

CS3000

6/13 - Tues.

Admin

- Long HW5 are 9pm
- Exam #2 (thurs) → practice problem sets on piazza
- Optional rec. today
- no new HW today
- Short HW4 out next Tues.
↳ can't be resubmitted

Agenda

1. Expected run-time
2. Hiring problem
3. Randomized Quicksort

no more graphs

1. Expected Run-Time

- Back to analyzing algorithmic efficiency

$\Theta(\sim)$ upper + lower bound

$$T(n) = \sim \sim \sim \sim$$

↳ drop coeffs, lower order terms

We can have different bounds on best-case/worst-case

↳ us, usually

(ex) linear search

worst case $\Theta(n)$ \rightarrow key not in A

best case $\Theta(1)$ \rightarrow key first thing we look at

Best case: lucky
(can't force luck)

Worst-case: unlucky
• or, adversary
• but, we can make
worst-case less likely
(in some cases)

Develop an expected case, if worst-case

is less likely

- scenario: input array is arranged for worst-case (adversary or bad luck)

- our goal: introduce randomization



- }
1. Choose next element at random
 2. Shuffle array before we start
 $n!$ permutations
 all to be equally likely
 3. Make decisions based on $\text{Random}(0,1)$
 4. Reverse the array
 (if we know we have a worst-case array)
 5. Check if already sorted

By introducing randomization

- Can't change bound on worst-case runtime
- But, we can have a new expected run-time
 (hopefully, better than worst-case run time)

Expected Value

↳ probability theory

Set of possible outcomes S (sample space)

each outcome s_i has some probability $\text{Pr}(s_i)$

Random Variables

↳ not random
 not a variable

X = value assoc. with
 outcome of an experiment
 (numeric)

Random variable can have expected value

$E[X]$ = expected value of X
average value of X if we run the
experiment over and over and over
(today: avg run-time if we run the
algo over and over again)

$$E[X] = \sum_{i=1}^n \text{Pr}(s_i) \cdot X_i \quad \rightarrow \text{pr outcome} \times \text{value of outcome}$$

(ex) experiment: flip a coin 3 times

Rand. variable X = # tails in experiment

$S = \{TTT, TTH, THT, HTT, HTH, HHT, THT, HHH\}$

want: $E[X]$ = expected # tails in 3 flips

$$= \text{Pr}(3 \text{ tails}) \cdot 3 + \text{Pr}(2 \text{ tails}) \cdot 2 + \text{Pr}(1 \text{ tail}) \cdot 1 + \text{Pr}(0 \text{ tails}) \cdot 0$$

$$= \frac{1}{8} \cdot 3 + \frac{3}{8} \cdot 2 + \frac{3}{8} \cdot 1 + \frac{1}{8} \cdot 0$$

$$= 1.5$$

Indicator Random Variables

• look at each coin flip individually

- $X = 1$ if tails
 $= 0$ otherwise

same formula: $E[X] = 1 \cdot \frac{1}{2} + 0 \cdot \frac{1}{2}$
 $= \frac{1}{2}$

apply idea to i th coin flip $E[X_i] = \frac{1}{2}$

$$E[3 \text{ flips}] = E[X_1] + E[X_2] + E[X_3]$$

$$= \frac{1}{2} + \frac{1}{2} + \frac{1}{2}$$

$= 1.5$ → same as averaging formula!
 ↓
 ↓

2. Hiring Problem

- Hiring same # of faculty
- We don't know in advance how many we'll hire
 - $n = \#$ candidates

HIRE(n)
 best = $-\infty$
 for $i = 1$ to n

- interview candidate i
- if candidate $i > \text{best}$
 Best = candidate i
 hire candidate i

- interview is cheap
- hiring is expensive

We interview: n candidates

We hire ...

Best-case (cheapest)
• one hire (candidate #1 best)

Worst-case (most expensive)
• n hires (candidates from worst to best)

↳ unlucky
or, adversary

Make worst-case scenario less likely ...

option #2: shuffle candidates first

Expected run-time with random candidate order?

$X = \#$ people hired

$X_i = 1$ if candidate i is hired } ind. RV
0 otherwise

What is probability of hiring cand. 1, 2, 3, ..., n ?

$\langle A, B, C, D \rangle$ — random order

- $\text{Pr}(\text{hiring } A) = 1/4$
- $\text{Pr}(\text{hiring } B) = 1/4$
- $\text{Pr}(\text{hiring } C) = 1/4$
- $\text{Pr}(\text{hiring } D) = 1/4$
- ...

In general... $P(\text{hiring candidate } i) = 1/i$

$$E[X_i] = 1 \cdot \frac{1}{i} + 0 \cdot \left(1 - \frac{1}{i}\right) \\ = \frac{1}{i}$$

In total... expected # of hires?

$$E[X] = \sum_{i=1}^n E[X_i] = \sum_{i=1}^n \frac{1}{i} = \ln(n) + \Theta(1)$$

10:57

$$\approx \Theta(\ln n)$$

3. Randomized Quicksort

Remember that...

```
QUICKSORT(A)
  if p < r
    q = PARTITION(A, p, r)
    QUICKSORT(A, p, q-1)
    QUICKSORT(A, q+1, r)
```

- choose $A[r]$ as pivot
- smaller on left
- bigger on right

worst case:

- unlucky pivot, or adversary
- everything on one side or the other

$$T(n) = T(n-1) + \Theta(n)$$

↳ everything on one side ↳ cost of partition

$$= \Theta(n^2)$$

Best case:

- lucky pivot
- evenly split array

$$T(n) = T(n/2) + T(n/2) + \Theta(n)$$

$$= \Theta(n \lg n)$$

We also get best case with any constant split

$$T(n) = T(\frac{9n}{10}) + T(\frac{n}{10}) + \Theta(n)$$

$$T(n) = T(\frac{2n}{3}) + T(\frac{n}{3}) + \Theta(n)$$

$$\hookrightarrow \Theta(n \lg n)$$

Apply randomization #2

- choose random pivot

→ Instead of $A[i]$

choose random $i \in [p..r]$
 $A[i]$ is pivot

In general...

$$T(n) = T(\text{split 1}) + T(\text{split 2}) + \Theta(n)$$

- subtract = worst case
- divide = best case

Balanced partition

$n/4$ to $3n/4$

final position of pivot

[~ pivot ~ ~ ~]

[~ ~ pivot ~ ~]

[~ ~ ~ pivot ~]

[pivot ~ ~ ~]

[~ ~ ~ pivot]

→ balanced

→ unbalanced

- All positions of pivot are equally likely
(1..n) possible locations the pivot could end up

- $n/4$ to $3n/4$ is half the positions

→ partition

balanced: $T(n) \leq T(3n/4) + T(n/4) + cn$

unbalanced: $T(n) \leq T(n-1) + cn$
 $< T(n) + cn$

- each scenario has 50% prob

total...

↙ unbal.

↘ balanced

$$T(n) \leq \frac{1}{2} \cdot (T(n) + cn) + \frac{1}{2} \cdot (T(3n/4) + T(n/4) + cn)$$

$$T(n) \leq \frac{1}{2} T(n) + \frac{1}{2} cn + \frac{1}{2} T(3n/4) + \frac{1}{2} T(n/4) + \frac{1}{2} cn$$

$$\frac{1}{2} T(n) \leq \frac{1}{2} cn + \frac{1}{2} cn + \frac{1}{2} T(3n/4) + \frac{1}{2} T(n/4)$$

$$\frac{1}{2} T(n) \leq cn + \frac{1}{2} T(3n/4) + \frac{1}{2} T(n/4)$$

$$T(n) \leq 2cn + T(3n/4) + T(n/4)$$

$O(n \lg n)$!!

So much better than n^2 !!!