

CS3000

5/15 - Thurs!

## Admin

- HW1 due 9pm
- HW2 due 5/22 9pm
- Fun-Algo today! 1:30
- Exam #1 5/22 during class!

## Agenda

1. Heaps
2. Heapsort
3. Example / run-time

## Exam 1

- in class, on paper
- 8.5 x 11 inch cheat sheet (one side)
- no other notes/devices
- DAS acc, reach out to them
- practice problems in Rec on Tues.

## 0. Quicksort Reminder

- partition: pivot in place  
left - small  
right - big



## 1. Heaps!

→ can we do better? :-

## Data structure

☑ A < -, -, ..., - >

☑ different!

☑ array  
☑ heap

## strategies

1. D+C
2. Change the data structure ←
3. ...

## Algorithmn concept (doesn't change)

- input: array A, length n
- split array into sorted, unsorted
- repeatedly find max in unsorted subarray
- put into its final, sorted position

(ex) 1, 10, 2, 9, 8

1, 8, 2, 9, 10  
sorted

1, 8, 2, 9, 10  
sorted

Concept, with traditional array

- outer loop -  $n$  times
- inner loop - find max in unsorted array

overall runtime:  $\Theta(n^2)$

Can we do better?

(ideally w/ no extra space)

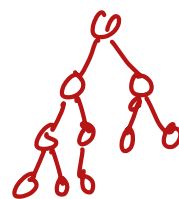
Strategy: data structure changes from array to heap!

array

heap

A **heap** is a complete binary tree

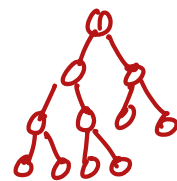
- each node has  $\leq 2$  children
- node w/ no children is a leaf
- top node is root
- levels are filled in top to bottom left to right
- every node  $\geq$  all descendants (max heap)



root

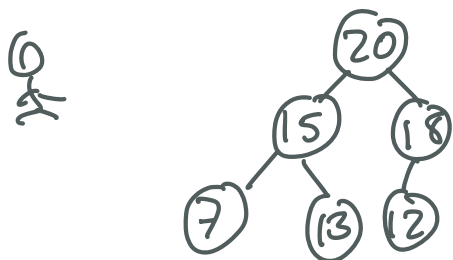


not a heap !!



heap !!

A =  $\langle 20, 15, 18, 7, 13, 12 \rangle$



position of root: 1

position of max value: 1

assumption: array A starts as max-heap

for a node at position  $i$ :

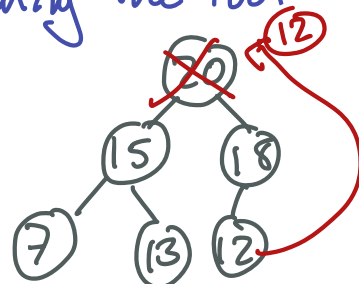
- what pos is left child?
- what pos is right child?
- what pos is parent?

$2i$

$2i+1$

$\lfloor i/2 \rfloor$

removing the root



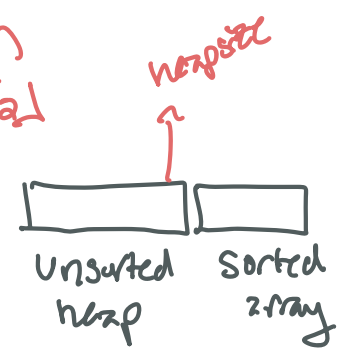
- last node becomes the root
- then, fix so it stays a heap

## 2. Heapsort

→ same algo approach: repeatedly find max, put where it belongs  
(can we beat  $\Theta(n^2)$ ?)

Assumptions:

- input array A rep'ing a heap, length  $n$
- left:  $2i$  right:  $2i+1$  parent:  $\lfloor i/2 \rfloor$
- attribute A.heapsize
- output: A in sorted order



Heapify(A, i)

- root is possibly incorrect, but the rest of the heap is a valid max heap
- i is position of root

Heapsort(A, n)

- repeatedly find max
- put into correct sorted order
- (can call heapify)

LEFT(i) returns  $2i$

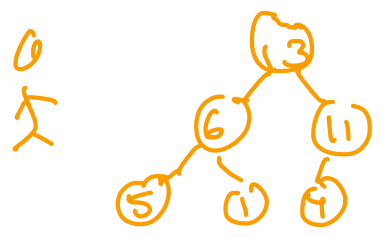
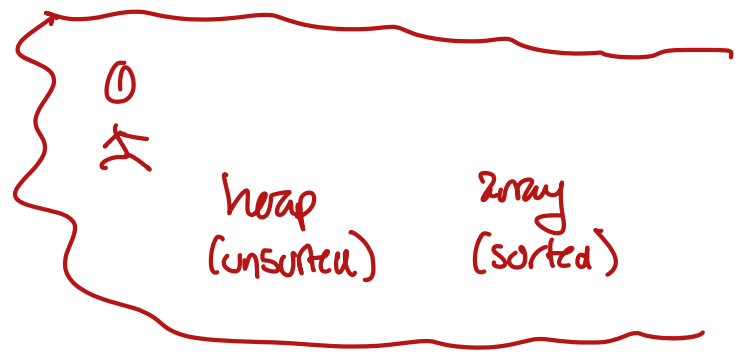
RIGHT(i) returns  $2i+1$

## 3. Heapsort Example + Run-Time

☞  $A = \langle -, -, -, \dots, - \rangle$

time to beat:  $\Theta(n^2)$

☞  $A = 13, 6, 11, 5, 1, 4$   
 $n=6$



tracking:

n overall array size

A.heapsize end of unsorted heap (↓)

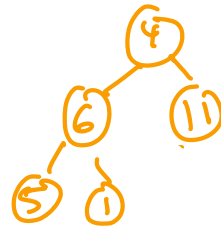
next sorted position (↓)

(heap, unsorted) array

A.heapsize = n

(1) root (13) goes to sorted array

A.heapsize = n-1



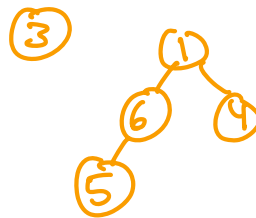
13

(2) Heapify



13

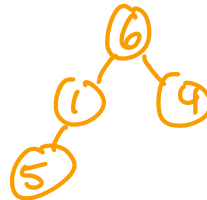
11, 6, 4, 5, 1, 13



11, 13

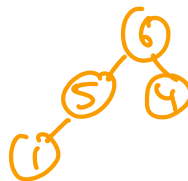
n-2

(4) Heapify

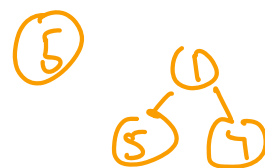


6, 5, 4, 1, 11, 13

11, 13



6, 11, 13



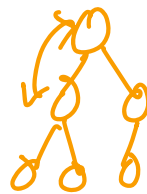
(6) Heapify



5, 1, 4, 6, 11, 13  
heap sorted

Heapify:

- swap root with greater of left, right child
- bubble down until we reach the bottom or both children are smaller
- original root becomes root of a smaller tree when it swaps

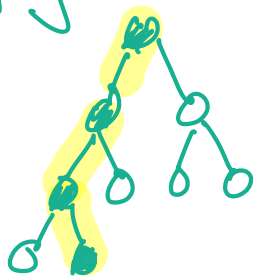


Algorithm:

- loop from  $n$  down to 2 ( $n$  steps)
- at each step, we call heapify (?)

Run-time:  $n \cdot (\text{heapify})$

Heapify worst case:



(root is minimum)

↳ heapify run-time is linear in height of the tree

$\Theta(h)$   $h = \text{height}$

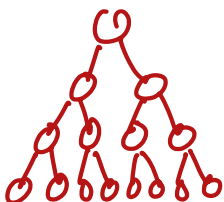
What is  $h$ ?

• height = # edges from root to deepest leaf

• what is  $h$  in terms of  $n$ ?

↳ size of  $A$   
# nodes

(ex) tree levels are all full

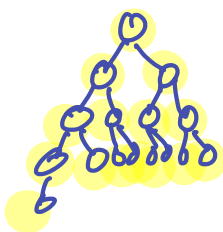


$h$   
0  
1  
2  
3

$n$   
1  
3  
7  
15

$$n = 2^{h+1} - 1$$

(ex) lowest level has only one node



$h$   
0  
1  
2  
3  
4

$n$   
1  
2  
4  
8  
16

$$n = 2^h$$

$$\lg n = h + 1$$

$$\lg n = h$$

For a complete binary tree, height =  $\Theta(\lg n)$

Heapsort:  $n * \text{heapify}$   
 $= \Theta(n \lg n)$      'U'

(original selection  
sort was  $n^2$ )