### Logic and Computation – CS 2800 Fall 2019

#### Lecture 11 Propositional logic continued The SAT problem

#### **Stavros Tripakis**



Northeastern University Khoury College of Computer Sciences

#### Outline

- The SAT problem
- P vs NP
- The power of XOR a bit of cryptography

#### P vs NP and the SAT problem

#### Motivating questions

- Is it possible to check automatically whether a given Boolean formula is satisfiable?
- Yes:
  - 1. Build the truth table of the formula
  - 2. Check whether there is at least one "T" in the last column
  - 3. The row which has the "T" gives a satisfying assignment

#### Motivating questions

- Is it possible to check automatically whether a given Boolean formula is satisfiable?
- Yes: e.g., build the truth table
- Is this an easy problem? Is the truth-table method a good one? How big is the truth table?
- If the formula has N variables, the size of the truth table is  $2^N$ 
  - $2^{10} = 1024$
  - $2^{100} = 1267650600228229401496703$
  - $2^{1000} = \dots$

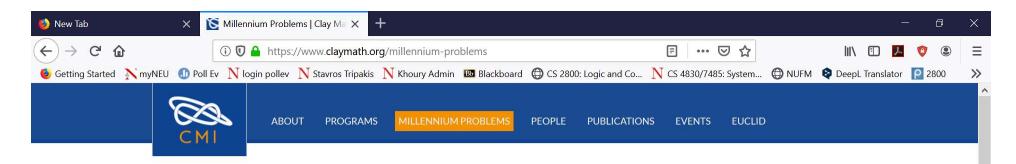
#### P vs NP

- P = the class of efficiently (polynomial-time) computable problems
- NP = the class of problems whose solution can be checked efficiently (in polynomial-time)
- Every problem in P is also in NP:  $P \subseteq NP why$ ?

Note that P and NP are sets of problems

- Most computer scientists believe that NP  $\neq$  P
- But nobody has been able to prove that yet!

### Clay institute "millennium problems"



#### **Millennium Problems**

#### Yang-Mills and Mass Gap

Experiment and computer simulations suggest the existence of a "mass gap" in the solution to the quantum versions of the Yang-Mills equations. But no proof of this property is known.

#### **Riemann Hypothesis**

The prime number theorem determines the average distribution of the primes. The Riemann hypothesis tells us about the deviation from the average. Formulated in Riemann's 1859 paper, it asserts that all the 'non-obvious' zeros of the zeta function are complex numbers with real part 1/2.

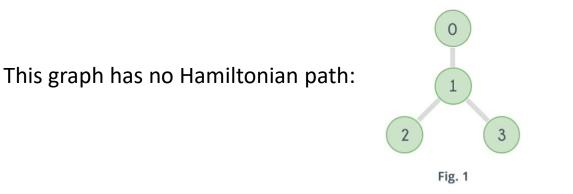
#### P vs NP Problem

If it is easy to check that a solution to a problem is correct, is it also easy to solve the problem? This is the essence of the P vs NP question. Typical of the NP problems is that of the Hamiltonian Path Problem: given N cities to visit, how can one do this without visiting a city twice? If you give me a solution, I can easily check that it is correct. But I cannot so easily find a solution.



#### The Hamiltonian path problem

Given a graph, does there exist a path that visits all nodes exactly once?



This graph has two Hamiltonian paths:

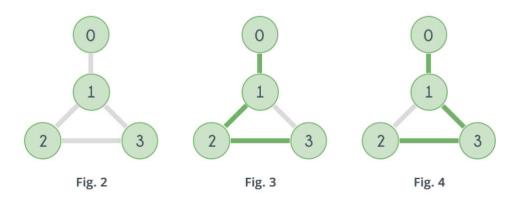


Figure taken from https://www.hackerearth.com/practice/algorithms/graphs/hamiltonian-path/tutorial/

#### What does NP $\neq$ P mean?

- We know that:  $P \subseteq NP$
- So NP  $\neq$  P means P  $\subset$  NP: P is a strict subset of NP
- i.e., there exists at least one problem in NP, that is not in P
- We know many problems that are in NP, but we haven't yet found one that we can prove is not in P

#### The SAT problem

- The Boolean satisfiability (SAT) problem: given a Boolean (propositional logic) formula  $\phi$ , check whether  $\phi$  is satisfiable.
- Fact: SAT is in NP. Why?
- If you give me an assignment, I can easily check whether it's a satisfying assignment for  $\phi$ :
  - 1. Replace all the variables in  $\phi$  by their values as given by the assignment this is linear in the length of  $\phi$
  - 2. Evaluate the resulting formula (which only has constants)
  - 3. Evaluating a formula is efficient too: polynomial in the length of the formula

#### The SAT problem

- The Boolean satisfiability (SAT) problem: given a Boolean (propositional logic) formula φ, check whether φ is satisfiable.
- Theorem [Cook-Levin ~1970]: **SAT is NP-complete**
- What this means is:
  - 1. SAT is in NP.
  - 2. Every other problem in NP is no harder than SAT: if you can solve SAT, you can solve any other problem in NP with pretty much the same computational cost.

- If I could solve SAT efficiently (in polynomial time) then I could also solve Hamiltonian path efficiently.
- How?
- Idea: Given a graph, create a Boolean formula such that the graph has a Hamiltonian path iff the formula is satisfiable.
- How?

- Idea: Given a graph, create a Boolean formula such that the graph has a Hamiltonian path iff the formula is satisfiable.
- Let *N* be the number of nodes in the graph.
- The formula will have  $N^2$  propositional variables:  $x_{i,j}$  for  $0 \le i,j \le N-1$
- Variable  $x_{i,j}$  means: graph node i appears at position j in the Hamiltonian path
- Now all that remains is to encode the constraints of what it means to be a valid Hamiltonian path!

- $x_{i,j}$  : graph node i appears at position j in the Hamiltonian path
- Hamiltonian path constraints for our example:
  - Every graph node must appear in the path:

$$(x_{0,0} \lor x_{0,1} \lor x_{0,2} \lor x_{0,3}) \land (x_{1,0} \lor x_{1,1} \lor x_{1,2} \lor x_{1,3}) \land \cdots$$

0

1

3

- $x_{i,j}$  : graph node i appears at position j in the Hamiltonian path
- Hamiltonian path constraints for our example:
  - Every node appears exactly once:

$$\begin{pmatrix} x_{0,0} \rightarrow (\neg x_{0,1} \land \neg x_{0,2} \land \neg x_{0,3}) \end{pmatrix} \land \begin{pmatrix} x_{0,1} \rightarrow (\neg x_{0,0} \land \neg x_{0,2} \land \neg x_{0,3}) \end{pmatrix} \land \\ \cdots \land \begin{pmatrix} x_{1,0} \rightarrow (\neg x_{1,1} \land \neg x_{1,2} \land \neg x_{1,3}) \end{pmatrix} \land \cdots$$

0

1

3

- $x_{i,j}$  : graph node i appears at position j in the Hamiltonian path
- Hamiltonian path constraints for our example:
  - Every position in the path is occupied by some node:

$$(x_{0,0} \lor x_{1,0} \lor x_{2,0} \lor x_{3,0}) \land (x_{0,1} \lor x_{1,1} \lor x_{2,1} \lor x_{3,1}) \land \cdots$$

0

1

3

- $x_{i,j}$  : graph node i appears at position j in the Hamiltonian path
- Hamiltonian path constraints for our example:
  - Two nodes cannot occupy the same position:

$$\begin{pmatrix} x_{0,0} \rightarrow (\neg x_{1,0} \land \neg x_{2,0} \land \neg x_{3,0}) \end{pmatrix} \land (x_{0,1} \rightarrow (\neg x_{1,1} \land \neg x_{2,1} \land \neg x_{3,1})) \land \cdots$$

0

1

3

- $x_{i,j}$  : graph node i appears at position j in the Hamiltonian path
- Hamiltonian path constraints for our example:
  - If two nodes are adjacent in the path, then there must be an edge between them in the graph:

$$\neg (x_{0,0} \land x_{3,1}) \land \neg (x_{0,0} \land x_{2,1}) \land \cdots$$

0

1

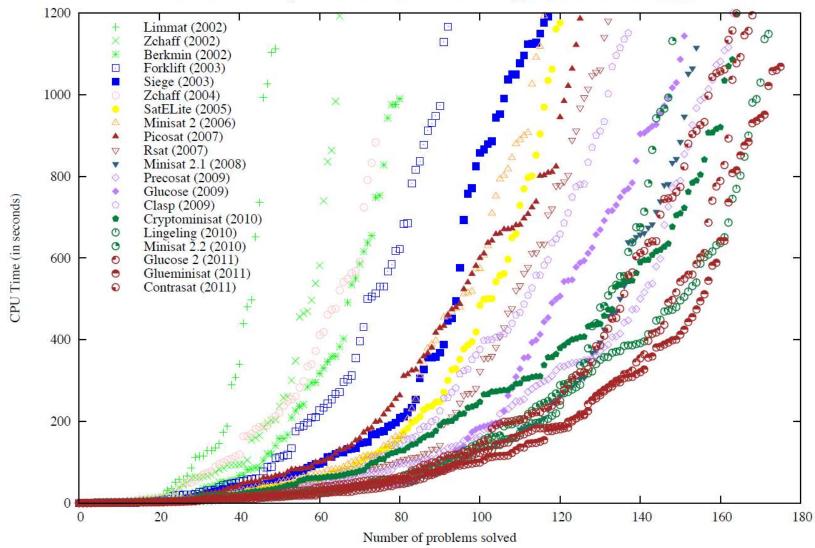
2

#### The SAT problem

- Putting it all together:
  - 1. We don't know if SAT is in P
  - 2. The question whether SAT is in P is equivalent to the question whether P = NP
  - 3. Many combinatorial problems can be reduced to SAT
  - 4. SAT is a very important problem!

#### SAT solvers

- Tools that check Boolean satisfiability
- Impressive progress in the last 20 years
- Today: SAT solvers can solve formulas with millions of variables!
- How many assignments does a formula with a million variables have?
  - $-2^{1,000,000}$
- See paper "Boolean Satisfiability: From Theoretical Hardness to Practical Success", by Malik and Zhang, CACM 2009



Results of the SAT competition/race winners on the SAT 2009 application benchmarks, 20mn timeout

Figure 1: Evolution of the best solvers from 2002 to 2010 on the application benchmarks from the SAT 2009 competition using the cumulative number of problems solved (x axis) within a specific amount of time (y axis). The farther to the right the data points are, the better the solver.

https://www.cs.helsinki.fi/u/mjarvisa/papers/jarvisalo-leberre-roussel-simon.aimag.pdf

#### Can I use ACL2s as a SAT solver?

 Can I use ACL2s as a completely automated SAT solver, and how?

— Demo

#### Quiz

- Suppose you are given a tool that checks VALIDITY
  - Given a formula  $\phi$ , the tool returns YES if  $\phi$  is valid, and NO if  $\phi$  is not valid.
  - Let VALID?( $\phi$ ) be the result of the tool.
- Can you use this tool to check whether  $\phi$  is FALSIFIABLE, and how?
  - A. No, it cannot be done
  - B. Yes, FALSIFIABLE( $\phi$ ) := (VALID?( $\phi$ ) = NO)
  - C. Yes, FALSIFIABLE( $\phi$ ) := (VALID?( $\neg \phi$ ) = NO)
  - D. Yes, FALSIFIABLE( $\phi$ ) := (VALID?( $\neg \phi$ ) = YES)

#### Quiz

- Suppose you are given a tool that checks VALIDITY
  - Given a formula  $\phi$ , the tool returns YES if  $\phi$  is valid, and NO if  $\phi$  is not valid.
  - Let VALID?( $\phi$ ) be the result of the tool.
- Can you use this tool to check whether  $\phi$  is SATISFIABLE, and how?
  - A. No, it cannot be done
  - B. Yes, SATISFIABLE( $\phi$ ) := (VALID?( $\phi$ ) = YES)
  - C. Yes, SATISFIABLE( $\phi$ ) := (VALID?( $\phi$ ) = NO)
  - D. Yes, SATISFIABLE( $\phi$ ) := (VALID?( $\neg \phi$ ) = YES)
  - E. Yes, SATISFIABLE( $\phi$ ) := (VALID?( $\neg \phi$ ) = NO)

### Going further on SAT/SMT solvers

- Going further:
  - <u>http://www.satcompetition.org/</u>
  - <u>https://www.cs.helsinki.fi/u/mjarvisa/papers/jarvisalo-</u>
    <u>leberre-roussel-simon.aimag.pdf</u>
  - Proceedings of SAT COMPETITION 2018: Solver and Benchmark Descriptions: https://helda.helsinki.fi/bitstream/handle/10138/23706

3/sc2018 proceedings.pdf?sequence=6

- Prof. Manolios' CS-4820 class
- SMT solvers:

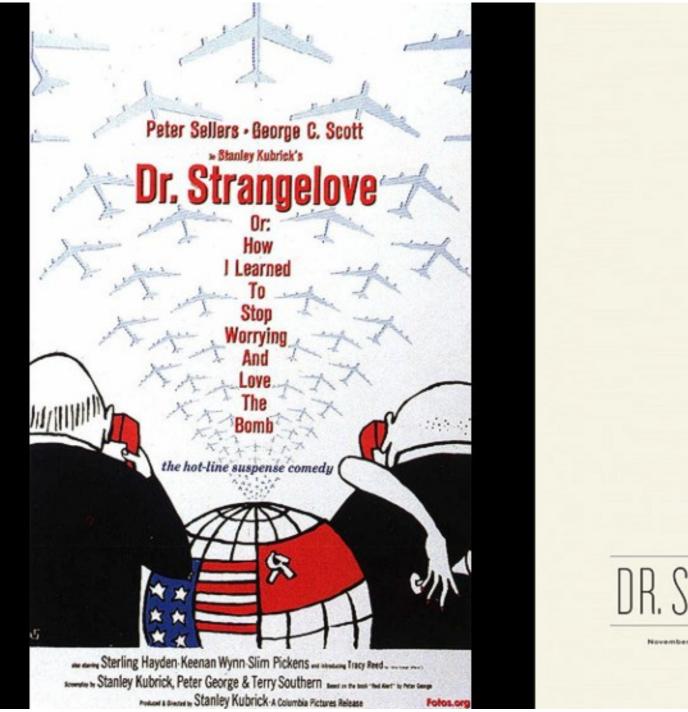
- E.g., Z3: <u>https://rise4fun.com/Z3/tutorial/guide</u>

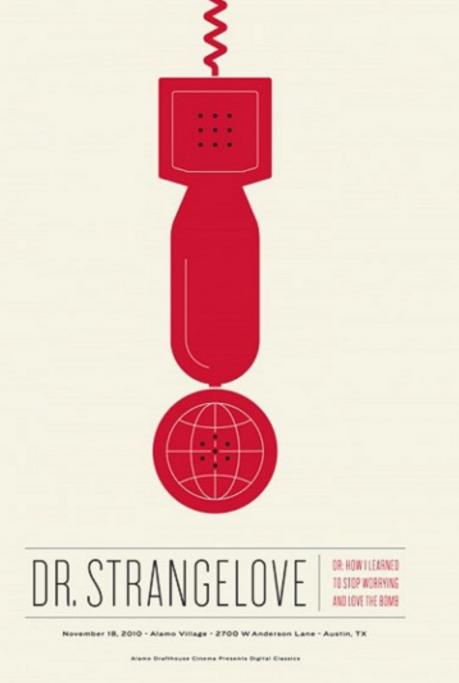
# A bit of cryptography – the power of XOR

See extra slides by Pete Manolios

- You have probably seen movies with the "red telephone" that connects the Pentagon with the Kremlin
- ▶ A classic is Dr. Strangelove

## The Red Phone





- You have probably seen movies with the "red telephone" that connects the Pentagon with the Kremlin
- A classic is Dr. Strangelove
- ▶ View <u>https://www.youtube.com/watch?v=VEB-OoUrNuk</u> to 1:24

- You have probably seen movies with the "red telephone" that connects the Pentagon with the Kremlin
- ▶ A classic is Dr. Strangelove
- View <u>https://www.youtube.com/watch?v=VEB-OoUrNuk</u> to 1:24
- There was no red phone but there was a teletype-based encryption mechanism in place between the US and USSR that used the encryption method we will cover next

- Goal: secret communication
  - crypto, graphy are Greek for hidden, writing
- Date back to Egypt (1900 BCE)
- ▶ Used for commerce, war, love letters, religion, ...

- Goal: secret communication
  - crypto, graphy are Greek for hidden, writing
- Date back to Egypt (1900 BCE)



- ▶ Used for commerce, war, love letters, religion, ...
- Examples
  - Scytale: Archilochus 7th century BC

- Goal: secret communication
  - crypto, graphy are Greek for hidden, writing
- Date back to Egypt (1900 BCE)
- ▶ Used for commerce, war, love letters, religion, ...
- Examples
  - Scytale: Archilochus 7th century BC
  - Caesar Shift Cipher: shift letters by some number
  - Confederate Cipher Disc: Civil War





- Goal: secret communication
  - crypto, graphy are Greek for hidden, writing
- Date back to Egypt (1900 BCE)
- ▶ Used for commerce, war, love letters, religion, ...
- Examples
  - Scytale: Archilochus 7th century BC
  - Caesar Shift Cipher: shift letters by some number
  - Confederate Cipher Disc: Civil War
  - Enigma: used by Germany in WWII
  - Breaking Enigma shortened the war (Turing et al)











- You got the following encrypted message. Decrypt it.
  - Uif tfdsfu pshbojabujpo nffut upojhiu
- Quiz: A. I got it! B: This is hard!



- You got the following encrypted message. Decrypt it.
  - Uif tfdsfu pshbojabujpo nffut upojhiu
- Quiz: A. I got it! B: This is hard!
- Frequency analysis: the most common letters are e, t
  - ▶ u: 6
  - ▶ f: 5



- You got the following encrypted message. Decrypt it.
  - Uif tfdsfu pshbojabujpo nffut upojhiu
- Quiz: A. I got it! B: This is hard!
- Frequency analysis: the most common letters are e, t
  - ▶ u: 6
  - ▶ f: 5
- Hint: Caesar Shift Cipher: shift letters by some number
  Shift by 16, 1



- You got the following encrypted message. Decrypt it.
  - Uif tfdsfu pshbojabujpo nffut upojhiu
- Quiz: A. I got it! B: This is hard!
- Frequency analysis: the most common letters are e, t
  - ▶ u: 6
  - ▶ f: 5
- Hint: Caesar Shift Cipher: shift letters by some number
  - Shift by 16, 1
- Answer? The secret organization meets tonight



- Allow us to encrypt messages with "perfect" secrecy
  - If an adversary intercepts an encoded message, they gain no information, except for an upper bound on the message length
  - Compare: RSA can be broken, with enough computational resources

- Allow us to encrypt messages with "perfect" secrecy
  - If an adversary intercepts an encoded message, they gain no information, except for an upper bound on the message length
  - Compare: RSA can be broken, with enough computational resources
- A message is a sequence of bits, say 0's & 1's. Any ideas?

- Allow us to encrypt messages with "perfect" secrecy
  - If an adversary intercepts an encoded message, they gain no information, except for an upper bound on the message length
  - Compare: RSA can be broken, with enough computational resources
- A message is a sequence of bits, say 0's & 1's. Any ideas?
- Alice and Bob agree on a secret, a sequence of random 0's & 1's

- Allow us to encrypt messages with "perfect" secrecy
  - If an adversary intercepts an encoded message, they gain no information, except for an upper bound on the message length
  - Compare: RSA can be broken, with enough computational resources
- A message is a sequence of bits, say 0's & 1's. Any ideas?
- Alice and Bob agree on a secret, a sequence of random 0's & 1's
- ▶ To send message m, Alice xor's m with s, the secret:  $c = m \oplus s$

- Allow us to encrypt messages with "perfect" secrecy
  - If an adversary intercepts an encoded message, they gain no information, except for an upper bound on the message length
  - Compare: RSA can be broken, with enough computational resources
- A message is a sequence of bits, say 0's & 1's. Any ideas?
- Alice and Bob agree on a secret, a sequence of random 0's & 1's
- ▶ To send message m, Alice xor's m with s, the secret:  $c = m \oplus s$
- Note that When Bob gets c, he xor's it with s:  $c \oplus s = m$

- Allow us to encrypt messages with "perfect" secrecy
  - If an adversary intercepts an encoded message, they gain no information, except for an upper bound on the message length
  - Compare: RSA can be broken, with enough computational resources
- A message is a sequence of bits, say 0's & 1's. Any ideas?
- Alice and Bob agree on a secret, a sequence of random 0's & 1's
- ▶ To send message m, Alice xor's m with s, the secret:  $c = m \oplus s$
- When Bob gets c, he xor's it with s: c⊕s = m
- Example: m=1001000100011...

s=1101011010111...

c=0100011110100...

- Allow us to encrypt messages with "perfect" secrecy
  - If an adversary intercepts an encoded message, they gain no information, except for an upper bound on the message length
  - Compare: RSA can be broken, with enough computational resources
- A message is a sequence of bits, say 0's & 1's. Any ideas?
- Alice and Bob agree on a secret, a sequence of random 0's & 1's
- ▶ To send message m, Alice xor's m with s, the secret:  $c = m \oplus s$
- When Bob gets c, he xor's it with s: c⊕s = m
- Example: m=1001000100011...

s=1101011010111...

c=0100011110100...

 $c \oplus s = 1001000100011...$ 





- Allow us to encrypt messages with "perfect" secrecy
  - If an adversary intercepts an encoded message, they gain no information, except for an upper bound on the message length



Allow us to encrypt messages with "perfect" secrecy

- If an adversary intercepts an encoded message, they gain no information, except for an upper bound on the message length
- To send message m, Alice xor's m with s, the secret: c= m⊕s

Allow us to encrypt messages with "perfect" secrecy

- If an adversary intercepts an encoded message, they gain no information, except for an upper bound on the message length
- To send message m, Alice xor's m with s, the secret: c= m⊕s
- Note that When Bob gets c, he xor's it with s:  $c \oplus s = m$

- Allow us to encrypt messages with "perfect" secrecy
  - If an adversary intercepts an encoded message, they gain no information, except for an upper bound on the message length
- ▶ To send message m, Alice xor's m with s, the secret: c= m⊕s
- Note that When Bob gets c, he xor's it with s:  $c \oplus s = m$
- Why is it "perfect"?
  - If we have c, the encrypted msg, then for every, m, an arbitrary msg of the same length, there is some secret, s, that when used to decode c yields m

- Allow us to encrypt messages with "perfect" secrecy
  - If an adversary intercepts an encoded message, they gain no information, except for an upper bound on the message length
- To send message m, Alice xor's m with s, the secret: c= m⊕s
- Note that When Bob gets c, he xor's it with s:  $c \oplus s = m$
- Why is it "perfect"?
  - If we have c, the encrypted msg, then for every, m, an arbitrary msg of the same length, there is some secret, s, that when used to decode c yields m
- Example: c=0100011110100... (the same c as before)

Allow us to encrypt messages with "perfect" secrecy

- If an adversary intercepts an encoded message, they gain no information, except for an upper bound on the message length
- To send message m, Alice xor's m with s, the secret: c= m⊕s
- When Bob gets c, he xor's it with s: c⊕s = m
- Why is it "perfect"?
  - If we have c, the encrypted msg, then for every, m, an arbitrary msg of the same length, there is some secret, s, that when used to decode c yields m
- Example: c=0100011110100... (the same c as before)

m=0110111011100... (a different m)

Allow us to encrypt messages with "perfect" secrecy

- If an adversary intercepts an encoded message, they gain no information, except for an upper bound on the message length
- To send message m, Alice xor's m with s, the secret: c= m⊕s
- When Bob gets c, he xor's it with s: c⊕s = m
- Why is it "perfect"?
  - If we have c, the encrypted msg, then for every, m, an arbitrary msg of the same length, there is some secret, s, that when used to decode c yields m
- Example: c=0100011110100... (the same c as before)

m=0110111011100... (a different m)

s=0010100101000... (the corresponding s)

Allow us to encrypt messages with "perfect" secrecy

- If an adversary intercepts an encoded message, they gain no information, except for an upper bound on the message length
- To send message m, Alice xor's m with s, the secret: c= m⊕s
- When Bob gets c, he xor's it with s: c⊕s = m
- Why is it "perfect"?
  - If we have c, the encrypted msg, then for every, m, an arbitrary msg of the same length, there is some secret, s, that when used to decode c yields m
- Example: c=0100011110100... (the same c as before)

m=0110111011100... (a different m)

s=0010100101000... (the corresponding s)

c⊕s=0110111011100...