35

Don't use new Alias in HAVING clause



What does this query return over the given database?

Product	Price	Quantity
Bagel	3	20
Bagel	2	20
Banana	1	50
Banana	2	10
Banana	4	10



Works! Notice that new sorting

SELECT product, sum(quantity) as SumQ
FROM Purchase
WHERE quantity > 15
GROUP BY product
HAVING sum(quantity) > 35
ORDER BY sumQ desc